

Ellen Ratté, CFA

GE Ratté Asset Management LLC

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This Brochure Supplement provides information about Ellen Ratté, CFA that supplements the GE Ratté Asset Management LLC Firm Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact us at (808) 260-9249, or email teri@geratte.com if you did not receive a copy of GE Ratté Asset Management LLC's Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Ellen Ratté is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2 – Educational Background and Business Experience

Ellen Ratté, CFA

Year Born: 1969

Mrs. Ratté is the Vice President of GE Ratté Asset Management LLC (“GE Ratté”). Mrs. Ratté has 19 years of experience in the following capacities: Director, Society Relations, Americas for CFA Institute; City Treasurer, Investment Officer, Deputy Treasurer and Assistant Retirement Director for City of Spokane; and Portfolio Manager and Investment Analyst for Southern California Edison. Mrs. Ratté is a Board member of CFA Society Hawaii, a former President and board member of CFA Society Spokane and a member of The New York Society of Security Analysts.

Educational Background:

Bachelor of Science Degree, – Rensselaer Polytechnic Institute (1990)

Master of Business Administration, – Rensselaer Polytechnic Institute (1991)

Business Background:

07/2013-Present: Vice President, GE Ratté Asset Management

03/2013-07/2013: Director, Society Relations, Americas, CFA Institute

07/2002-09/2012: City Treasurer, Investment Officer, City of Spokane

12/2001-07/2002: Deputy Treasurer, Investment Officer, City of Spokane

05/2000-12/2001: Assistant Retirement Director, City of Spokane

07/1995-05/2000: Portfolio Manager, Investment Analyst, Southern California Edison

Professional Designation:

Mrs. Ratté is a CFA[®] charterholder.

Information regarding the Chartered Financial Analyst[®] designation: Becoming a CFA charterholder is voluntary; no federal or state law or regulation requires investment advisors or financial planners to become a CFA charterholder. However, the CFA program is a globally recognized standard for measuring portfolio management and investment analysis competence and integrity. The program is administered by CFA Institute, a global not-for-profit association of investment professionals.

The program requires candidates to study for and pass three levels of exams that measure a candidate’s ability to apply the fundamental knowledge of investment principles at a professional level. Candidates who pass the exams and meet other requirements earn a CFA Charter.

The CFA[®] program is a graduate-level, self-study curriculum and examination program for investment specialists - especially securities analysts, money managers and investment advisors. To register in the CFA program, an applicant must have a bachelor’s degree (or comparable non-US degree). Four years of qualified professional work experience or a combination of education and qualified work experience may be acceptable in lieu of a degree. The CFA program sets the global

standard for investment knowledge, standards and ethics. The rigorous curriculum covers a broad range of investment topics and is committed to the highest ethical standards in the profession.

To be awarded the CFA charter, a candidate must pass the Level I, Level II, and Level III examinations and have at least four years of acceptable professional experience working in the investment decision-making process. Candidates must also exhibit a high degree of ethical and professional conduct.

CFA charterholders must comply with CFA Institute's Articles of Incorporation, Bylaws, Code of Ethics and Standards of Professional Conduct to maintain the Charter. In addition, they must annually submit a Professional Conduct Statement and pay membership dues. Failure to comply with CFA Institute's conditions, requirements, policies and procedures can result in disciplinary sanctions, including suspension or revocation of the right to use the CFA designation.

Item 3– Disciplinary Information

Mrs. Ratté has not been subject to any legal or disciplinary proceedings which would be considered material to a Client's evaluation of her or any of the services provided by her through GE Ratté.

Item 4– Other Business Activities

Mrs. Ratté does not participate in any other disclosable business activities.

Item 5– Additional Compensation

Mrs. Ratté receives no additional compensation related to outside business activities.

Item 6– Supervision

Mrs. Ratté's investment duties and responsibilities are supervised by Mr. Ratté, the President and Chief Investment Officer of GE Ratté. Mr. Ratté can be reached at 808-260-9249. Mr. Ratté prepares investment policies, forms and procedures for those clients to whom he is the primary advisor representative and firm contact. Mr. Ratté performs periodic reviews of activities of various management systems which incorporate documentation of client interactions, paper flows and trading activities.