

Gregory E. Ratté, CFA

GE Ratté Asset Management LLC

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This Brochure Supplement provides information about Gregory Ratté, CFA that supplements the GE Ratté Asset Management LLC Firm Brochure (Form ADV Part 2A). You should have received a copy of that Brochure. Please contact us at (808) 260-9249, or email [teri@geratte.com](mailto:teri@geratte.com) if you did not receive a copy of GE Ratté Asset Management LLC's Form ADV Part 2A or if you have any questions about the contents of this Brochure Supplement.

Additional information about Gregory Ratté is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 – Educational Background and Business Experience

### **Gregory E. Ratté, CFA**

Year Born: 1961

Mr. Ratté is the Founder, President of GE Ratté Asset Management LLC (“GE Ratté”). Mr. Ratté has 30 years of experience in the following capacities: Founder, Principal and Chief Investment Officer of GBR Wealth Management, LLC fka East West Wealth Management, President and Chief Investment Officer at Bishop Street Capital Management; Chief Investment Officer of the Commercial Banking and Trust Group for First Hawaiian Bank; Deputy Chief Investment Officer at Bessemer Trust, a \$32-billion money manager in New York City and Partner and Chief Investment Officer at Brundage, Story & Rose, a \$7-billion investment manager acquired by Bessemer Trust. Mr. Ratté is past President and a founding Board member of CFA Society Hawaii and a member of The New York Society of Security Analysts.

### **Educational Background:**

Bachelor of Arts Degree, – Amherst College (1983)

Graduate Studies, – Japan-American Institute of Management Science in Honolulu and Tokyo (1985)

### **Business Background:**

02/2013-Present: Founder, President, GE Ratté Asset Management

07/2005-04/2013: Founder, Chief Investment Officer, Principal, GBR Wealth Management, LLC  
fka East West Wealth Management, LLC

04/2001 06/2005: President, Chief Investment Officer, Bishop Street Capital Management,  
Chief Investment Officer of the Commercial Banking and Trust Group, First Hawaiian Bank

01/1989 -12/2001: Deputy Chief Investment Officer, Bessemer Trust, Partner, Chief Investment  
Officer, Brundage, Story & Rose (acquired by Bessemer Trust in 2001)

### **Professional Designation:**

Mr. Ratté is a CFA<sup>®</sup> charterholder.

**Information regarding the Chartered Financial Analyst<sup>®</sup> designation:** Becoming a CFA charterholder is voluntary; no federal or state law or regulation requires investment advisors or financial planners to become a CFA charterholder. However, the CFA program is a globally recognized standard for measuring portfolio management and investment analysis competence and integrity. The program is administered by CFA Institute, a global not-for-profit association of investment professionals.

The program requires candidates to study for and pass three levels of exams that measure a candidate’s ability to apply the fundamental knowledge of investment principles at a professional level. Candidates who pass the exams and meet other requirements earn a CFA Charter.

The CFA<sup>®</sup> program is a graduate-level, self-study curriculum and examination program for investment specialists - especially securities analysts, money managers and investment advisors. To register in the CFA program, an applicant must have a bachelor’s degree (or comparable non-US degree). Four years of qualified professional work experience or a combination of education and

qualified work experience may be acceptable in lieu of a degree. The CFA program sets the global standard for investment knowledge, standards and ethics. The rigorous curriculum covers a broad range of investment topics and is committed to the highest ethical standards in the profession.

To be awarded the CFA charter, a candidate must pass the Level I, Level II, and Level III examinations and have at least four years of acceptable professional experience working in the investment decision-making process. Candidates must also exhibit a high degree of ethical and professional conduct.

CFA charterholders must comply with CFA Institute's Articles of Incorporation, Bylaws, Code of Ethics and Standards of Professional Conduct to maintain the Charter. In addition, they must annually submit a Professional Conduct Statement and pay membership dues. Failure to comply with CFA Institute's conditions, requirements, policies and procedures can result in disciplinary sanctions, including suspension or revocation of the right to use the CFA designation.

### **Item 3– Disciplinary Information**

Mr. Ratté has not been subject to any legal or disciplinary proceedings which would be considered material to a Client's evaluation of him or any of the services provided by him through GE Ratté.

### **Item 4– Other Business Activities**

Mr. Ratté does not participate in any other disclosable business activities.

### **Item 5– Additional Compensation**

Mr. Ratté receives no additional compensation related to outside business activities.

### **Item 6– Supervision**

Mr. Ratté is the President and Chief Investment Officer of GE Ratté and as such is responsible for supervising the services and advice provided to GE Ratté's clients. Mr. Ratté prepares investment policies, forms and procedures for those clients to whom he is the primary advisor representative and firm contact. Mr. Ratté performs periodic reviews of activities of various management systems which incorporate documentation of client interactions, paper flows and trading activities.