

Item 1 - Cover Page

Form ADV Appendix 1, Wrap Program Brochure

Ironridge Wealth Management, LLC

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This brochure provides information about the qualifications and business practices of Ironridge Wealth Management, LLC ("Ironridge"). If you have any questions about the contents of this brochure, please contact us at (770) 635-1925 or BrianStickney@ironridgewealth.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state authority.

Additional information about Ironridge also is available on the SEC's website at

www.AdviserInfo.sec.gov

April 9, 2014

Item 2 - Material Changes

This Wrap Brochure is prepared in the revised format required by the SEC beginning in 2011. Registered Investment Advisers are required to use this format in order to inform clients of the nature of advisory services provided, types of clients served, fees charged, potential conflicts of interest and other information. The Wrap Brochure requirements include providing a Summary of Material Changes (the "Summary") reflecting any change to our policies, practices, or conflicts of interest made since our last "annual update" filing. In the event of any material changes, this Summary is provided to all clients within 120 days of our fiscal year-end. Our last annual update was filed on February 7, 2014. Of course the complete Brochure is available to clients at any time upon request.

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Item 4 - Services, Fees and Compensation

General Information

Ironridge Wealth Management, LLC was formed in 2013, and provides financial planning and portfolio management services to its clients. Such services may be offered through the Ironridge Wrap Fee Program or the “Program”, which has been designed to simplify the payment of management fees and brokerage expenses.

Brian Stickney and Richard Maurice are the sole principal owners of Ironridge. Please see ***Brochure Supplement***, Exhibit A, for more information on these principal owners and any other individuals who formulate investment advice and have direct contact with clients, or have discretionary authority over client accounts.

Portfolio Management Services

At the beginning of a client relationship, Ironridge meets with the client, gathers information, and performs research and analysis as necessary to develop the client’s Investment Plan. The Investment Plan will be updated from time to time when requested by the client, or when determined to be necessary or advisable by Ironridge based on updates to the client’s financial or other circumstances.

To implement the client’s Investment Plan, Ironridge will manage the client’s investment portfolio on a discretionary or a non-discretionary basis. As a discretionary investment adviser, Ironridge will have the authority to supervise and direct the portfolio without prior consultation with the client. Clients who choose a non-discretionary arrangement must be contacted prior to the execution of any trade in the account(s) under management. This may result in a delay in executing recommended trades, which could adversely affect the performance of the portfolio. This delay also normally means the affected account(s) will not be able to participate in block trades, a practice designed to enhance the execution quality, timing and/or cost for all accounts included in the block. In a non-discretionary arrangement, the client retains the responsibility for the final decision on all actions taken with respect to the portfolio.

General Fee Information

Fees paid by clients to participate in the Ironridge Wrap Program generally include brokerage expenses (e.g., commissions, ticket charges, etc.) as well as the management fee paid to Ironridge. Under the all-inclusive billing alternative, Ironridge will assess one client fee that captures the management, brokerage and administrative portions collectively. The standard annual rate schedule is set forth below.

<u>Assets</u>	<u>Fee</u>
\$0 - \$250,000	2.00%
>\$250,000 to \$500,000	1.75%
Amount over \$500,000	1.25%

Fees paid to Ironridge are exclusive of and distinct from the fees and expenses charged by mutual funds, ETFs (exchange traded funds) or other investment pools to their shareholders (generally including a management fee and fund expenses, as described in each fund’s prospectus or offering materials). Clients will pay certain fees in addition to the fees of the Ironridge Wrap Fee Program, as more fully described in the Brochure, Part 2A. Ironridge Wrap Program fees which Ironridge does not pay to third parties in connection with transaction and execution expenses are retained by

Ironridge. Because of this, Ironridge may have a disincentive to trade securities in the accounts of clients in the Program.

The client should review all fees charged by funds, Ironridge and others to fully understand the total amount of fees paid by the client for investment and financial-related services. Clients participating in the Ironridge Wrap Program may pay higher or lower fees than clients purchasing such services separately, depending on the cost of services if provided separately and the level of trading in a particular client's account.

Wrap Program Fee Information

Please see **Item 5 - Fees and Compensation** of ADV Part 2A for more information regarding the Wrap Fee Program Fees.

Item 5 - Account Requirements and Types of Clients

Ironridge serves individuals, pension and profit-sharing plans, corporations, trusts, estates and charitable organizations. Ironridge may impose a minimum portfolio value and/or a minimum annual fee for conventional investment advisory services. Under certain circumstances and in its sole discretion, Ironridge may negotiate such minimums.

Item 6 - Portfolio Manager Selection and Evaluation

The Ironridge Wrap Fee Program was designed to simplify for clients the payment of management fees and brokerage expenses. The Program does not select advisers in addition to Ironridge, which is the only Portfolio Manager for the Program.

Item 7 - Client Information Provided to Portfolio Managers

Ironridge is the only portfolio manager under the Ironridge Wrap Program. No information is shared with any other portfolio manager.

Item 8 - Client Contact with Portfolio Managers

Ironridge is the only portfolio manager under the Ironridge Wrap Program. No restrictions are placed on client's ability to contact or consult with Ironridge.

Item 9 - Additional Information

Neither Ironridge nor its Management Person has any disciplinary disclosure required. Please see ADV Part 2A for more information in the following areas: ***Item 10 - Other Financial Industry Activities and Affiliations, Item 11 - Code of Ethics, Item 13 - Review of Accounts, Item 14 - Client Referrals and Other Compensation, and Item 18 - Financial Information.***