

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
March 2014**

**Winifred (“Winnie”) S. Sun**



**3 Park Plaza, Suite 120  
Irvine, CA 92614**

**Firm Contact:  
Brandon Chang,  
Chief Compliance Officer**

**Firm Website Address:  
[www.sungroupwp.com](http://www.sungroupwp.com)**

**This brochure supplement provides information about Winnie Sun that supplements our Form ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Brandon Chang, Chief Compliance Officer, if you did not receive Sun Group Wealth Partners LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Winnie Sun is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD#: 4034080.**

## Item 2: Educational Background & Business Experience

**Winifred ("Winnie") S. Sun**

**Year of Birth:** 1974

**Educational Background:** 1996: University of California, Los Angeles: B.A. in Political Science

### **Business Background:**

- 01/2013 – Present Sun Group Wealth Partners LLC: C.E.O. & Financial Adviser
- 03/2011 – Present LPL Financial, LLC: Registered Representative
- 03/2011 – 04/2013 Stratos Wealth Partners, Ltd.: Investment Adviser Representative
- 06/2009 – 03/2011 Morgan Stanley Smith Barney: Financial Advisor
- 08/1999 – 06/2009 Citigroup Global Markets, Inc.: Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 10/1999: Series 7 Exam
- 10/1999: Series 63 Exam
- 12/1999: Series 65 Exam

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Winnie Sun.

## Item 4: Other Business Activities

Winnie Sun is a registered representative of LPL Financial, LLC, member FINRA/SIPC. She may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Winnie Sun may earn. This is fully disclosed to Clients and Clients are under no obligation to purchase securities from Winnie Sun.

Winnie Sun is a licensed insurance agent. As such, she may have an incentive to sell and recommend insurance products to advisory clients. When such recommendations or sales are made, a conflict of interest exists as Winnie Sun may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. Clients are under no obligation to purchase insurance products from Winnie Sun.

## Item 5: Additional Compensation

We have nothing to disclose in this regard.

<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Winnie Sun to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Winnie Sun to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 6: Supervision**

Brandon Chang, Chief Compliance Officer, supervises and monitors Winnie Sun's activities on a regular basis. Mr. Chang reviews all outgoing correspondence for written financial advice that Winnie Sun provides to her clients. Please contact Mr. Chang if you have any questions about Winnie Sun's brochure supplement at 800-213-5888.

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**Brandon J. Chang**



**3 Park Plaza, Suite 120  
Irvine, CA 92614**

**Firm Contact:  
Brandon Chang,  
Chief Compliance Officer**

**Firm Website Address:  
[www.sungroupwp.com](http://www.sungroupwp.com)**

**This brochure supplement provides information about Brandon Chang that supplements our Form ADV Part 2A brochure. You should have received a copy of that brochure. Please contact Brandon Chang, Chief Compliance Officer, if you did not receive Sun Group Wealth Partners LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Brandon Chang is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD#: 4016977.**

## Item 2: Educational Background & Business Experience

**Brandon J. Chang**  
**Year of Birth:** 1976

**Educational Background:** 1999: University of California, Los Angeles; B.S. in Biology

**Business Background:**

- 01/2013 – Present Sun Group Wealth Partners: Chief Compliance Officer & Founding Partner
- 03/2011 – Present LPL Financial, LLC: Registered Representative
- 03/2011 – 04/2013 Stratos Wealth Partners, Ltd.: Investment Adviser Representative
- 06/2009 – 03/2011 Morgan Stanley Smith Barney: Financial Advisor
- 01/2001 – 06/2009 Citigroup Global Markets Inc.: Financial Advisor

**Exams, Licenses & Other Professional Designations:**

- 04/2010: Series 31 Exam
- 10/1999: Series 7 Exam
- 10/1999: Series 63 Exam
- 09/2000: Series 65 Exam

## Item 3: Disciplinary Information<sup>1</sup>

There are no legal or disciplinary events material to the evaluation of Brandon Chang.

## Item 4: Other Business Activities

Brandon Chang is a registered representative of LPL Financial, LLC, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Brandon Chang may earn. This is fully disclosed to Clients and Clients are under no obligation to purchase securities from Mr. Chang.

Brandon Chang is a licensed insurance agent. As such, he may have an incentive to sell and recommend insurance products to advisory clients. When such recommendations or sales are made, a conflict of interest exists as Brandon Chang may earn insurance commissions for the sale of those products, which may create an incentive to recommend such products. Clients are under no obligation to purchase insurance products from Brandon Chang.

## Item 5: Additional Compensation

We have nothing to disclose in this regard.

<sup>1</sup> **Note:** Our firm may, under certain circumstances, rebut the presumption that a disciplinary event is material. If an event is immaterial, we are not required to disclose it. When we review a legal or disciplinary event involving Brandon Chang to determine whether it is appropriate to rebut the presumption of materiality, we consider all of the following factors: (1) the proximity of Brandon Chang to the advisory function; (2) the nature of the infraction that led to the disciplinary event; (3) the severity of the disciplinary sanction; and (4) the time elapsed since the date of the disciplinary event. If we conclude that the materiality presumption has been overcome, we prepare and maintain a file memorandum of our determination in our records. We follow SEC rule 204-2(a)(14)(iii) and similar state rules.

### **Item 6: Supervision**

Winnie Sun, Founder and Managing Partner, supervises and monitors Brandon Chang's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Winnie Sun if you have any questions about Mr. Chang's brochure supplement at 800-213-5888.