

*This brochure supplement provides information about Steven E Post that supplements the Steven E Post, LLC brochure. You should have received a copy of that brochure. Please contact Steven E Post, Managing Member if you did not receive Steven E Post, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Steven E Post is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **Steven E Post, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

### **Steven E Post**

Personal CRD Number: 6140150  
Investment Adviser Representative

Steven E Post, LLC  
1100 N. Main Street  
Auburn, Indiana, 46706  
(260) 925-2887  
[steve@stevenepost.com](mailto:steve@stevenepost.com)

UPDATED: 12/23/2014

## Item 2: Educational Background and Business Experience

**Name:** Steven E Post **Born:** 1952

### Education Background and Professional Designations:

#### Education:

BS Industrial Management, Georgia Institute of Technology - 1974

#### Designations:

#### CRPC® - Chartered Retirement Planning Counselor<sup>SM</sup>

##### MINIMUM QUALIFICATIONS:

- Successfully complete the program;
- Pass the final examination; and
- Comply with the Code of Ethics, which includes agreeing to abide by the Standards of Professional Conduct and Terms and Conditions. Applicants must also disclose of any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct. Conferment of the designation is contingent upon the College for Financial Planning's review of matters either self-disclosed or which are discovered by the College that are required to be disclosed.
- Successful students receive a certificate and are granted the right to use the designation on correspondence and business cards for a two-year period.
- Continued use of the CRPC® designation is subject to ongoing renewal requirements. Every two years individuals must renew their right to continue using the CRPC® designation by:
  - completing 16 hours of continuing education;
  - reaffirming to abide by the Standards of Professional Conduct, Terms and Conditions, and self disclose any criminal, civil, self-regulatory organization, or governmental agency inquiry, investigation, or proceeding relating to their professional or business conduct; and
  - paying a biennial renewal fee

#### EA - Enrolled Agent

##### MINIMUM QUALIFICATIONS:

- **Written Examination:** You can become an enrolled agent by demonstrating special competence in tax matters by taking a written examination. This track requires that you :
  - Apply to take the Special Enrollment Examination (SEE); [prometric.com/irs](http://prometric.com/irs);
  - Achieve passing scores on all parts of the SEE;
  - Apply for enrollment; and
- Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.
- **IRS Experience:** You can become an enrolled agent by virtue of past service and technical experience with the IRS that qualifies you for enrollment. This track requires that you :
  - Possess the years of past service and technical experience specified in Circular 230;
  - Apply for enrollment; and
  - Pass a background check to ensure that you have not engaged in any conduct that would justify the suspension or disbarment of an attorney, CPA, or enrolled agent from practice before the IRS.

## **Business Background:**

11/2012 - Present	Managing Member/Investment Adviser Representative Steven E Post, LLC
01/2013 - Present	Owner Post & Miller, Inc.
04/1974 - 01/2013	Owner Steven E Post EA, LLC (Accounting Tax and Consulting Services Firm)

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Steven E Post is the fifty percent owner of an accounting and tax professional practice, Post & Miller, Inc. He considers these activities as his primary business. From time to time, he will offer clients advice or products from those activities. Steven E Post, LLC always acts in the best interest of the client. Clients are in no way required to implement the plan through any representative of Steven E Post, LLC in such individual's outside capacities.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Steven E Post does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Steven E Post, LLC.

## **Item 6: Supervision**

As the only owner and representative of Steven E Post, LLC, Steven E Post supervises all duties and activities of the firm. Steven E Post's contact information is on the cover page of this disclosure document. Steven E Post adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.