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July 2014**

**Benjamin Katon**

**Kernodle & Katon**

**Tax and Asset Management Group**

**515 S Main Street, Searcy, AR 72143**

**[www.KernodleKaton.com](http://www.KernodleKaton.com)**

**Firm Contact:  
Benjamin Katon  
Chief Compliance Officer**

This brochure supplement provides information about Benjamin Katon that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Katon if you did not receive Kernodle & Katon Asset Management Group, LLC dba Kernodle & Katon Tax and Asset Management Group's ("KKAMG") brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Katon is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2: Educational Background & Business Experience**

**Benjamin Katon**

**Year of Birth:** 1974

### **Educational Background:**

- 1996: Harding University; Bachelor of Arts in Economics

### **Business Background:**

- 10/2013 – Present Purshe Kaplan Sterling Investments; Registered Representative
- 08/2009 – Present Kernodle & Katon Tax and Asset Management Group, LLC; Partner, Chief Compliance Officer & Investment Advisor
- 12/1996 – 08/2009 Edward Jones Investments; Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 03/1997: Series 7 & Series 63 Exams
- 08/2006: Series 65 Exam
- 03/2011: Series 9 & Series 10 Exams
- Insurance

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Katon.

## **Item 4: Other Business Activities**

Mr. Katon is a registered representative of Purshe Kaplan Sterling Investments, member FINRA/SIPC, and a licensed insurance agent. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation they may earn.

## **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

## **Item 6: Supervision**

Jeffery Kernodle is a principal of KKAMG and as such supervises and monitors Mr. Katon's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Kernodle if you have any questions about Mr. Katon's brochure supplement at 501-279-0101.

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**Jeffery Kernodle**

**Kernodle & Katon**

**Tax and Asset Management Group**

**515 S Main Street, Searcy, AR 72143**

**[www.KernodleKaton.com](http://www.KernodleKaton.com)**

**Firm Contact:  
Benjamin Katon  
Chief Compliance Officer**

This brochure supplement provides information about Jeffery Kernodle that supplements our brochure. You should have received a copy of that brochure. Please contact Benjamin Katon if you did not receive Kernodle & Katon Asset Management Group, LLC dba Kernodle & Katon Tax and Asset Management Group's ("KKAMG") brochure or if you have any questions about the contents of this supplement. Additional information about Jeffery Kernodle is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2: Educational Background & Business Experience**

**Jeffery Kernodle**

**Year of Birth:** 1974

### **Educational Background:**

- 1997: Harding University; Bachelor of Arts in Accounting

### **Business Background:**

- 10/2013 – Present Purshe Kaplan Sterling Investments; Registered Representative
- 08/2009 – Present Kernodle & Katon Tax and Asset Management Group, LLC; Partner & Investment Advisor
- 12/2006 – 08/2009 Kernodle Investment Management; Owner & Financial Advisor
- 08/1997 – 12/2006 Edward Jones Investments; Financial Advisor

### **Exams, Licenses & Other Professional Designations:**

- 10/1997: Series 7 & Series 63 Exams
- 01/2006: Series 65 Exam
- 04/2007: Series 24 Exam
- Insurance

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Kernodle.

## **Item 4: Other Business Activities**

Mr. Kernodle is a registered representative of Purshe Kaplan Sterling Investments, member FINRA/SIPC, and a licensed insurance agent. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation they may earn.

## **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

## **Item 6: Supervision**

Benjamin Katon, Chief Compliance Officer of KKAMG, supervises and monitors Mr. Kernodle's activities on a regular basis. Mr. Katon reviews all outgoing correspondence for written financial advice that Mr. Kernodle provides to his clients. Please contact Mr. Katon if you have any questions about Mr. Kernodle's brochure supplement at 501-279-0101.

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**Alana C. Green**

**Kernodle & Katon**

**Tax and Asset Management Group**

**515 S Main Street, Searcy, AR 72143**

**[www.KernodleKaton.com](http://www.KernodleKaton.com)**

**Firm Contact:  
Benjamin Katon  
Chief Compliance Officer**

This brochure supplement provides information about Ms. Green that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Katon if you did not receive Kernodle & Katon Asset Management Group, LLC dba Kernodle & Katon Tax and Asset Management Group's ("KKAMG") brochure or if you have any questions about the contents of this supplement. Additional information about Ms. Green is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2: Educational Background & Business Experience**

**Alana C. Green**

**Year of Birth:** 1960

### **Educational Background:**

- Ms. Green has no formal education following high school.

### **Business Background:**

- 10/2013 – Present Kernodle & Katon Tax and Asset Management Group, LLC; Advisor
- 01/2008 – Present Green's Tax & Financial Services; Owner
- 03/2006 – 06/2012 HD Vest Investment Services; Registered Representative
- 06/1987 – 12/2007 Green's Tax Service; Owner
- 10/2004 – 03/2006 Money Concepts Capital Corp; Registered Representative

### **Exams, Licenses & Other Professional Designations:**

- 2013: Enrolled Agent (EA)
- 2005: Series 66 Exam
- 2004: Life & Health Insurance
- 2004: Series 7 Exam

An Enrolled Agent is a federally authorized tax practitioner empowered by the United States Department of the Treasury to represent taxpayers before the IRS. To become an EA, applicant must pass the Special Enrollment Examination or have worked for the IRS for five years in a position which regularly interpreted and applied the tax code and its regulations. A background check, including a review of the applicant's tax compliance, is also conducted. The IRS requires EAs to complete 72 hours of continuing professional education every three years.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Ms. Green.

## **Item 4: Other Business Activities**

Ms. Green is a licensed insurance agent. She may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client. Ms. Green spends approximately 2 hours per month with this outside business activity.

Ms. Green is the owner of Green's Tax & Financial LLC, a tax and accounting practice. Ms. Green spends approximately 200 hours per month from January through April and 32 hours from May through December. These services and fees are independent and in addition to KKAMG's financial planning and investment advisory services and are governed under a separate engagement agreement. The hourly rate varies depending on the complexity of the work conducted. The client has the option of engaging our firm for tax preparation or accounting services.

#### **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

#### **Item 6: Supervision**

Benjamin Katon, Chief Compliance Officer of KKAMG supervises and monitors Ms. Green's activities on a regular basis. Mr. Katon reviews all outgoing correspondence for written financial advice that Ms. Green provides to her clients. Please contact Mr. Katon if you have any questions about Ms. Green's brochure supplement at 501-279-0101.

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**William R. Anderson**

**Kernodle & Katon**

**Tax and Asset Management Group**

**515 S Main Street, Searcy, AR 72143**

**[www.KernodleKaton.com](http://www.KernodleKaton.com)**

**Firm Contact:  
Benjamin Katon  
Chief Compliance Officer**

This brochure supplement provides information about Mr. Anderson that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Katon if you did not receive Kernodle & Katon Asset Management Group, LLC dba Kernodle & Katon Tax and Asset Management Group's ("KKAMG") brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Anderson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).



## **Item 2: Educational Background & Business Experience**

**William Ryan Anderson**

**Year of Birth:** 1991

**Educational Background:**

- 2013: Harding University; Bachelor of Business Administration in Finance

**Business Background:**

- 01/2014 – Present      Kernodle & Katon Tax and Asset Management Group, LLC; Relationship Manager & Investment Advisor
- 07/2009 – 12/2013      Student

**Exams, Licenses & Other Professional Designations:**

- 2014: Series 65 Exam

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Anderson.

## **Item 4: Other Business Activities**

We have nothing to disclose in this regard.

## **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

## **Item 6: Supervision**

Benjamin Katon, Chief Compliance Officer of KKAMG, supervises and monitors Mr. Anderson's activities on a regular basis. Mr. Katon reviews all outgoing correspondence for written financial advice that Mr. Anderson provides to his clients. Please contact Mr. Katon if you have any questions about Mr. Anderson's brochure supplement at 501-279-0101.

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**Bryan Duke**

**Kernodle & Katon**

**Tax and Asset Management Group**

**515 S Main Street, Searcy, AR 72143**

**[www.KernodleKaton.com](http://www.KernodleKaton.com)**

**Firm Contact:**  
**Benjamin Katon**  
**Chief Compliance Officer**

This brochure supplement provides information about Mr. Duke that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Katon if you did not receive Kernodle & Katon Asset Management Group, LLC dba Kernodle & Katon Tax and Asset Management Group's ("KKAMG") brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Duke is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2: Educational Background & Business Experience**

**Bryan Duke**

**Year of Birth:** 1974

### **Educational Background:**

- 1996: University of Central Arkansas; Bachelor of Arts in Accounting
- 1999: University of Arkansas at Little Rock School of Law; JD
- 2000: University of Florida, College of Law; LL.M

### **Business Background:**

- 04/2013 – Present Kernodle & Katon Tax and Asset Management Group, LLC; Investment Advisor
- 06/1997 – Present Friday, Eldredge & Clark, LLP; Attorney

### **Exams, Licenses & Other Professional Designations:**

- 1999: Arkansas Bar
- 1998: Certified Public Accountant (Inactive)
- 2013: Series 65 Exam

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Duke.

## **Item 4: Other Business Activities**

Mr. Duke is a partner and licensed attorney with Friday, Eldredge & Clark, LLP. Any legal services rendered will be governed under a separate agreement and will be in addition to any KKAMG fees. Clients may be solicited to for legal services, however, they are under no obligation to do so. Mr. Duke spends approximately 90% of his time with this outside business activity.

## **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

## **Item 6: Supervision**

Benjamin Katon, Chief Compliance Officer of KKAMG, supervises and monitors Mr. Duke's activities on a regular basis. Mr. Katon reviews all outgoing correspondence for written financial advice that Mr. Duke provides to his clients. Please contact Mr. Katon if you have any questions about Mr. Duke's brochure supplement at 501-279-0101.

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**Gary Gammill**

**Kernodle & Katon**

**Tax and Asset Management Group**

**515 S Main Street, Searcy, AR 72143**

**[www.KernodleKaton.com](http://www.KernodleKaton.com)**

**Firm Contact:  
Benjamin Katon  
Chief Compliance Officer**

This brochure supplement provides information about Mr. Gammill that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Katon if you did not receive Kernodle & Katon Asset Management Group, LLC dba Kernodle & Katon Tax and Asset Management Group's ("KKAMG") brochure or if you have any questions about the contents of this supplement. Additional information about Mr. Gammill is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Gary Gammill**

**Year of Birth:** 1949

**Educational Background:**

- 1971: University of Arkansas; BSBA in Accounting

**Business Background:**

- 01/2013 – Present Kernodle & Katon Tax and Asset Management Group, LLC; Partner & Investment Advisor
- 11/1981 – Present Gary S. Gammill, CPA
- 05/1998 – 01/2013 H.D. Vest; Registered Representative

**Exams, Licenses & Other Professional Designations:**

- 1973: Certified Public Accountant (CPA®)
- 1998: Series 7, Series 63 & Series 65 Exams
- 2000: Insurance

Certified Public Accountants are licensed and regulated by their state boards of accountancy. While state laws and regulations vary, the education, experience and testing requirements for licensure as a CPA® generally include minimum college education (typically 150 credit hours with at least a baccalaureate degree and a concentration in accounting), minimum experience levels (most states require at least one year of experience providing services that involve the use of accounting, attest, compilation, management advisory, financial advisory, tax or consulting skills, all of which must be achieved under the supervision of or verification by a CPA®), and successful passage of the Uniform CPA® Examination. In order to maintain a CPA® license, states generally requires the completion 80 hours over a two year period. Additionally, all American Institute of Certified Public Accountants (AICPA) members<sup>1</sup> are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services. The vast majority of state boards of accountancy have adopted the AICPA's *Code of Professional Conduct* within their state accountancy laws or have created their own.

## Item 3: Disciplinary Information

There are no legal or disciplinary events material to the evaluation of Mr. Gammill.

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<sup>1</sup> This description represents the requirements as of 1/1/2011. It is the responsibility of the adviser to disclose the qualifications in place when he or she attained the credential.

#### **Item 4: Other Business Activities**

Mr. Gammill is a licensed insurance agent. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client. Mr. Gammill spends approximately 4 hours per month with this outside business activity.

As of January 2013, Mr. Gammill serves as a CPA® consultant where he provides accounting services and income tax preparation. Clients of KKAMG will not be solicited. Mr. Gammill spends approximately 20 hours per month with this outside business activity.

#### **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

#### **Item 6: Supervision**

Benjamin Katon, Managing Member and Chief Compliance Officer of KKAMG supervises and monitors Mr. Gammill's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Katon if you have any questions about Mr. Gammill's brochure supplement at 501-279-0101.

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**Nathaniel Green**

**Kernodle & Katon**

**Tax and Asset Management Group**

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**Firm Contact:  
Benjamin Katon  
Chief Compliance Officer**

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## **Item 2: Educational Background & Business Experience**

**Nathaniel Reed Green**

**Year of Birth:** 1985

### **Educational Background:**

- 2007: Harding University; Bachelor of Business Administration in Business Management

### **Business Background:**

- 04/2014 – Present Kernodle & Katon Tax and Asset Management Group, LLC; Investment Advisor
- 08/2011 – 03/2014 Northwestern Mutual Investment Services; Financial Representative
- 08/2007 – 08/2011 Harding University; Student

### **Exams, Licenses & Other Professional Designations:**

- 2011: Life & Health Insurance Licensed
- 2012: Series 6 & Series 63 Exams
- 2014: Series 65 Exam

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events material to the evaluation of Mr. Green.

## **Item 4: Other Business Activities**

Mr. Green is a licensed insurance agent. He may offer products and receive normal and customary commissions as a result of these transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation they may earn. Mr. Green spends approximately 2 hours per month with this outside business activity.

## **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

## **Item 6: Supervision**

Benjamin Katon, Chief Compliance Officer of KKAMG, supervises and monitors Mr. Green's activities on a regular basis. Mr. Katon reviews all outgoing correspondence for written financial advice that Mr. Green provides to his clients. Please contact Mr. Katon if you have any questions about Mr. Green's brochure supplement at 501-279-0101.