

**William Patrick Fair**  
CRD # 4243098

**Augusta Capital Management, LLC**  
1100 Frazier Drive  
Augusta, Ga 30909  
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1/20/2014

**FORM ADV PART 2B  
BROCHURE SUPPLEMENT**

This brochure supplement provides information about William Patrick Fair that supplements the Augusta Capital Management brochure. You should have received a copy of that brochure. Please contact William Patrick Fair if you did not receive Augusta Capital Management's brochure or if you have any questions about the contents of this supplement.

Additional information about William Patrick Fair is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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## **ITEM 2 Educational Background and Business Experience**

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### **Name**

*Year of Birth:* 1965

### *Formal Education after High School:*

- Georgia Institute of Technology, Bachelor of Science, Management, 1988
- Augusta State University, MBA, 1997

### *Business Background for the Previous Five Years:*

- Augusta Capital Investors, Chief Compliance Officer, 11/2013 – present
- Augusta Capital Management, Chief Compliance Officer, 11/2013 - present
- AP Wealth Management, Chief Compliance Officer, 01/2011 – present
- LPL Financial, Registered Representative, 7/2010 – 9/2013
- First Citizens Securities, Financial Adviser, 01/2009 – 07/2010
- Wachovia Securities, Financial Adviser, 06/2005 – 01/2009

### *Certifications:*

- Certified Financial Planner practitioner; Must complete course of study, agree to the CFP board's code of ethics, and complete 30 hours of continuing education every two years.

### **ITEM 3 Disciplinary Information**

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A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the supervised person

1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any felony; (b) a misdemeanor that involved investments or an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses;
2. is the named subject of a pending criminal proceeding that involves an investment-related business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses;
3. was found to have been involved in a violation of an investment-related statute or regulation; or
4. was the subject of any order, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the supervised person from engaging in any investment-related activity, or from violating any investment-related statute, rule, or order.

None

B. An administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of an investment-related statute or regulation and was the subject of an order by the agency or authority
  - (a) denying, suspending, or revoking the authorization of the supervised person to act in an investment-related business;
  - (b) barring or suspending the supervised person's association with an investment-related business;
  - (c) otherwise significantly limiting the supervised person's investment-related activities; or
  - (d) imposing a civil money penalty of more than \$2,500 on the supervised person.

None

C. A self-regulatory organization (SRO) proceeding in which the supervised person

1. was found to have caused an investment-related business to lose its authorization to do business; or
2. was found to have been involved in a violation of the SRO's rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from investment-related activities; or (iii) fined more than \$2,500.

None

D. Any other proceeding in which a professional attainment, designation, or license of the supervised person was revoked or suspended because of a violation of rules relating to professional conduct. If the supervised person resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a proceeding (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

None

**ITEM 4 Other Business Activities**

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William is insurance licensed in Georgia and South Carolina. He receives commissions for the sale of life insurance, disability insurance and long term care insurance. This may create a conflict of interest when making financial recommendations for clients.

***ITEM 5 Additional Compensation***

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William does not receive any economic benefit for providing advisory services from anyone who is not a client.

***ITEM 6 Supervision***

Form ADV Part 2B, Item 6

John Parker Rhodes, Chief investment officer, 706 364-4281 monitors the advice that William provides to clients. John regularly reviews all accounts and receives daily reports of activities in client accounts.