

Part 2B of Form ADV: Brochure Supplement

Item 1- Cover Page

This brochure supplement provides information about the professional backgrounds of Harch Capital Management, LLC's supervised persons and is supplemental to Harch Capital's Part 2A Firm Brochure. If you have any questions about the contents of this brochure supplement, please contact us.

Harch Capital Management, LLC

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- Joseph W. Harch
- Gabriel Gengler
- Lotte Kiepe
- Josh Pontoriero
- Tyler Harch

Joseph W. Harch

Item 2- Educational Background and Business Experience

Joseph W. Harch is the Founder, Chairman and Managing Member of Harch Capital Management, LLC, which he founded in 1991. In this capacity, Mr. Harch has functioned as a research analyst, investment strategist and portfolio manager for Harch Capital's high yield fixed income and equity accounts since 1991. Mr. Harch, formerly a Certified Public Accountant, has been in the securities business since 1979. Between 1979 and 1991, Mr. Harch was a senior investment banker with the firms of Bateman Eichler, Hill Richards, Prudential Bache Securities, Drexel Burnham Lambert, Inc. ("Drexel Burnham") and Donaldson, Lufkin & Jenrette, Inc. During his tenure at these firms, Mr. Harch originated, processed and marketed in aggregate more than \$15 billion of equity, convertible debt and high yield debt offerings and exchanges. Since 1982, Mr. Harch's career has been concentrated in the non-investment grade debt sector. From October 1988 through February 1990, Mr. Harch was the National High Yield Sales Manager at Drexel Burnham, where he managed its high yield sales force and syndicate department and was responsible for new account development and origination. At Drexel, Mr. Harch was responsible for structuring and selling equity securities (common and preferred stock), convertible debt and all types of debt securities (bank loans and subordinated debt). He was also an active mergers and acquisitions and restructuring adviser to many large corporations. Joe's experience has driven the investment philosophy at Harch Capital- to identify and invest in companies with large market share, the ability to generate free cash flow, possess strong collateral bases, and the ability to access alternative capital sources. Mr. Harch graduated from California State University, Fullerton in 1975 with a BA in Finance and was a Certified Public Accountant with Arthur Young & Company in New York City before entering the securities industry.

Item 3- Disciplinary Information

Mr. Harch does not have any disciplinary information to disclose. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

Item 4- Other Business Activities

Joseph W. Harch is currently not actively engaged in any other investment related business or occupation.

Item 5 – Additional Compensation

Joseph W. Harch currently receives no additional compensation.

Item 6- Supervision

Joseph W. Harch is currently not supervised.

Gabriel Gengler

Item 2- Educational Background and Business Experience

Gabriel "Buddy" Gengler is an Executive Vice President of Harch Capital Management, LLC a position he has held since September 2006 as Vice President with responsibilities as credit analyst and assistant portfolio manager. Mr. Gengler possesses a BS in Management (with a minor in Systems Engineering) from the United States Military Academy at West Point and an MBA from Touro University International. Prior to joining Harch, Mr. Gengler served as a platoon leader, executive officer, and commander in the US Army and was awarded the Bronze Star for combat service in Iraq. As an Army Captain, Mr. Gengler managed both large scale combat and logistics operations and his responsibilities included unit financial reporting and budget requirements. After sustaining a lower leg injury in Iraq, Mr. Gengler was honorably discharged from the Army and joined Ernst and Young as a consultant focusing on Sarbanes-Oxley compliance, financial operations, and business process review across multiple industries. Mr. Gengler is also a Managing Director at MidMarket Capital Partners, a joint venture between Harch Capital Management and American Money Management Corporation (a wholly-owned subsidiary of American Financial Group, Ticker: AFG). At MidMarket Capital Partners, Mr. Gengler leads deal sourcing, due diligence structuring for a \$200 million portfolio of middle market senior secure, subordinated and mezzanine loans.

Item 3- Disciplinary Information

Mr. Gengler does not have any disciplinary information to disclose. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

Item 4- Other Business Activities

Gabriel Gengler is currently not actively engaged in any other investment related business or occupation.

Item 5 – Additional Compensation

Gabriel Gengler does not currently receive any additional compensation.

Item 6- Supervision

Gabriel Gengler is supervised by Harch Capital Management's Managing Member, who may be reached at 561-226-6199.

Lotte Kiepe

Item 2- Educational Background and Business Experience

Lotte Kiepe is a Trading Assistant for Harch Capital Management, a position that she has held since March 2011. She is also the Office Manager. Ms. Kiepe earned her BS from University of Colorado.

Item 3- Disciplinary Information

Ms. Kiepe does not have any disciplinary information to disclose. She has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

Item 4- Other Business Activities

Lotte Kiepe is currently not actively engaged in any other investment related business or occupation.

Item 5 – Additional Compensation

Lotte Kiepe does not currently receive any additional compensation.

Item 6- Supervision

Lotte Kiepe is supervised by Harch Capital Management's Managing Member, who may be reached at 561-226-6199.

Josh Pontoriero

Item 2- Educational Background and Business Experience

Josh Pontoriero is an analyst for Harch Capital a position he has held since 2012. Mr. Pontoriero graduated with a BA in Criminology and a BBA in Finance from Florida Atlantic University.

Item 3- Disciplinary Information

Mr. Pontoriero does not have any disciplinary information to disclose. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

Item 4- Other Business Activities

Josh Pontoriero is currently not actively engaged in any other investment related business or occupation.

Item 5 – Additional Compensation

Josh Pontoriero does not currently receive any additional compensation.

Item 6- Supervision

Josh Pontoriero is supervised by Harch Capital Management's Managing Member, who may be reached at 561-226-6199.

Tyler Harch

Item 2- Educational Background and Business Experience

Tyler Harch is an analyst for Harch Capital a position he has held since January 2013. Mr. Harch graduated with a BA in Economics with business emphasis from University at Colorado at Boulder.

Item 3- Disciplinary Information

Mr. Harch does not have any disciplinary information to disclose. He has not been party to a) a criminal or civil action in a domestic, foreign or military court; b) an administrative proceeding before the SEC, any other federal regulatory agency, any state regulatory agency or any foreign financial regulatory authority; c) a self-regulatory proceeding; or d) any other proceeding in which a professional attainment, designation, or license was revoked.

Item 4- Other Business Activities

Tyler Harch is currently not actively engaged in any other investment related business or occupation.

Item 5 – Additional Compensation

Tyler Harch does not currently receive any additional compensation.

Item 6- Supervision

Tyler Harch is supervised by Harch Capital Management's Managing Member, who may be reached at 561-226-6199.