

Part 2B of Form ADV: *Brochure Supplement*

Item 1 Cover Page

This brochure supplement is provided on our Partner, James V. Duty

Mr. Duty's Contact information:

James V. Duty, Partner
Roseline Capitol Advisors, LLC
140 Virginia Street, Suite 300
Richmond, VA 23219
jduty@theroselinegroup.com
www.theroselinegroup.com
804 545-7440

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This brochure supplement provides information about our employee, James V. Duty that supplements our Form ADV, Part 2 (brochure, attached). You should have received a copy of that brochure as we include this supplement with all copies. Please contact James V. Duty if you did not receive our brochure or if you have any questions related to the brochure or this supplement.

Additional information about James V. Duty is available on the SEC's website at www.adviserinf.sec.gov

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Item 2 Educational Background and Business Experience

James V. Duty, CRD 2700535

Year of Birth: 1957

Formal Education After High School

- University of Arizona, M.S.-Accounting, 1981
- University of Virginia, B.S.-Commerce, 1980

Business Background:

- **October 2005 to Present**
Roseline Capitol Advisors, LLC—Partner
The Roseline Financial Group, LLC-Managing Director
Roseline Tax Advisors, PLLC-Partner
- **May 2004 to December 2007**
Norwick Advisors-Sole Proprietor
- **August 1989 to April 2004**
KPMG, LLP Senior Manager 1989-1992
 Partner-1992-2000
 Director-2001-2004

Item 3: Disciplinary Information

Registered investment advisors are required to disclose certain events which may be material to a client's decision regarding whether to invest with the advisor. These include civil or criminal actions involving felonies; misdemeanors involving investments, fraud, false statements, or other acts of misrepresentation; or the violation of an investment-related statute or regulation or any order prohibiting the person from engaging in investment-related activity.

Mr. Duty has no applicable events to disclose.

Item 4: Other Business Activities

Mr. Duty is a principal owner of the The Roseline Financial Group, LLC, the parent company of Roseline Capitol Advisors. He is also a partner of Roseline Tax Advisors, PLLC, an affiliated CPA firm that provides tax consulting and preparation services and non-investment related financial planning services. Mr. Duty devotes approximately 40% of his time to Roseline Capitol Advisors and 60% of his time to The Roseline Financial Group and Roseline Tax Advisors.

Mr. Duty serves on the Board of Directors of the Friends Association for Children, a 501(c)-3 charitable organization. His role with the Association is not investment-related and he does not receive compensation for his participation. It normally occupies three hours per month, and does not create any conflict of interests with his work at Roseline.

Mr. Duty also serves on the Board of Directors of the Middleburg Investment Group & Trust. His compensation for that role is not a significant part of his income and is not related to the sales of securities or investment products. He spends roughly fifteen hours per year in this role, and his work is not investment-related. It does not pose any conflict of interest with his work at Roseline.

Item 5: Additional Compensation

Mr. Duty receives no compensation or economic benefit for providing advisory services outside of his work with Roseline Capitol Advisors.

Item 6: Supervision

Mr. Duty is a partner of the firm. He and the firm's other two partners, Jay Copley and Jef Flounders, operate the firm collaboratively and share in supervisory duties. They conduct a weekly Investment Policy meeting where each client's account allocation is reviewed for asset allocation, performance, and conformance with investment guidelines and restrictions. During this meeting the partners discuss market conditions, changes in client financial circumstances, geo-economic changes, or changes in an investment manager's strategy. Each partner is tasked with the review of all client accounts, and any

findings are discussed among the group. No single partner has supervisory responsibility over the other two.

Item 7: State Disclosure Requirements

The Advisor's principal executive officers are:

- James V. Duty, CRD 2700535
- John E. "Jef" Flounders, CRD 4695261
- James W. "Jay" Copley, CRD 1790932

The Advisor does not engage in any business other than providing investment advice and asset management. However, as noted elsewhere, the Advisor is affiliated with a CPA firm and its principals may devote some time to that business and the management of the affiliate, as disclosed above in Item 10.

The Advisor does not charge performance-based fees. Neither the Advisor nor its personnel have any arbitration or proceeding results to disclose. The Advisor and its personnel have no relationship or arrangement with any issuer of securities.