

Our Brochure may be requested by contacting Stephen Brent Wells, Chief Compliance Officer at 212-582-5029 or wells@solarisgrouppllc.com. Our Brochure is also available through a link on our web site [www.solarisgrouppllc.com](http://www.solarisgrouppllc.com), also free of charge. Additional information about Solaris Advisors is also available via the SEC's web site [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The SEC's web site also provides information about any persons affiliated with Solaris Advisors who are registered, or are required to be registered, as investment adviser representatives of Solaris Advisors.

### **Item 3 – Table of contents**

#### **Table of Contents**

Item 1 – Cover Page. ....	1
Item 2 – Material Changes .....	1
Item 3 – Table of Contents .....	2
Item 4 – Advisory Business.....	3
Item 5 – Fees and Compensation.....	5
Item 6 – Performance-Based Fees and Side-By-Side Management.....	6
Item 7 – Types of Clients.....	6
Item 8 – Methods of Analysis, Investment Strategies and Risk of Loss.....	7
Item 9 – Disciplinary Information.....	9
Item 10 – Other Financial Industry Activities and Affiliations.....	9
Item 11 – Code of Ethics, Participation or Interest in Client Transactions and Personal Trading.....	12
Item 12 – Brokerage Practices.....	15
Item 13 – Review of Accounts.....	17
Item 14 – Client Referrals and Other Compensation.....	18
Item 15 – Custody.....	18
Item 16 – Investment Discretion.....	18
Item 17 – Voting Client Securities.....	19
Item 18 – Financial Information.....	19