



# **SRS Capital Advisors, Inc. Form ADV Part 2B Brochure Supplement**

**Catherine B. Hammond**  
**CRD #6278615**  
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*This brochure supplement provides information about Catherine B. Hammond that supplements the SRS Capital Advisors, Inc. brochure. You should have received a copy of that brochure. Please contact SRS Capital Advisors, Inc. if you did not receive SRS Capital Advisors, Inc.'s brochure or if you have any questions about the content of this supplement.*

*Additional information about Catherine B. Hammond is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

Form ADV Part 2B brochure supplements are not approved by the Commission or any state securities authority.

**Item 2. Educational Background and Experience:**

**NAME AND DATE OF BIRTH:**

Catherine B. Hammond (born 1965)

**EDUCATION:**

**University of Denver**

*Juris Doctor in 1993*

**Coe College**

*B.A. in Psychology and French in 1987*

**Business Experience:**

**SRS Capital Advisors, Inc.**

*Vice President, Private Client Services*

09/2014 to Present

**Hammond Law Group**

*Attorney*

10/2005 to Present

**Woodmen Valley Chapel**

*Coordinator, Office of Senior Pastor*

12/2004 to 10/2005

**LexisNexis**

*Editorial Manager*

01/1999 to 12/2004

**Item 3. Disciplinary Information:**

None

**Item 4. Other Business Activities:**

In an effort to be able to better provide and implement comprehensive financial planning services, Catherine Hammond is licensed with multiple insurance companies and sells life insurance, disability insurance, long-term care insurance and annuity products. In summary, Catherine may utilize virtually any tool or product necessary to provide objective and comprehensive financial planning. Most of these "other products" entitle Catherine to compensation that is separate from fees received for investment advisory fees and financial planning fees.

Catherine is licensed to sell insurance in Colorado.

Catherine is also an attorney at the Hammond Law Group, a law firm in Colorado Springs and Denver that specializes in estate planning and asset protection law. Catherine provides legal advice and services to clients which may include estate plans, wills, trusts, and other retirement and asset protection strategies. She also periodically conducts workshops to help clients work through complicated family and estate planning issues. She receives compensation from these legal services that is separate from fees received for investment advisory fees and financial planning fees.

**Item 5. Additional Compensation:**

Catherine Hammond will receive additional compensation for the legal services she provides via the Hammond Law Group in addition to her SRS Capital Advisors, Inc. compensation.

**Item 6. Supervision:**

SRS Capital Advisors, Inc. closely monitors the recommendations and planning provided by all of its investment professionals. This is done through monthly and annual compliance meetings, random Associate audits, planned file reviews, clear and concise internal policies and procedures, and strict adherence to all industry laws and regulations. For additional information regarding our internal quality and control procedures please contact: David A. Simon, Managing Director at SRS Capital Advisors at (303) 633-5900; or, via email at: [dsimon@srscap.com](mailto:dsimon@srscap.com)