



**Melvin Dale Brooks**

**INNOVATION PARTNERS LLC**

Plantation Center Office Complex

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January 24, 2013

This Brochure provides information about the advisory personnel on whom you may rely for investment advice. Please contact IPLLC if you did not receive our Brochure (ADV Part 2A) or if you have any questions about the contents of this supplement. Additional information about IPLLC or advisory personnel is available on the SEC's website at [www.advisorinfo.sec.gov](http://www.advisorinfo.sec.gov).

**Advisory Personnel**

Melvin Dale Brooks

CRD#: 1386481

## Innovation Partners LLC: Form ADV, Part 2B Melvin Dale Brooks

### Item 2- Educational Background and Business Experience

#### Melvin Dale Brooks

Date of Birth: March 6, 1957

Indiana University Northwest - 1976

Rock Valley Jr College - 1975

Merrillville High School Graduate - 1973

Melvin Dale Brooks is an investment advisor representative and a registered representative in the state of Indiana. He passed the FINRA Series 6, 7, 63 and 65 exams and is a licensed insurance agent in the state of Indiana.

#### Business Background:

Innovation Partners LLC: 01/2013 to Present – Registered Principal and Investment Advisor Representative

Transamerica Financial Advisors Inc.: 10/2008 to 12/2012 – Registered Representative and Investment Advisor Representative

One America Securities: 01/2006 to 05/2008 – Registered Representative

VALIC Financial Advisors Inc.: 06/2004 to 01/2006 – Registered Representatives and Investment Advisor Representative

Pruco Securities, LLC: 07/1999 to 02/2004 – Registered Representative

### Item 3 – Disciplinary Information

Registered advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this item.

### Item 4 – Other Business Activities

Melvin Dale Brooks is a registered principal, investment advisor representative and an insurance agent with Innovation Partners LLC. Mr. Brooks holds the life, accident, health, property and casualty and variable life and annuity insurance license in the state of Indiana.

### Item 5 – Additional Compensation

Registered Investment Advisors are required to disclose if the supervised person received an economic benefit from someone who is not a client for providing advisory services. No information is applicable to this item.

### Item 6 – Supervision

The supervised person is monitored by the Chief Compliance Officer (CCO) through periodic sampling of archived emails, mandatory compliance meetings and ongoing review of marketing materials. The CCO is Patrick Sutherland.

### Item 7 – Requirement for State Registered Advisors

Registered Investment Advisors are required to disclose whether the supervised person has been involved in any events related or otherwise being found liable to arbitration claims alleging damages in excess of \$2,500.00, civil or self-regulatory organization or administrative proceedings involving: investment or investment related activity, fraud, false statement (s) or omissions, theft, embezzlement, wrongful taking of property, bribery, forgery, counterfeiting, extortion, dishonest, unfair and unethical practices. No information is applicable to this item.

Registered Investment Advisors are required to disclose whether the supervised person has been the subject of a bankruptcy petition. No information is applicable to this item.