

## **Hancock Capital Investment Management, LLC**

197 Clarendon Street  
Boston, MA 02116  
Telephone Number: (617) 572-0693

**Scott S. Hartz**

**John C.S. Anderson**

**Henry Wong**

**Janis K. McDonough**

**Stephen J. Blewitt**

**Peter Parsons**

**William McPadden**

**Jeffrey Packard**

**Joan Uzdavinis**

**William Shields**

**Edward (Ted) Willcocks**

**Paul M. Crowley**

**Michael J. McNamara**

**Jeffrey C. Wolfe**

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# **BROCHURE SUPPLEMENT**

**Scott S. Hartz, CFA, FSA**  
**Chief Executive Officer**

**Hancock Capital Investment Management, LLC (“HCIM”)**

**197 Clarendon Street**

**Boston, MA 02117**

Telephone Number: (617) 572-9621

December 8, 2014

This Brochure Supplement provides information about Scott S. Hartz that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jpratt@jhancock.com](mailto:jpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.

## **Scott S. Hartz, CFA**

Year of Birth: 1961

### **Educational Background and Business Experience**

Education: Colby College, B.S. in Physics and Economics.

Professional Designations: Chartered Financial Analyst (CFA) and a Fellow of the Society of Actuaries (FSA).  
*For an explanation of the minimum qualifications required for these designations, see page 32.*

Business Experience: Mr. Hartz has been Executive Vice President, General Account Investments for Manulife Financial Corporation since 2009 and also holds the following positions:  
*(Past five years)*

Hancock Capital Investment Management, LLC  
2007 to Present Chief Executive Officer and Board Director

John Hancock Life Insurance Company (U.S.A.) ("JHUSA")  
2009 to Present Board Director  
2007 to Present Executive Vice President and Chief Investment Officer, US Investments

John Hancock Life & Health Insurance Company  
2008 to Present Board Director  
2008 to Present Executive Vice President and Chief Investment Officer, US Investments

John Hancock Life Insurance Company of New York  
2010 to Present Board Director  
2010 to Present Executive Vice President and Chief Investment Officer, US Investments  
2009 -2010 Executive Vice President and Senior Managing Director

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Hartz.

No information is applicable to this item.

### **Other Business Activities**

Mr. Hartz serves in a dual capacity as an officer and board member of HCIM and an officer and board member of one or more affiliated companies and provides oversight of investment activity and investment management services to proprietary, affiliated or non-affiliated entities. As a result of this arrangement, there is potential for one account to be favored over another.

Also, Mr. Hartz's performance bonus is based in part on the performance of certain accounts of JHUSA that are independent of the investments made by HCIM on behalf of its clients. As a result, this could present a conflict of interest. For example, investments under review for JHUSA may be in an asset class in which HCIM clients do not invest. If that asset class performs better than those in which HCIM invests, compensation from that asset class would be higher and could, therefore, create an incentive to allocate more time and attention to that asset class.

Any such conflict is mitigated by Mr. Hartz's responsibility to render services in the client's best interest pursuant to the investment management or other agreement, HCIM investment allocation policy and procedures, HCIM's Compliance Program, HCIM's internal approval processes and controls, the General Funds' Code of Ethics and and the Manulife Financial Code of Business Conduct and Ethics.

### **Additional Compensation**

None

### **Supervision**

Mr. Hartz serves as the Chief Executive Officer and board member of HCIM. Mr. Hartz as CEO of HCIM, oversees all investment activities and reports directly to the HCIM Board of Directors. He also reports to Warren A. Thomson, Senior Executive Vice President and Chief Investment Officer of Manulife Financial Corporation who can be reached at 416.926.5979. Mr. Thomson supervises Mr. Hartz through regular meetings of his direct reports and annual performance reviews.

# **BROCHURE SUPPLEMENT**

**John C.S. Anderson**

**Vice President, Head of North America Bond Investing**

**Hancock Capital Investment Management, LLC (“HCIM”)**

**197 Clarendon Street**

**Boston, MA 02117**

Telephone Number: (617) 572-9593

December 8, 2014

This Brochure Supplement provides information about John C.S. Anderson, that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jpratt@jhancock.com](mailto:jpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC's brochure or if you have any questions about the contents of this supplement.

## **John C.S. Anderson**

**Year of Birth:** 1963

### **Educational Background and Business Experience**

Education: MBA, Georgetown University

BA Economics, The University of Chicago

Professional Designations: Chartered Financial Analyst (CFA)

*For an explanation of the minimum qualifications required for this designation, see page 32.*

Business Experience: Hancock Capital Investment Management, LLC

(Past five years) 2013 to Present Board Director

2009 to Present Vice President

JHPH GP LLC

2011 to 2013 Assistant Vice President

2013 to Present President

John Hancock Life Insurance Company (U.S.A.)

2009 to Present Vice President

John Hancock Life Insurance Company of New York

2009 to 2011 Assistant Vice President/Senior Managing Director

2011 to Present Vice President (confirm 2010)

John Hancock Life & Health Insurance Company

2010 to Present Vice President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Anderson.

No information is applicable to this item.

### **Other Business Activities**

Mr. Anderson serves in a dual capacity as an officer of HCIM and an officer of one or more affiliated companies and provides investment advice and/or investment management services to proprietary, affiliated or non-affiliated entities. As a result of this arrangement, there is potential for one account to be favored over another.

Also, Mr. Anderson's performance bonus is based in part on the performance of certain accounts of JHUSA that are independent of the investments made by HCIM on behalf of its clients. As a result, this could present a conflict of interest. For example, investments under review for JHUSA may be in an asset class in which HCIM clients do not invest. If that asset class performs better than those in which HCIM invests, compensation from that asset class would be higher and could, therefore, create an incentive to allocate more time and attention to that asset class.

Any such conflict is mitigated by Mr. Anderson's responsibility to render services in the client's best interest pursuant to the investment management or other agreement and HCIM's investment allocation policy and procedures, HCIM's Compliance Program, HCIM's internal approval processes and controls, the General Funds' Code of Ethics and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Anderson serves as Managing Director of HCIM. Mr. Anderson reports to Scott Hartz, Chief Investment Officer of HCIM, who can be reached at (617) 572-9621. Supervisory procedures include weekly and ad hoc portfolio management meetings and annual performance reviews.

# **BROCHURE SUPPLEMENT**

**Henry Wong, CFA, ASA**  
**Senior Managing Director**

**Hancock Capital Investment Management, LLC (“HCIM”)**

**197 Clarendon Street**

**Boston, MA 02117**

Telephone Number: (617) 572-9615

December 8, 2014

This Brochure Supplement provides information about Henry Wong, that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jpratt@ihancock.com](mailto:jpratt@ihancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.

## **Henry Wong, CFA**

Year of Birth: 1970

### **Educational Background and Business Experience**

Education: SB, Massachusetts Institute of Technology

Professional Designations: Chartered Financial Analyst (CFA) and an Associate in the Society of Actuaries (ASA)

*For an explanation of the minimum qualifications required for these designations, see page 32.*

Business Experience: Hancock Capital Investment Management  
(Past five years) March 2012 to Present Board Director  
2007 to Present Senior Managing Director and Head Trader

John Hancock Life Insurance Company (U.S.A.) ("JHUSA")  
2009 to Present Vice President

John Hancock Life & Health Insurance Company  
2009 to Present Vice President

John Hancock Life Insurance Company of New York  
2010 to Present Vice President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Wong.

No information is applicable to this item.

### **Other Business Activities**

Mr. Wong serves in a dual capacity as an officer and board member of HCIM and of one or more affiliated companies and provides investment advice and/or investment management services to proprietary, affiliated or non-affiliated entities. As a result of this arrangement, there is potential for one account to be favored over another.

Also, Mr. Wong's performance bonus is based in part on the performance of certain accounts of JHUSA that are independent of the investments made by HCIM on behalf of its clients. As a result, this could present a conflict of interest. For example, investments under review for JHUSA may be in an asset class in which HCIM clients do not invest. If that asset class performs better than those in which HCIM invests, compensation from that asset class would be higher and could, therefore, create an incentive to allocate more time and attention to that asset class.

Any such conflicts are mitigated by Mr. Wong's responsibility to render services in the client's best interest pursuant to the investment management or other agreement, HCIM's investment allocation policy and procedures, HCIM's Compliance Program, HCIM's internal approval processes and controls, the General Funds' Code of Ethics and the Manulife Financial Code of Business Conduct and Ethics.

### **Additional Compensation:**

None

### **Supervision**

Mr. Wong serves as Senior Managing Director and board member of HCIM. Mr. Wong reports to Mr. Trevor Kreel, SVP, North American Investments, who can be reached at (416) 852-9009. Supervisory procedures include informal and ad hoc and regular meetings, annual performance reviews and quarterly loan review meetings.

# **BROCHURE SUPPLEMENT**

**Janis K. McDonough**  
**Senior Managing Director**

**Hancock Capital Investment Management, LLC (“HCIM”)**

**197 Clarendon Street**

**Boston, MA 02117**

Telephone Number: (617) 572-9609

December 8, 2014

This Brochure Supplement provides information about Janis K. McDonough that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jpratt@jhancock.com](mailto:jpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.



## **Janis K. McDonough**

Year of Birth: 1951

### **Educational Background and Business Experience**

Education:	B.A. in Economics from Emmanuel College
Business Experience: (Past five years)	Hancock Capital Investment Management, LLC 2007 to Present Senior Managing Director and Vice President US Credit
	John Hancock Life Insurance Company (U.S.A.) ("JHUSA") 2009 to Present Vice President
	John Hancock Life & Health Insurance Company 2009 to Present Vice President
	John Hancock Life Insurance Company of New York 2009 to 2010 Vice President and Chief Credit Officer 2010 to Present Vice President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Ms. McDonough.

No information is applicable to this item.

### **Other Business Activities**

Ms. McDonough serves in a dual capacity as an officer of HCIM and an officer of one or more affiliated companies and provides investment advice and/or investment management services to proprietary, affiliated or non-affiliated entities. As a result of this arrangement, there is potential for one account to be favored over another.

Also, Ms. McDonough's performance bonus is based in part on the performance of certain accounts of JHUSA that are independent of the investments made by HCIM on behalf of its clients, which could present a conflict of interest. For example, investments under review for JHUSA may be in an assets class in which HCIM clients do not invest. If that asset class performs better than those in which HCIM invests, compensation from that asset class would be higher and may create an incentive to allocate more time and attention to that asset class.

Any such conflicts are mitigated by Ms. McDonough's responsibility to render services in the client's best interest pursuant to the investment management or other agreement, HCIM's investment allocation policy and procedures, HCIM's Compliance Program, HCIM's internal approval processes and controls, the General Funds' Code of Ethics and the Manulife Financial Code of Business Conduct and Ethics.

### **Additional Compensation:**

None

### **Supervision**

Ms. McDonough serves as Senior Managing Director of HCIM. Ms. McDonough reports to Scott Hartz, Chief Executive Officer of HCIM who can be reached at (617) 572-9621. Supervisory procedures include ad hoc and regular meetings, annual performance reviews and quarterly loan review meetings.

# **BROCHURE SUPPLEMENT**

**Stephen J. Blewitt**  
**Senior Managing Director**

**Hancock Capital Investment Management, LLC (“HCIM”)**

**197 Clarendon Street**

**Boston, MA 02117**

Telephone Number: (617) 572-9624

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This Brochure Supplement provides information about Stephen J. Blewitt that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jpratt@jhancock.com](mailto:jpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.

## **Stephen J. Blewitt**

Year of Birth: 1960

### **Educational Background and Business Experience**

Education:	Economics, BA - University of Chicago MBA - Boston University Graduate School of Management
Business Background: (Past five years)	Hancock Capital Investment Management, LLC 2009 to Present Senior Managing Director and Board Director  John Hancock Life Insurance Company (U.S.A.) ("JHUSA") 2009 to Present Vice President  John Hancock Life & Health Insurance Company 2009 to Present Vice President  John Hancock Life Insurance Company of New York 2010 to Present Vice President 2009 to 2010 Assistant Vice President, Senior Managing Director

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Blewitt.

No information is applicable to this item.

### **Other Business Activities**

Mr. Blewitt serves in a dual capacity as an officer and board member of HCIM and of one or more affiliated companies and provides investment advice and/or investment management services to proprietary, affiliated or non-affiliated entities. As a result of this arrangement, there is potential for one account to be favored over another.

Also, Mr. Blewitt's performance bonus is based in part on the performance of certain accounts of JHUSA that are independent of the investments made by HCIM on behalf of its clients. As a result, this could present a conflict of interest. For example, investments under review for JHUSA may be in an asset class in which HCIM clients do not invest. If that asset class performs better than those in which HCIM invests, compensation from that asset class would be higher and could, therefore, create an incentive to allocate more time and attention to that asset class.

Any such conflicts are mitigated by Mr. Blewitt's responsibility to render services in the client's best interest pursuant to the investment management or other agreement, HCIM investment allocation policy and procedures, HCIM's Compliance Program, HCIM's internal approval processes and controls, the General Funds' Code of Ethics and the Manulife Financial Code of Business Conduct and Ethics.

### **Additional Compensation**

The general partner of certain private fund clients is entitled to receive carried interest based on the performance of private fund assets. The general partner, in turn, distributes portions of the carried interest to the members of the investment team. Mr. Blewitt receives as part of his compensation a percentage of the carried interest earned by the general partner.

### **Supervision**

Mr. Blewitt serves as a Senior Managing Director and board member of HCIM. Mr. Blewitt reports to the Mr. William Eeuwes, Senior Vice President, Global Head of Private Equity who can be reached at (416) 926-5455. Supervisory procedures include ad hoc and regular meetings, annual performance reviews and quarterly loan review meetings.

# **BROCHURE SUPPLEMENT**

**Peter Parsons, CFA**  
**Managing Director**

**Hancock Capital Investment Management, LLC (“HCIM”)**

**197 Clarendon Street**

**Boston, MA 02117**

Telephone Number: (617) 572-0473

December 8, 2014

This Brochure Supplement provides information about Peter Parsons that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jpratt@ihancock.com](mailto:jpratt@ihancock.com), if you did not receive Hancock Capital Investment Management, LLC's brochure or if you have any questions about the contents of this supplement.

## **Peter Parsons**

Year of Birth: 1960

### **Educational Background and Business Experience**

Education: MS Finance, Boston College Graduate School of Management

BA History & Economics, Gettysburg College

Professional Designations: Chartered Financial Analyst (CFA)

*For an explanation of the minimum qualifications required for this designation, see page 32.*

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2009 to Present Managing Director

John Hancock Life Insurance Company (U.S.A.) ("JHUSA")  
2011 to Present Assistant Vice President  
2006 to Present Portfolio Manager

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Parsons.

No information is applicable to this item.

### **Other Business Activities**

Mr. Parsons serves in a dual capacity as an officer of HCIM and an officer of one or more affiliated companies and provides investment advice and/or investment management services to proprietary, affiliated or non-affiliated entities. As a result of this arrangement, there is potential for one account to be favored over another.

Also, Mr. Parson's performance bonus is based in part on the performance of certain accounts of JHUSA that are independent of the investments made by HCIM on behalf of its clients. As a result, this could present a conflict of interest. For example, investments under review for JHUSA may be in an asset class in which HCIM clients do not invest. If that asset class performs better than those in which HCIM invests, compensation from that asset class would be higher and could, therefore, create an incentive to allocate more time and attention to that asset class.

Any such conflict is mitigated by Mr. Parson's responsibility to render services in the client's best interest pursuant to the investment management or other agreement and HCIM's investment allocation policy and procedures, HCIM's Compliance Program, HCIM's internal approval processes and controls, the General Funds' Code of Ethics and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Parsons serves as Managing Director of HCIM. Mr. Parsons reports to Henry Wong, Senior Managing Director of HCIM who can be reached at (617) 572-8312. Supervisory procedures include weekly and ad hoc portfolio management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**William McPadden**  
**Vice President, Head of North America Mortgages**

**Hancock Capital Investment Management, LLC (“HCIM”)**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (617) 572-3822

December 8, 2014

This Brochure Supplement provides information about William McPadden that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.

## **William G. McPadden**

Year of Birth: 1961

### **Educational Background and Business Experience**

Education: BBA, Accountancy, University of Massachusetts—Amherst

Professional Designations: Certified Public Accountant (CPA)

*For an explanation of the minimum qualifications required for this designation, see page 32.*

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2013 to Present Member of the Board of Directors and Managing Director

John Hancock Life Insurance Company (U.S.A.)  
2009 to Present Vice President

John Hancock Life Insurance Company of New York  
2009 to Present Vice President

John Hancock Life & Health Insurance Company  
2009 to Present Vice President

John Hancock Real Estate Finance, Inc.  
2009 to Present President

John Hancock Realty Advisors, Inc.  
2013 to Present President

John Hancock Realty Management Inc.  
2013 to Present Director and President

John Hancock Subsidiaries LLC  
2009 to Present Director, President and Chairman

Clarendon Real Estate LLC  
2009 to Present President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. McPadden. No information is applicable to this item.

### **Other Business Activities**

Mr. McPadden serves in a dual capacity as an officer and director of HCIM and an employee and officer of one or more John Hancock affiliated companies (John Hancock). In these roles he provides investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Mr. McPadden's employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. McPadden's dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. McPadden's responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

## **Supervision**

Mr. McPadden serves as Managing Director and Member of the Board of Directors of HCIM. Mr. McPadden reports to Scott Hartz, CEO and Chairman of the Board of Directors of HCIM, who can be reached at (617) 572-9621. Supervisory procedures include periodic management meetings and annual performance reviews.



## **BROCHURE SUPPLEMENT**

**Jeffrey H. Packard**  
**Vice President, US Mortgage Production**

**Hancock Capital Investment Management, LLC (“HCIM”)**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (617) 572-5712

December 8, 2014

This Brochure Supplement provides information about Jeffrey H. Packard, that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement

## **Jeffrey H. Packard**

Year of Birth: 1960

### **Educational Background and Business Experience**

Education: BS, Northeastern University

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2013 to Present Managing Director

John Hancock Life Insurance Company (U.S.A.)  
2013 to Present Vice President  
2009 to 2012 Assistant Vice President

John Hancock Life Insurance Company of New York  
2009 to Present Assistant Vice President

John Hancock Life & Health Insurance Company  
2009 to Present Assistant Vice President

John Hancock Real Estate Finance, Inc.  
2013 to Present Senior Vice President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Packard.

No information is applicable to this item.

### **Other Business Activities**

Mr. Packard serves in a dual capacity as an officer of HCIM and an employee and officer of one or more John Hancock affiliated companies (John Hancock). In these roles he supports the commercial mortgages portfolio managers in their investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Mr. Packard's employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. Packard's dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. Packard's responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Packard serves as Managing Director of HCIM. Mr. Packard reports to William G. McPadden, a Director and Officer of HCIM and Vice President of John Hancock Life Insurance Company (U.S.A.), who can be reached at (617) 572-3822. Supervisory procedures include periodic management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**Joan M. Uzdavinis**  
**AVP, North American Portfolio Management**

**Hancock Capital Investment Management, LLC (“HCIM”)**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (617) 572-4427

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This Brochure Supplement provides information about Joan Uzdavinis, that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.

## **Joan M. Uzdavinis**

Year of Birth: 1958

### **Educational Background and Business Experience**

Education: MSF, Bentley University

BA, Mathematics and Economics, University of New Hampshire

Professional Designations: Certified Financial Analyst (CFA)

*For an explanation of the minimum qualifications required for this designation, see page 32.*

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2013 to Present Managing Director

John Hancock Life Insurance Company (U.S.A.)  
2009 to Present Assistant Vice President

John Hancock Life Insurance Company of New York  
2009 to Present Assistant Vice President

John Hancock Life & Health Insurance Company  
2009 to Present Assistant Vice President

John Hancock Real Estate Finance, Inc.  
2009 to Present Senior Vice President

John Hancock Realty Advisors, Inc.  
2013 to Present Senior Vice President

John Hancock Realty Management Inc.  
2013 to Present Senior Vice President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Ms. Uzdavinis.

No information is applicable to this item.

### **Other Business Activities**

Ms. Uzdavinis serves in a dual capacity as an officer of HCIM and an employee and officer of one or more John Hancock affiliated companies (John Hancock). In these roles she provides investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Ms. Uzdavinis' employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Ms. Uzdavinis' dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Ms. Uzdavinis' responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

**Supervision**

Ms. Uzdavinis serves as a Managing Director of HCIM. Ms. Uzdavinis reports to William McPadden, a Director and Officer of HCIM and Vice President of John Hancock Life Insurance Company (U.S.A.), who can be reached at (617) 572-3822. Supervisory procedures include periodic management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**William T. Shields**  
**Assistant Vice President, National Accounts Origination**

**Hancock Capital Investment Management, LLC (“HCIM”)**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (617) 572-5185

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This Brochure Supplement provides information about William T. Shields, that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC’s brochure or if you have any questions about the contents of this supplement.

## **William T. Shields**

Year of Birth: 1973

### **Educational Background and Business Experience**

Education: MBA, Finance and Real Estate, University of Connecticut  
BA, Sociology, Providence College

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2013 to Present Managing Director  
John Hancock Life Insurance Company (U.S.A.)  
2010 to Present Assistant Vice President  
2003 to 2010 Investment Officer  
John Hancock Real Estate Finance, Inc.  
2003 to Present Vice President

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Shields.

No information is applicable to this item.

### **Other Business Activities**

Mr. Shields serves in a dual capacity as an officer of HCIM and an employee and officer of one or more John Hancock affiliated companies (John Hancock). In these roles he supports the commercial mortgages portfolio managers in their investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Mr. Shields' employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. Shields' dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. Shields' responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Shields serves as a Managing Director of HCIM. Mr. Shields reports to Jeffrey Packard, an Officer of HCIM and Vice President of John Hancock Life Insurance Company (U.S.A.), who can be reached at (617) 572-5712. Supervisory procedures include periodic management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**Edward (Ted) Willcocks**  
**Real Estate Senior Managing Director**  
**250 Bloor Street East**  
**Toronto, Ontario M4W 1E5**

**Hancock Capital Investment Management, LLC**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (416) 926-6438

December 8, 2014

This Brochure Supplement provides information about Edward (Ted) Willcocks that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC's brochure or if you have any questions about the contents of this supplement.



## **Edward (Ted) Willcocks**

Year of Birth: 1966

### **Educational Background and Business Experience**

Education: BA, McGill University

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2014 to Present Senior Managing Director

Manulife Private Funds (Canada) Inc.  
2014 to Present Director

The Manufacturers Life Insurance Company  
2010 to Present Global Head of Asset Management

The Manufacturers Life Insurance Company  
2009 to 2010 Vice President, North American Operations

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Willcocks.

No information is applicable to this item.

### **Other Business Activities**

Mr. Willcocks serves in a dual capacity as an officer of HCIM and an employee and officer of one or more Manulife affiliated companies (Manulife). In these roles he provides investment advice and/or investment management-related services to Manulife as well HCIM advisory client accounts. Additionally, Mr. Willcocks' employment compensation bonus is currently based (in part) on the investment performance of certain accounts of Manulife. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. Willcocks' dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. Willcocks' responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Willcocks serves as Senior Managing Director of HCIM. Mr. Willcocks reports to Kevin Adolphe, President and CEO of Manulife Asset Management Private Markets, who can be reached at 416-852-5880. Supervisory procedures include periodic management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**Paul M. Crowley**  
**Real Estate Managing Director**

**Hancock Capital Investment Management, LLC**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (617) 572-6573

December 8, 2014

This Brochure Supplement provides information about Paul Crowley that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC's Brochure or if you have any questions about the contents of this supplement.

## **Paul M. Crowley**

Year of Birth: 1957

### **Educational Background and Business Experience**

Education: B.S., BA, MBA, Babson College

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2014 to Present Real Estate Managing Director

John Hancock Life Insurance Company (U.S.A.)  
2013 to Present Vice President and Managing Director, US Asset  
Management  
2009 to 2013 Vice President, US Corporate Real Estate

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Crowley.

No information is applicable to this item.

### **Other Business Activities**

Mr. Crowley serves in a dual capacity as an officer of HCIM and an employee of one or more John Hancock affiliated companies (John Hancock). In these roles he supports the equity real estate portfolio manager in his investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Mr. Crowley's employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. Crowley's dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. Crowley's responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Crowley serves as a Real Estate Managing Director of HCIM. Mr. Crowley reports to Edward (Ted) Willcocks, an Officer of HCIM and Global Head Asset Management, Real Estate, who can be reached at 416-926-6438. Supervisory procedures include periodic management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**Michael J. McNamara**  
**Real Estate Senior Managing Director**  
**100 William Street**  
**New York, NY 10038**

**Hancock Capital Investment Management, LLC**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: 646-356-0084

December 8, 2014

This Brochure Supplement provides information about Michael McNamara that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC's Brochure or if you have any questions about the contents of this supplement.

## **Michael J. McNamara**

Year of Birth: 1958

### **Educational Background and Business Experience**

Education: BS, Accounting, St. Johns University

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2014 to Present Senior Managing Director

John Hancock Life Insurance Company (U.S.A.)  
2014 to Present Senior Managing Director and Global Head of Real Estate Investments

Brookfield Office Properties  
2012 to 2014 Senior Vice President and Head of Acquisitions and Dispositions

TRECAP Partners  
2010 to 2012 Head of Acquisitions, Dispositions and Research

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. McNamara.

No information is applicable to this item.

### **Other Business Activities**

Mr. McNamara serves in a dual capacity as an officer of HCIM and an employee of one or more John Hancock affiliated companies (John Hancock). In these roles he supports the equity real estate portfolio manager in his investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Mr. McNamara's employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. McNamara's dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. McNamara's responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. McNamara serves as a Senior Managing Director of HCIM. Mr. McNamara reports to Kevin Adolphe, President and CEO Manulife Asset Management Private Markets, who can be reached at 416-852-5880. Supervisory procedures include periodic management meetings and annual performance reviews.

## **BROCHURE SUPPLEMENT**

**Jeffrey C. Wolfe**  
**Real Estate Assistant Vice President**

**Hancock Capital Investment Management, LLC**  
**197 Clarendon Street**  
**Boston, MA 02117**

Telephone Number: (617) 572-6046

December 8, 2014

This Brochure Supplement provides information about Jeffrey Wolfe that supplements the Hancock Capital Investment Management, LLC Brochure. You should have received a copy of that Brochure. Please contact the compliance department of Hancock Capital Investment Management, LLC and/or Jason Pratt, Chief Compliance Officer at (617) 572-0693 or [jasonpratt@jhancock.com](mailto:jasonpratt@jhancock.com), if you did not receive Hancock Capital Investment Management, LLC's Brochure or if you have any questions about the contents of this supplement.

## **Jeffrey Wolfe**

Year of Birth: 1963

### **Educational Background and Business Experience**

Education: B.S. Business Administration  
Boston College, Carroll School of Management

Business Experience: Hancock Capital Investment Management, LLC  
(Past five years) 2014 to Present Real Estate Assistant Vice President

John Hancock Life Insurance Company (U.S.A.)  
2012 to Present Managing Director, Real Estate Investments

Professional Designations: MAI Designation  
Chartered Financial Analyst (CFA)  
Real Estate Broker (State of Massachusetts)

*For an explanation of the minimum qualifications required for this designation, see page 32.*

### **Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of Mr. Wolfe.

No information is applicable to this item.

### **Other Business Activities**

Mr. Wolfe serves in a dual capacity as an officer of HCIM and an employee of one or more John Hancock affiliated companies (John Hancock). In these roles he supports the equity real estate portfolio manager in his investment advice and/or investment management-related services to John Hancock as well HCIM advisory client accounts. Additionally, Mr. Wolfe's employment compensation bonus is currently based (in part) on the investment performance of certain accounts of John Hancock. This performance is independent of the investments made by HCIM on behalf of its advisory clients. Mr. Wolfe's dual roles and compensation structure could be considered a potential conflict interest.

Any such conflicts are mitigated by Mr. Wolfe's responsibility to render services in the advisory client's best interest pursuant to the investment management agreement as well as the requirements of HCIM's policies and procedures (including HCIM's investment allocation policy), HCIM's compliance program, the Private Asset Management and General Account Code of Ethics, and the Manulife Financial Code of Business Conduct.

### **Additional Compensation**

None

### **Supervision**

Mr. Wolfe serves as a Real Estate Assistant Vice President of HCIM. Mr. Wolfe reports to Michael McNamara, an Officer of HCIM and Senior Managing Director and Global Head of Real Estate Investments, who can be reached at 646-356-0084. Supervisory procedures include periodic management meetings and annual performance reviews.

## **Professional Designations**

### **The Chartered Financial Analyst**

The Chartered Financial Analyst (CFA) designation is an international professional designation offered by CFA Institute (formerly AIMR) to financial analysts who complete a series of three examinations, or have equivalent education or work experience) and have 48 months of qualified, professional work experience. CFA charter holders are also obligated to adhere to a strict code of ethics and standards governing their professional conduct. Additional information may be found at <http://www.cfainstitute.org/cfaprogram/>.

### **Fellow of the Society of Actuaries and Associate in the Society of Actuaries**

The Society of Actuaries ("SOA") is a professional organization for actuaries based in North America. There are three designations offered by the SOA. The two Associate-level designations are *Associate of Society of Actuaries* (ASA) and *Chartered Enterprise Risk Analyst* (CERA). The highest designation is *Fellow of the Society of Actuaries* (FSA). Requirements for membership for the SOA include the actuarial exams and a comprehensive series of competitive exams. Additional information regarding the specific requirements may be found at <http://www.soa.org/education/exam-req/>

### **Certified Public Accountant**

The Certified Public Accountant (CPA) is the statutory title of qualified accountants in the United States who have passed the Uniform Certified Public Accountant Examination and have met additional certain state education and experience requirements for certification as a CPA. A CPA license permits the holder to practice public accountancy and its related business operations in accordance with the relevant state ethical standards, laws and regulations. Depending on the licensing state, the CPA may have other ongoing obligations to maintain the license such as continuing education requirements.

### **MAI Designation**

The MAI membership designation is held by appraisers who are experienced in the valuation and evaluation of commercial, industrial, residential, and other types of properties, and advise clients on real estate investment decisions.

### **Real Estate Broker (Massachusetts)**

An individual holding a Massachusetts Real Estate Broker's License is required by Massachusetts law requires to complete 40 hours of classroom instruction, pass a licensing exam, and must have had worked with a real estate brokerage firm for at least three years prior to applying for a broker's license.