

Pinnacle Investments, LLC

Firm Brochure - Form ADV Part 2B

June 27, 2014

Supervisors: Eric Krouse & Susan Griffith

Item 1: Cover Page

Name	Address	Telephone
Ball Shaw, Bridget	125 Wolf Rd. Albany, NY 12205	(518) 514 - 1800
Bianchini, John (Team 1)	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Carr, Tim (Team 2)	110 Genesee St. Auburn, NY 13021	(315) 515 - 6400
Chow, Stephen	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Cuculich, Robert	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Cuddy, Michael (Team 2)	110 Genesee St. Auburn, NY 13021	(315) 515 - 6400
Cuddy, Patrick (Team 2)	110 Genesee St. Auburn, NY 13021	(315) 515 - 6400
Dellas, Dean	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Donovan, John (Team 2)	110 Genesee St. Auburn, NY 13021	(315) 515 - 6400
Gagliardi, Michael	507 Plum St. Syracuse, NY 13204	(315) 251 – 1101
Green, Richard (Team 3)	507 Plum St. Syracuse, NY 13204	(315) 251 – 1101
Grzelka, Steve	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Jacob, Matthew	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Kidd, Gregg	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Korn, Caroline	160 Linden Oaks Rochester, NY 14625	(585) 479 - 4070

Krouse, Eric	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
McCaffery, Jacqueline	123 Lamala Lane, Hopewell, Junction, NY 12533	(845) 527 - 9269
Mirabito, James	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
McQuiggan, James (Team 2)	110 Genesee St. Auburn, NY 13021	(315) 515 - 6400
Mody, Daniel	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
O'Loughlin, William	5500 Main St. Williamsville, NY 14221	(716) 633 - 2900
Pendergraph, Janet	110 Genesee St. Auburn, NY 13021	(315) 515 - 6400
Posniak, Daniel	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Quilty, Benjamin	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Raite, Daniel	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Saya, Joe	531 Oak St. Syracuse, NY 13203	(315) 295 - 7334
Scanlon, Roberta	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Setter, Diane	148 Brook Street W, Jayville, NY 11796	
Sienkiewicz, Eileen (Team 3)	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Thompson, Thomas	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Vacco, Dario (Team 1)	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
Watson, Jeff	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101
York, Ryan	507 Plum St. Syracuse, NY 13204	(315) 251 - 1101

This brochure supplement provides information about the Firm's Supervised Persons that supplements Investments, LLC's brochure. You should have received a copy of that brochure or been directed to its location at the following website:

<http://www.pinnacleinvestments.com/disclosures> . Please contact Susan Griffith at the corporate office listed below, if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about the Firm's Supervised Persons is also available on the SEC's website at www.adviserinfo.sec.gov.

Corporate Office:

507 Plum Street

Suite 120

Syracuse, New York

(315) 251 - 1101

<http://www.pinnacleinvestments.com>

Item 2: Educational Background and Business Experience

Supervised persons associated with Pinnacle Investments, LLC must possess, minimally, a college degree or appropriate business experience, and all required licenses.

Supervised Persons

Name	Year of Birth	Formal Education	Business Background
Ball Shaw, Bridget	1957	Masters Degree	15+ years Financial Advisor experience
Bianchini, John (Team 1)	1957	BS Degree	28+ years Financial Advisor experience
Carr, Tim (Team 2)	1985	BS Degree	Financial Advisor, Pinnacle Investments, 2014
Chow, Stephen	1949	BS Degree	30+ years Financial Advisor experience
Cuculich, Robert	1956	BS; MBA Degrees	30+ years Financial Advisor experience
Cuddy, Michael (Team 2)	1953	Associate Degree	20+ years Financial Advisor experience; Pinnacle Investments, Partner
Cuddy, Patrick (Team 2)	1982	BA	Financial Advisor, Pinnacle Investments, 2014
Dellas, Dean	1984	BA Degree	Financial Advisor, Pinnacle Investments, LLC (since 9/2013); Financial Advisor, Merrill Lynch (5 years)
Donovan, John (Team 2)	1946	Associate Degree; ChFC DESIGNATION PROGRAM WITH THE AMERICAN COLLEGE AT BRYN MAWR, PENN	20+ years Financial Advisor experience
Gagliardi, Michael	1947	BA Degree	23+ years Financial Advisor experience;
Green, Richard (Team 3)	1951	AAS	Financial Advisor, UBS (2/07 – 4/09); Pinnacle Investments, LLC (4/09 - current)
Grzelka, Steve	1980	BA Degree	Trust & Investment Officer @ Solvay Bank (2006-Feb 2013); Financial Advisor, Pinnacle Investments, (current)
Kidd, Gregg	1962	BS Degree	28+ years Financial Advisor experience; Pinnacle

			Investments, Managing Partner
Krouse, Eric	1959	BA Degree	14+ years Financial Advisor experience; Pinnacle Investments, CEO
McCaffery, Jacqueline	1975	None	14+ years Financial Advisor experience
Mirabito, James	1959	BFA; MFA; MBA Degrees	Financial Advisor, Merrill Lynch, previous 5 years
McQuiggan, James (Team 2)	1960	MS; BS Degrees	Financial Advisor, Chartered Retirement Plans Specialist, Certified Income Specialist
Mody, Daniel	1971	BS Degree;	Financial Advisor, Pinnacle Investments, LLC, previous 5 years; CFP
O'Loughlin, William	1938	BS Degree	38+ years Financial Advisor experience
Pendergraph, Janet	1956	MBA; BS; AA Degrees	Financial Advisor, Pinnacle Investments, LLC, previous 3 years; Financial Advisor, Morgan Stanley (Smith Barney) 18 months
Posniak, Daniel	1946	1 year of college	40+ years Financial Advisor experience
Quilty, Benjamin	1981	BS; MBA Degrees	Sales Assistant (2007-2010); Financial Advisor (2010-current)
Raite, Daniel	1948	BS Degree	30+ years Financial Advisor experience; Pinnacle Investments, Managing Partner
Saya, Joe	1965	BS Degree	13+ years Financial Advisor experience
Scanlon, Roberta	1953	BS Degree	15+ years Financial Advisor experience
Setter, Diane	1963	None	
Sienkiewicz, Eileen (Team 3)	1957	None	Financial Advisor, UBS (1/07 – 4/09); Pinnacle Investments, LLC (5/09 - current)
Thompson, Thomas	1957	BS Degree	Financial Advisor, Pinnacle Investments, LLC, previous 4 years; Financial Advisor, Morgan Stanley (Smith Barney) 15 years
Vacco, Dario (Team 1)	1984	BS Degree	Financial Advisor, Pinnacle Investments, LLC (2007 – current)

Watson, Jeff	1989	BA Degree	Financial Advisor, Pinnacle Investments, LLC
York, Ryan	1983	BS Degree	Financial Advisor, Pinnacle Investments (2011 – Current); Morgan Stanley (2010 -2011)

Item 3: Disciplinary Information

All details for any supervised persons listed above with a disciplinary history can be found on FINRA's BrokerCheck system or the IAPD. The BrokerCheck link is www.finra.org/brokercheck; the IAPD link is www.adviserinfo.sec.gov

If you need help searching BrokerCheck, you can use the following help link <http://www.finra.org/Investors/ToolsCalculators/BrokerCheck/P015184> or call the BrokerCheck Hotline at (800) 289-9999

If you need help searching IAPD, you can call (240) 386-4848 for technical assistance.

Item 4: Other Business Activities

Other business activities, both investment and non-investment related, represent less than 10 percent of each supervised person's time and income (if applicable) and therefore, Pinnacle presumes that they are not substantial enough to create a material conflict of interest with our clients.

Item 5: Additional Compensation

Not applicable as no economic benefit is provided to supervised persons.

Item 6: Supervision

Eric Krouse, Chief Executive Officer and Susan Griffith, Chief Compliance Officer, supervise all persons named in this Form ADV Part 2B Investment Adviser Brochure Supplement. Supervision takes place through regular staff, investment and other ad hoc meetings. In addition, Susan Griffith regularly reviews client reports, emails, and trading as well as employees' personal securities transactions and holding reports. Eric Krouse or Susan Griffith may be reached at (315) 251-1101 or corporate@pinnacle-llc.com

Item 7: Requirements fo State-Registered Advisors

Not applicable.