

Brochure Supplement
(Provided Under Part 2B of Form ADV)
March 2014

S. Katherine Campbell, CFP®

NORTH BERKELEY INVESTMENT PARTNERS, LLC

900 Colusa Avenue #201

Berkeley, CA 94707

Phone: (510) 528-5820

Fax: (510) 528-0711

www.northberkeleyinvestment.com

This brochure supplement provides information about S. Katherine ("Kate") Campbell that supplements our brochure. You should have received a copy of that brochure. Please contact S. Katherine Campbell, Chief Compliance Officer, if you did not receive North Berkeley Investment Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Kate is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

S. Katherine Campbell

Date of Birth: December 24, 1961

Educational Background:

- University of California, Berkeley; MA; 1990
- Dartmouth College; AB; 1983

Business Background:

- North Berkeley Investment Partners, LLC – Member, Chief Compliance Officer & Investment Advisor Representative, July 2005 to Present
- Protected Investors of America – CFO, 1994-1999; President, 1999-2001; Registered Representative & Minority Shareholder, May 1993 to September 2012

Licenses and Professional Designations:

- CA Insurance License #0C09134
- CFP® - CERTIFIED FINANCIAL PLANNER™



S. Katherine Campbell, CFP®

Kate is a Partner of the firm and leads many client relationships at North Berkeley Investment Partners. Kate leads the firm's financial planning function. Kate has sixteen years of experience providing financial planning, investment advice, and investment management for individuals and families. Before founding the firm with Sue Reinhold in 2005, Kate had built a financial planning and investment management practice that had about \$130 million of total assets in client portfolios. From 1993 to 2012, Kate served as CFO, and then President, of Protected Investors of America, an independent, Associate-owned securities brokerage firm, and has served on the board.



Kate has spent time serving on committees of the Financial Industry Regulatory Authority (FINRA), previously called the National Association of Securities Dealers (NASD), in the areas of development of policy and regulations, and has served on securities industry disciplinary panels. Kate is a member of the San Francisco chapter of the Financial Planning Association, and the Social Investment Forum, a national network of investment professionals who work in the area of socially responsible investing.

Kate received her A.B. in Chinese Language and Culture from Dartmouth College and an M.A. in Anthropology from the University of California at Berkeley. Kate grew up in Lafayette, and now lives in North Berkeley with her son and daughter. She enjoys hiking, cooking, duplicate bridge, learning piano and time with her family.

CFP® - CERTIFIED FINANCIAL PLANNER™:

The Certified Financial Planner™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United

States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

DISCIPLINARY INFORMATION

Kate has not been subject to any disciplinary actions through her affiliation with North Berkeley Investment Partners, LLC as regulated by the Securities and Exchange Commission (SEC).

OTHER BUSINESS ACTIVITIES

Kate also holds a California insurance license for the purpose of providing insurance services to existing clients. A conflict of interest may arise as these commissionable insurance product sales may create an incentive to recommend products based on the compensation Kate may earn and may not necessarily be in the best interests of the client. In order to minimize this conflict of interest, she will place client interests ahead of her own interests and adhere to our firm's Code of Ethics as well as clearly explaining this conflict when recommending any such products to clients. Clients are not obligated to purchase these products.

ADDITIONAL COMPENSATION

Kate does not receive economic benefits from a non-client for providing advisory services.

SUPERVISION

Sue Reinhold, principal of North Berkeley Investment Partners, LLC, supervises and monitors Kate's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Kate directly if you have any questions about her brochure supplement at (510) 528-5820.

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Sue Reinhold, Ph.D.

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This brochure supplement provides information about Sue Reinhold, Ph.D. that supplements our brochure. You should have received a copy of that brochure. Please contact S. Katherine ("Kate") Campbell, Chief Compliance Officer, if you did not receive North Berkeley Investment Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Dr. Reinhold is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Sue Reinhold, Ph.D.

Date of Birth: October 23, 1964

Educational Background:

- University of Sussex; Ph.D. Social Anthropology; 1994
- Stanford University; AB; 1988

Business Background:

- North Berkeley Investment Partners, LLC – Member; Investment Advisor Representative, August 2005 to Present
- Protected Investors of America – Registered Representative, September 2005-September 2012
- HealthNet, Inc. – Vice President, Strategy and Development, 2001-2005
- Scient – Director, 2000-2001
- RCM Capital Management – Healthcare Analyst, 1997-1999
- Jurika & Voyles – Equity Analyst, 1995-1997
- Relevant Research – Founder, 1993-1995



Sue Reinhold, Ph.D.

Sue is a Partner of the firm, leads many client relationships and has responsibility for the investment management process for all clients of the firm. Sue has twenty-one years of private, institutional, and corporate investment and management experience. Sue began her investment career in 1993 doing market and business research for private investors. From 1995 to 1999, Sue was an equity analyst at two investment management firms, first at Jurika & Voyles, an Oakland-based value manager, and then at RCM Capital Management, a San Francisco-based growth manager. At RCM, Sue also managed midcap healthcare stock portfolios and co-managed two healthcare mutual funds.

Before co-founding North Berkeley Investment Partners in 2005, Sue built and led the corporate strategy team at Health Net, a Fortune 200 healthcare company and one of the companies she researched as an equity analyst. At Health Net, Sue was responsible for long-term strategic and business planning, and served on the company's Senior Leadership Team.



Sue holds an A.B. from Stanford University, and a Ph.D. in Social Anthropology from the University of Sussex, England, where she studied as a Marshall Scholar. Five generations of Sue's family have lived in North Berkeley, and Sue and her wife Deborah share time between North Berkeley and San Francisco where they are raising a blended family with four teens. Sue is the founding Treasurer of The Kitchen SF, a start-up synagogue in San Francisco recently named to the Slingshot 50 as one of the most innovative Jewish nonprofits in the country. She recently finished a term as Treasurer of the East Bay Jewish Community Center. She also serves on the Investment Advisory Committee of Futures Without Violence, a San Francisco-based nonprofit working to prevent and end domestic violence. Sue speaks fluent Portuguese and enjoys swimming, hiking, time with her family, and studying and teaching Torah.

DISCIPLINARY INFORMATION

Dr. Sue Reinhold has not been subject to any disciplinary actions through her affiliation with North Berkeley Investment Partners, LLC as regulated by the Securities and Exchange Commission (SEC).

OTHER BUSINESS ACTIVITIES

Sue does not have any other business activities to disclose.

ADDITIONAL COMPENSATION

Sue does not receive economic benefits from a non-client for providing advisory services.

SUPERVISION

S. Katherine ("Kate") Campbell, Chief Compliance Officer of North Berkeley, supervises and monitors Sue's activities on a regular basis. Kate reviews all outgoing correspondence for written financial advice that Sue provides to her clients. Please contact Kate if you have any questions about Sue's brochure supplement at (510) 528-5820.

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Lisa St. Claire, CFP®

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Berkeley, CA 94707

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This brochure supplement provides information about Lisa St. Claire, CFP® that supplements our brochure. You should have received a copy of that brochure. Please contact S. Katherine ("Kate") Campbell, Chief Compliance Officer, if you did not receive North Berkeley Investment Partners, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Ms. St. Claire is available on the SEC's website at www.adviserinfo.sec.gov.

EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Lisa St. Claire, CFP®

Date of Birth: October 30, 1966

Educational Background:

- Franklin College Switzerland; AA Degree; 1987
- Georgetown University; Bachelor of Science in French; 1990

Business Background:

- North Berkeley Investment Partners, LLC – Investment Advisor Representative; December 2013 to Present
- Independent Consultant; January 2013 – November 2013
- The Humphreys Group – Investment Advisor; November 2002 – December 2013

CERTIFIED FINANCIAL PLANNER™ (CFP®) certification is obtained by completing an advanced college-level course of study addressing the financial planning subject areas that the CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, a comprehensive certification exam (administered in 10 hours over a 2 day period) and agreeing to be bound by the CFP Board's standard of professional conduct. As a prerequisite the IAR must have a bachelor's degree from a regionally accredited United States college or university (or foreign university equivalent) and have at least 3 years of full time financial planning experience (or equivalent measured at 2,000 hours per year). This designation requires 30 hours of continuing education every 2 years and renewing an agreement to be bound by the standards of professional conduct.



Lisa St. Claire, CFP®

Lisa has over twenty years of experience providing comprehensive financial planning and investment counsel to individuals and families. Prior to joining North Berkeley, she was a partner with The Humphreys Group, a boutique financial planning firm in San Francisco, where she spent ten years. Lisa began her career as a portfolio administrator and financial planner with Malgoire Drucker, a financial advisory firm in Bethesda, Maryland. Lisa has broad experience working in a variety of complex areas of financial planning.

A native of Washington, D.C., Lisa spent much of her childhood living overseas while both of her parents served as Foreign Service Officers. Before entering the world of finance, Lisa put her international experience to work

designing academic programs to Italy and Switzerland for non-profit institutions, including the Smithsonian, Harvard and the Art Institute of Chicago. She is fluent in Italian and French.

Lisa is active in several community organizations and recently joined the Board of Directors at St. Anthony's Foundation which works to feed, shelter and lift the spirits of those in need in San Francisco. She is also an active member of the Economic Development and Justice Donor Circle of the Women's Foundation of California. Outside of work, Lisa enjoys hiking and running on Bay Area trails in addition to a busy life in Piedmont with her husband Jeff, two daughters and twin boys.

Lisa holds a bachelor's degree from Georgetown University and has held the Certified Financial Planner designation for fifteen years.

DISCIPLINARY INFORMATION

Lisa St. Claire, CFP® has not been subject to any disciplinary actions through her affiliation with North Berkeley Investment Partners, LLC as regulated by the Securities and Exchange Commission (SEC).

OTHER BUSINESS ACTIVITIES

Lisa does not have any other business activities to disclose.

ADDITIONAL COMPENSATION

Lisa does not receive economic benefits from a non-client for providing advisory services.

SUPERVISION

S. Katherine ("Kate") Campbell, Chief Compliance Officer of North Berkeley, supervises and monitors Lisa's activities on a regular basis. Kate reviews all outgoing correspondence for written financial advice that Lisa provides to her clients. Please contact Kate if you have any questions about Lisa's brochure supplement at (510) 528-5820.