

Roger T. Frazee

**Financial Services Network, Inc. (FSN)
766 Hudson Avenue, Suite D
Sarasota, FL 34236**

941-366-0110

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**FORM ADV PART 2B
BROCHURE SUPPLEMENT**

This brochure supplement provides information about Roger T. Frazee that supplements the Financial Services Network, Inc. (FSN) brochure. You should have received a copy of that brochure. Please contact Roger T. Frazee if you did not receive Roger T. Frazee's brochure or if you have any questions about the contents of this supplement.

Additional information about Roger T. Frazee is available on the SEC's website at www.adviserinfo.sec.gov.

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Educational Background and Business Experience

Form ADV Part 2B, Item 2

Roger T. Frazee

Year of Birth:

- 1946

Formal Education after High School:

- University of South Florida, B.A, Double Major: Finance and Accounting, Graduated: 1971

Business Background for the Previous Five Years:

- Financial Services Network, Inc., Investment Adviser Representative and Chief Compliance Officer, 1/1995 to present.
- LaSalle St Securities, LLC. Independent Contractor Registered Representative 1/1995 to present.

Certifications:

- Certified Public Accountant (CPA) State of Florida. Awarded to those who passed the Uniform Certified Public Accountant Examination which is set by the American Institute of Certified Public Accountants and administered by the National Association of State Boards of Accountancy. Current requirements include a U.S. bachelor degree and graduate school. Additional state educational and experience requirements for certification.
- Certified Financial Planner (CFP). Designation holders are certified by the Certified Financial Planner Board of Standards, Inc. CFP certificate holders must pass the Comprehensive CFP Certification Examination, agree to abide by CFP Board's Code of Ethics and Professional Responsibility which puts client's interests first and comply with Financial Planning Practice Standards. The CFP Certification Examination is a four part exam and to receive the CFP, three years of full-time relevant personal financial planning experience is required.
- Chartered Life Underwriter (CLU). Designation for individuals who have attained a high degree of technical competency in the fields of life and health insurance and abide by a code of ethics. Must have minimum of three years of experience in life or health insurance sales and have passed ten professional examinations administered by The American College.
- Chartered Financial Consultant (ChFC). Designation which deals in advanced financial planning regarding needs of individuals, professionals and small business owners. A 9 part professional examination with in-depth coverage of key financial planning disciplines, including insurance, income taxation, retirement planning, investments and estate planning administered by The American College.

Disciplinary Information

Form ADV Part 2B, Item 3

Roger T. Frazee has no disciplinary information to disclose.

Other Business Activities

Form ADV Part 2B, Item 4

Roger T. Frazee is a Financial Industry Regulatory Authority (FINRA) Registered Representative and Registered Principal of LaSalle St. Securities, LLC, a FINRA Broker/Dealer. This relationship is one of an Independent Contractor. He is not an employee of LaSalle St. Securities, LLC.

This other business activity results in commissions paid to Mr. Frazee from clients who have a LaSalle St Securities commission based account. On occasion, a client may choose to have both a Financial Services Network fee only investment management account and a LaSalle St Securities commission based account. In no circumstance will Mr. Frazee receive commissions on Financial Services Network fee only investment management account.

Roger T. Frazee is licensed to sell Life Insurance and Annuities. Mr. Frazee spends less than 1% of his time in this "other business activity"

Additional Compensation

Form ADV Part 2B, Item 5

Roger T. Frazee through Financial Services Network, Inc. (FSN) maintains relationships with broker-dealers and custodians that may provide "soft dollars" benefits. Examples of which are software discounts, third party and proprietary research, research discounts, technology and other useful investment tools. In no instance will Mr. Frazee accept cash payments or allow cash payments to be made to third parties on his behalf. He will not direct business to broker-dealers and custodians for the purpose of receiving these benefits.

Supervision

Form ADV Part 2B, Item 6

Roger T. Frazee, Chief Compliance Officer, 941-366-0110 x202, is the person responsible for supervising the advisory activities of the Investment Adviser Representatives of Financial Services Network, Inc. (FSN)

Methods of Supervision:

- FSN maintains a compliance policy manual for its investment advisory business to ensure compliance with federal rules and regulations. Investment Adviser Representatives are required to fully review, comprehend and adhere to the policies contained within the manual. FSN undergoes an annual compliance review along with periodic reviews during the year. The manual is updated as needed.
- Daily interaction and engagement with the Investment Adviser Representatives addressing portfolio investments, compliance rules and other issues.
- Reviews of client portfolios and transactions to determine if investments made are in accordance with the clients agreed upon investment portfolio compositions and other client portfolio methodology directives.

Requirements for State-Registered Advisers

Form ADV Part 2B, Item 7

Not applicable.