

Part 2B of Form ADV: Brochure Supplement

Christophe Dominique Jambon
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440.241.2575

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This brochure supplement provides information about Christophe Dominique Jambon that supplements the Winfield Associates, Inc. Part 2A of form ADV brochure. You should have received a copy of Winfield's Part 2A of form ADV brochure dated March 31, 2014 in a previous email or mailing. Please contact William W. Baker 216.241.2575 if you did not receive Winfield Associates, Inc.'s Part 2A of form ADV brochure or if you have any questions about the contents of this supplement.

Additional information about Christophe Dominique Jambon is available on the SEC's website at www.adviserinfo.sec.gov

Item 2 Educational Background and Business Experience

Full Legal Name: Christophe Dominique Jambon

Born: 1990

Education

- Emory University; BA, Economics; 2012

Business Experience

- Winfield Associates, Inc.; Associate; from 01/2013 to Present

Item 3 Disciplinary Information

Christophe Dominique Jambon has no reportable disciplinary history.

Item 4 Other Business Activities

A. Investment-Related Activities

1. Christophe Dominique Jambon is not engaged in any other investment-related activities.

2. Christophe Dominique Jambon does not receive commissions, bonuses or other compensation on the sale of securities or other investment products.

B. Non Investment-Related Activities

Christophe Dominique Jambon is not engaged in any other business or occupation that provides substantial compensation or involves a substantial amount of his time.

Item 5 Additional Compensation

Christophe Dominique Jambon does not receive any economic benefit from a non-advisory client for the provision of advisory services.

Item 6 Supervision

Supervisor: William Baker

Title: President

Phone Number: 216.241.2575

Every employee has a responsibility for knowing and following the firm's policies and procedures. Every person in a supervisory role is also responsible for those individuals under his/her supervision. The President, William Baker, has overall supervisory responsibility for the firm. Christopher Baker, as the Compliance Officer, has the overall responsibility for administering, monitoring and testing compliance with Winfield Associates, Inc.'s policies and

procedures. Possible violations of these policies or procedures will be documented and reported to the appropriate department manager for remedial action. Repeated violations, or violations that the Compliance Officer deems to be of serious nature, will be reported by the Compliance Officer directly to the President for remedial action.

Winfield Associates, Inc. has adopted various procedures to implement the firm's policy, conducts reviews of internal controls to monitor and ensure the firm's supervision policy is observed, implemented properly and amended or updated, as appropriate which including the following:

- Designation of a Chief Compliance Officer as responsible for implementing and monitoring the firm's compliance policies and procedures.
- An Annual Compliance Meeting and on-going and targeted compliance training.
- Procedures for screening the background of potential new employees.
- Initial training of newly hired employees about the firm's compliance policies.
- Adoption of these written policies and procedures with statements of policy, designated persons responsible for the policy and procedures designed to implement and monitor the firm's policy.
- Annual review of the firm's policies and procedures by the Compliance Officer and senior management.
- Periodic reviews of employees' activities, e.g., personal trading.
- Annual written representations by employees as to understanding and abiding by the firm's policies.
- Supervisory reviews and sanctions for violations of the firm's policies or regulatory requirements.