

Part 2B of Form ADV: Brochure Supplement

Barbara A. Johnston, CFA
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This brochure supplement provides information about Barbara A. Johnston, CFA that supplements the Eagle Harbor Asset Management, Inc brochure. You should have received a copy of that brochure. Please contact Andrew P. Loechl, CFA at (206) 224-4102 if you did not receive Eagle Harbor Asset Management, Inc.'s brochure or if you have any questions about the contents of this supplement.

Additional information about Barbara A. Johnston is available on the SEC's website at www.adviserinfo.sec.gov.

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Item 2 Educational Background and Business Experience

Barbara A. Johnston, CFA*

Born December 26, 1958

* The CFA designation is a professional certification awarded to candidates by the CFA Institute (formerly AIMR). Candidates must complete three levels each culminating in a six hour exam, have four years of qualified investment experience, and are obligated to adhere to the CFA Institute's Code of Ethics and Standards of Professional Conduct.

EDUCATION

Doctor of Veterinary Medicine, Washington State University, Pullman, WA, 1985

Bachelor of Sciences, Biology, and Bachelor of Sciences, Fisheries (double degree)
University of Washington, Seattle, WA, 1981

BUSINESS BACKGROUND

March 2014 - present

Eagle Harbor Asset Management, Seattle, WA

Partner; Business Development and Client Services

2011-2012

EK Riley Investments, Seattle, WA

Senior Portfolio Strategist

2007-2009

Greenfield Advisors, Seattle, WA

Business Development Specialist

2000-2005

Haywood Securities, Vancouver, B.C.

Life Sciences Analyst/Specialist

1999-2000

Charles River Laboratories, Wilmington, MA

Associate Director, Professional Services

1987-1999

Fred Hutchinson Cancer Research Center, Seattle, WA

Vivarium Director, Animal Health Resources

PROFESSIONAL ASSOCIATIONS

Chartered Financial Analyst [CFA]; Member, CFA Institute 2004
Member CFA Seattle 2007 to Present

Item 3 Disciplinary Information

Barbara A. Johnston has had no legal or disciplinary history or events as described in A, B, C, and D below.

A. A criminal or civil action in a domestic, foreign or military court of competent jurisdiction in which the *supervised person* 1. was convicted of, or pled guilty or nolo contendere ("no contest") to (a) any *felony*; (b) a *misdemeanor* that *involved* investments or an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, or extortion; or (c) a conspiracy to commit any of these offenses; 2. is the named subject of a pending criminal *proceeding* that involves an *investment-related* business, fraud, false statements or omissions, wrongful taking of property, bribery, perjury, forgery, counterfeiting, extortion, or a conspiracy to commit any of these offenses; 3. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation; or 4. was the subject of any *order*, judgment, or decree permanently or temporarily enjoining, or otherwise limiting, the *supervised person* from engaging in any *investment-related* activity, or from violating any *investment-related* statute, rule, or *order*.

B. An administrative *proceeding* before the SEC, any other federal regulatory agency, any state regulatory agency, or any *foreign financial regulatory authority* in which the *supervised person* 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or 2. was *found* to have been *involved* in a violation of an *investment-related* statute or regulation and was the subject of an *order* by the agency or authority (a) denying, suspending, or revoking the authorization of the *supervised person* to act in an *investment-related* business; (b) barring or suspending the *supervised person's* association with an *investment-related* business; (c) otherwise significantly limiting the *supervised person's investment-related* activities; or (d) imposing a civil money penalty of more than \$2,500 on the *supervised person*.

C. A *self-regulatory organization (SRO) proceeding* in which the *supervised person* 1. was *found* to have caused an *investment-related* business to lose its authorization to do business; or 2. was *found* to have been *involved* in a violation of the *SRO's* rules and was: (i) barred or suspended from membership or from association with other members, or was expelled from membership; (ii) otherwise significantly limited from *investment-related* activities; or (iii) fined more than \$2,500.

D. Any other *proceeding* in which a professional attainment, designation, or license of the *supervised person* was revoked or suspended because of a violation of rules relating to professional conduct. If the *supervised person* resigned (or otherwise relinquished his attainment, designation, or license) in anticipation of such a *proceeding* (and the adviser knows, or should have known, of such resignation or relinquishment), disclose the event.

Item 4 Other Business Activities

A. Investment Related Business

None

B. Any Other Business or Occupation

Barbara A. Johnston may periodically do part time Veterinary consulting work.

Item 5 Additional Compensation

Barbara A. Johnston derives no additional advisory services compensation outside of client fees at Eagle Harbor Asset Management, Inc.

Item 6 Supervision

Barbara A. Johnston is required to follow and abide by the compliance and policy procedures of Eagle Harbor Asset Management, Inc. as stipulated by law and the SEC.

Item 7 Arbitration and Other Claims

A. In addition to the events listed in Item 3, Barbara A. Johnston has **not** been *involved* in any of the following events listed below:

1. An award or otherwise being *found* liable in an arbitration claim alleging damages in excess of \$2,500, *involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

2. An award or otherwise being *found* liable in a civil, *self-regulatory organization*, or administrative *proceeding involving* any of the following:

- (a) an investment or an *investment-related* business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

B. Barbara A. Johnston has not been a subject in a bankruptcy petition