

# **Govatos Investment Consulting, Inc.**

---

## **ADV Part 2B – Brochure Supplement**

**James A. Govatos**

**President**

**1376 Shadow Oak Drive  
Malvern, PA 19355**

**610-296-2471**

**[www.govatosici.com](http://www.govatosici.com)**

**March 10, 2014**

**This brochure supplement provides information about James A. Govatos that supplements the Govatos Investment Consulting, Inc. brochure. A copy of that brochure precedes this supplement. Contact James A. Govatos if the Govatos Investment Consulting, Inc. brochure is not included with this supplement, or if you have any questions about this supplement.**

## Table of Contents

	<b>Page</b>
Education Background and Business Experience.....	1
Disciplinary Information.....	1
Other Business Activities.....	1
Additional Compensation .....	1
Supervision .....	1

### **Educational Background and Business Experience**

James A. Govatos was born in 1949. Mr. Govatos graduated from the Wharton School of the University of Pennsylvania with an MBA in Finance. He has been the President of Govatos Investment Consulting, Inc. since he founded the firm in 1999.

### **Disciplinary Information**

- A. James A. Govatos has not had any civil or criminal actions brought against him.
- B. James A. Govatos has not had any administrative proceedings before the SEC, any other federal regulatory agency, any state regulatory agency, or any foreign financial regulatory authority.
- C. James A. Govatos has not had any proceedings before a self-regulatory organization.
- D. James A. Govatos has not had proceedings in which a professional attainment, designation, or license was revoked or suspended.

### **Other Business Activities**

- A. James A. Govatos is not engaged in any investment-related business, other than Govatos Investment Consulting, Inc, nor does he have an application pending to register as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA. Mr. Govatos does not receive commissions, bonuses, or other compensation based on the sale of securities or other investment products.
- B. James A. Govatos does not engage in any other businesses that provide a substantial source of his income or consumes a substantial portion of his time.

### **Additional Compensation**

James A. Govatos does not receive any additional compensation beyond his salary for providing consulting services.

### **Supervision**

James A. Govatos, President, is the firm’s single consultant that consults directly with the firm’s clients. Mr. Govatos’ telephone number is 610-296-2471.