

**FIRM BROCHURE SUPPLEMENT – ADV PART 2B**

**KURIYAMA & COMPANY, LLC**

**STEVE T. KURIYAMA**

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**Item 1: Cover Page**

**DISCLAIMER:**

This *FIRM BROCHURE SUPPLEMENT* provides information about Steve T. Kuriyama that supplements the *FIRM DISCLOSURE BROCHURE*. Please contact Mary Meyer, Chief Compliance Officer at (808) 593-1600 if you did not receive our brochure or if you have any questions.

Additional information about Steve T. Kuriyama is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) (CRD #107053)

## Item 2: Educational Background and Business Experience

**Steve T. Kuriyama** (b. 1957), Principal Owner of Kuriyama & Company, LLC

### *Education*

Chartered Advisor for Senior Living, 2008

Master of Science in Financial Services, The American College, 2001

Certified Financial Planner, College For Financial Planning, 1984

Chartered Financial Consultant, The American College, 1984

Chartered Life Underwriter, The American College, 1981

### *Business Background*

Kuriyama & Company, LLC, 1998 – Present

Financial Consultants Hawaii, 1984-1998

Royal Alliance Associates, 1994-1997

Soares Financial Group, 1985-1994

Consolidated Investment Services, 1984-1985

## Item 3: Disciplinary Information

Steve T. Kuriyama has no legal or disciplinary events. Clients and prospective clients can view the CRD records for Steve T. Kuriyama through the SEC's Investment Advisor Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). The CRD number for Steve T. Kuriyama is #1326708.

## Item 4: Other Business Activities

Steve T. Kuriyama is not actively engaged in any other investment-related business or occupation nor does he have an application pending to register as a broker-dealer, registered representative of a broker-dealer, futures commission merchant, commodity pool operator, or commodity trading advisor.

Steve T. Kuriyama provides as a service to clients, referrals to other professionals, such as Certified Public Accountant, Attorney, Insurance Agent, etc. We do not have referral agreements with any professional we refer clients to and we do not receive fees as a result of our referral.

## Item 5: Additional Compensation

Steve T. Kuriyama does not receive additional compensation from non-clients for providing advisory services.

## **Item 6: Supervision**

As principal owner of Kuriyama & Company LLC, Steve T. Kuriyama is primarily responsible for supervision of all associated persons to the firm.

Mr. Kuriyama monitors the advice supervised persons provides to clients by:

- Reviewing and approving all client proposals and correspondence;
- Discussing pending client meetings and the advice to be offered; and
- Reviewing completed client meetings and the advice given.

## **Item 7: Requirements for State-Registered Advisors**

Steve T. Kuriyama has not been nor is currently the subject of any reportable legal or disciplinary event does not have any financial conditions that are reasonably likely to impair his ability to meet contractual commitments to clients and has not been the subject of a bankruptcy petition at any time during the past ten years.