

*This brochure supplement provides information about Stephen David Schmidt that supplements the Seckel Capital Advisors LLC brochure. You should have received a copy of that brochure. Please contact Stephen David Schmidt if you did not receive Seckel Capital Advisors LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Stephen David Schmidt is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

## **Seckel Capital Advisors LLC**

**Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Stephen David Schmidt**

Personal CRD Number: 4451342

Investment Adviser Representative

Seckel Capital Advisors LLC  
642 Newtown Yardley Rd Suite 220  
Newtown, PA 18940  
(888) 270-1574  
[sschmidt@seckelcapitaladvisors.com](mailto:sschmidt@seckelcapitaladvisors.com)

UPDATED: 10/21/2013

## Item 2: Educational Background and Business Experience

**Name:** Stephen David Schmidt

**Born:** 1972

### Educational Background and Professional Designations:

#### Education:

Bachelors of Science Marketing, Temple University - 1995

#### Business Background:

09/2013 - Present	Senior Vice President Seckel Capital Advisors LLC
07/2011 - 09/2013	First Vice President Corestates Capital Advisors LLC
07/2009 - 06/2011	Financial Advisor Family Office Group
08/2009 - 04/2011	Registered Representative Pursche Kaplan Sterling
09/2005 - 07/2009	Financial Advisor Morgan Stanley
07/2000 - 09/2005	Financial Advisor PFS Investments
02/1995 - 09/2005	Analyst Bloomberg LP

## Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

#### Item 4: Other Business Activities

Stephen David Schmidt is not engaged in any investment-related business or occupation (other than this advisory firm).

#### Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Stephen David Schmidt does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Seckel Capital Advisors LLC.

#### Item 6: Supervision

As a representative of Seckel Capital Advisors LLC, Stephen David Schmidt works closely with supervisor Ian Foster, and all advice provided to clients is reviewed by this supervisor prior to implementation. Ian Foster can be reached at (888) 270-1574.

#### Item 7: Requirements For State Registered Advisers

*This disclosure is required by PA securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Stephen David Schmidt has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;

- d) bribery, forgery, counterfeiting, or extortion; or
- e) dishonest, unfair, or unethical practices.

B. Stephen David Schmidt has **NOT** been the subject of a bankruptcy petition in the past ten years.



