

## **Brochure Supplement**

(Part 2B of Form ADV)

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**DORAN J. ADHAMI**

**MANAGING MEMBER, INVESTMENT ADVISER REPRESENTATIVE**

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**United Global Advisors, LLC**

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This brochure supplement provides information about the Investment Adviser Representatives (IARs) of UNITED GLOBAL ADVISORS, LLC ("UGA"). This information supplements the UGA Firm Brochure. You should have received a copy of that brochure. Please contact Doran Adhami, Chief Compliance Officer, at 424-278-1798, if you did not receive the brochure of UGA. You can also contact Mr. Adhami if you have any questions about the content of this supplement. Additional information about Doran Adhami is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). You can search this website by using the IAR's CRD number as listed herein.

September 5, 2013

## **A. GENERAL REQUIREMENTS**

Generally, UGA requires employees to hold a college or advanced degree or have relevant working experience in the securities industry. Any employee of UGA acting in a representative capacity will be appropriately licensed or registered as such.

## **B. INVESTMENT ADVISER REPRESENTATIVE INFORMATION**

We currently have three (3) investment adviser representative employed by UGA. This Brochure Supplement provides information about **Doran J. Adhami, Jr.**

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### **ITEM 2            EDUCATION AND BUSINESS EXPERIENCE**

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CRD Number: 3212374

Year of Birth: 1972

#### RELATED BUSINESS EXPERIENCE:

#### **Managing Member/Investment Adviser Representative**

United Global Advisors, LLC (2013 – Present)

#### **Financial Advisor**

UBS Financial Services (2005 – 2013)

#### **Financial Consultant**

Merrill Lynch, Pierce, Fenner & Smith, Inc. (1999 – 2005)

#### **Registered Representative**

Financial West Group (1999 – 1999)

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### **ITEM 3            DISCIPLINARY INFORMATION**

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Doran J. Adhami does not have any legal or disciplinary events that are material to a client's or prospective client's evaluation.

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### **ITEM 4            OTHER BUSINESS ACTIVITIES**

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Doran J. Adhami, the Managing Member of UGA, is also a Board Member and Treasurer of the Magbit Foundation, a non-profit organization.

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### **ITEM 5            ADDITIONAL COMPENSATION**

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Other than as noted in Item 4 above, Doran J. Adhami, does not receive any additional economic benefit from any third party for providing advisory services.

**ITEM 6                    SUPERVISION**

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The Firm has adopted written supervisory procedures that are designed to supervise the activities of its supervised persons. The Firm will administer its supervision through application of its policies. Doran J. Adhami is the firm's Chief Compliance Officer. As the Compliance Officer, Mr. Adhami is responsible for the administration of the firm's supervision and operations. To contact Mr. Adhami, please refer to his individual Supplemental Brochure cover page.

**ITEM 7                    REQUIREMENTS FOR STATE-REGISTERED ADVISERS**

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**A.1                    Arbitration Claims**

None. Doran J. Adhami has not been found liable in any arbitration claim alleging damages in excess of \$2,500 involving an investment or investment-related business or activity, fraud, false statements or omissions, theft, embezzlement or other wrongful taking of property, bribery, forgery counterfeiting or extortion or dishonest, unfair or unethical practices.

**A.2                    Self-Regulatory Organization or Administrative Proceedings**

None. Doran J. Adhami has not been found liable in any civil, self-regulatory organization or administrative proceeding involving an investment or investment related business or activity, fraud, false statements or omissions, theft embezzlement or other wrongful taking of property bribery, forgery, counterfeiting, or extortion; or dishonest, unfair or unethical practices.

**B.                    Bankruptcy Petitions**

None. Doran J. Adhami has not been the subject of a bankruptcy petition at any time during the last 10 years.