

**SUPERVISED PERSON BROCHURE**  
FORM ADV PART 2B

**Brent David Turner**

**Silverhawk Asset Management, LLC**

**Office Address:**  
1976 Cooks Road  
Mt. Juliet, TN 37122

Tel: 615-443-0503

[www.silverhawkfinancial.com](http://www.silverhawkfinancial.com)  
[bturnerppg@gmail.com](mailto:bturnerppg@gmail.com)

This brochure supplement provides information about Brent David Turner and supplements the Silverhawk Asset Management, LLC's brochure. You should have received a copy of that brochure. Please contact Brent David Turner if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Brent David Turner (CRD #2429805) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**NOVEMBER 19, 2013**

## Brochure Supplement (Part 2B of Form ADV)

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### Additional Investment Advisor Representative

**Brent David Turner**

- Year of birth: 1969
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### Item 2 Educational Background and Business Experience

Educational Background:

- University of Tennessee at Martin; B.S. Animal Science/Food Technology; 1991

Business Experience:

- Silverhawk Asset Management, LLC; Investment Advisor Representative; 11/2013- Present
  - Simplicity Benefits LLC; Owner/Insurance Agent; 09/2013-Present
  - Prevention Planning Group, LLC; Owner; 07/2009-Present
  - Brent Turner; Insurance Agent/Fixed Insurance Sales; 12/1991-Present
  - JP Turner & Company Capital Management, LLC; Registered Representative/Investment Advisor Representative; 02/2011-11/2013
  - QA3 Financial Corp; Registered Representative/Investment Advisor Representative; 07/2005-02/2011
  - Intersecurities; Registered Representative; 02/1994-08/2005
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### Item 3 Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Life Underwriter Training Council Fellow (LUTCF) Life Underwriter Training Council Fellow designation is granted by the American College. LUTCF certification requirements:

- Complete LUTCF coursework: one required course and five elective courses.
  - Earned 300 designation credits.
  - Take the Professional Ethics Pledge.
  - Be a member of a local association of NAIFA.
  - Complete and submit a designation application to the American College and provide evidence of membership.
  - To maintain the designation, three hours of ethics-related training every two years.
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### Item 4 Disciplinary Information

None to report

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### Item 5 Other Business Activities

Brent David Turner has a financial industry affiliated business as an independent insurance agent. Approximately 10% of his time is spent on these activities. From time to time, he offers clients advice or products from those activities.

These practices represent conflicts of interest because it gives Mr. Turner an incentive to recommend products based on the commission amount received. This conflict is

mitigated by the fact that Mr. Turner has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent of their choosing.

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#### **Item 6 Additional Compensation**

Brent David Turner receives additional compensation in his capacity of an insurance agent, but does not receive any performance based fees.

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#### **Item 7 Supervision**

Brent David Turner is supervised Paul Mershon, Chief Compliance Officer. He reviews Brent's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions.

Mr. Mershon can be contacted at 480-296-0200 or by email at paul@silverhawkfinancial.com.

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#### **Item 8 Requirements for State-Registered Advisors**

Arbitration Claims: On January 03, 2011 a written complaint was filed resulting in an arbitration/CFTC reparation; docket/case#10-05109. The arbitration/CFTC reparation was settled on May 18, 2011 in the amount of \$8,950.00.

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None