

SUPERVISED PERSON BROCHURE
FORM ADV PART 2B

Joseph J. Laux III

Silverhawk Asset Management, LLC

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This brochure supplement provides information about Joseph J. Laux III and supplements the Silverhawk Asset Management, LLC's brochure. You should have received a copy of that brochure. Please contact Joseph J. Laux III if you did not receive the brochure or if you have any questions about the contents of this supplement.

Additional information about Joseph J. Laux III (CRD #5137192) is available on the SEC's website at www.adviserinfo.sec.gov.

SEPTEMBER 16, 2013

Brochure Supplement (Part 2B of Form ADV)

Additional Investment Advisor Representative

Joseph J. Laux III

- Year of birth: 1981
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Item 2 Educational Background and Business Experience

Educational Background:

- Arizona State University; Business Degree with Bachelor of Science in Marketing; 05/2003

Business Experience:

- Silverhawk Asset Management, LLC; Investment Advisor Representative; 09/2013- Present
 - Pioneer Advisors, LLC; Investment Advisor Representative; 06/2011-Present
 - Ausdal Financial Partners, Inc.; Registered Representative; 03/2011-Present
 - Silverhawk Financial Planning, LLC; Insurance Agent; 06/2010-Present
 - Deserts on Me; Pastry Chef; 03/2003-Present
 - Kaizen Solutions; Owner; 11/2009-Present
 - Sigma Alpha Epsilon Housing Corporation; President; 01/2009-Present
 - Northwestern Mutual Investment Services, LLC; Financial Representative; 07/2006-08/2010
 - Northwestern Mutual Life Insurance Company; Insurance Agent; 04/2006-08/2010
 - Quixtar; Sales; 07/2005-07/2006
 - Desert Highlands Country Club; Pastry Chef; 11/2005-03/2006
 - Royal Palms Resort & Spa; Chef; 04/2004-11/2005
 - Scottsdale Culinary Institute; Student; 09/2003-03/2004
 - American Valet Company; Customer Service/Valet Attendant; 02/2001-10/2003
 - Arizona State University; Student; 08/1999-05/2003
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Item 3 Disciplinary Information

None to report

Item 4 Other Business Activities

Joseph J. Laux III has a financial industry affiliated business as an independent insurance agent as well as a registered representative with Ausdal Financial Partners, Inc. an unaffiliated registered Broker-Dealer, a member and investment advisor representative of Pioneer Advisors, LLC, an SEC registered investment advisor and an Investment Advisor Representative with Pioneer Advisors, LLC. More than 50% of his time is spent on these activities. From time to time, he offers clients advice or products from those activities.

These practices represent conflicts of interest because it gives Mr. Laux an incentive to recommend products based on the commission amount received. This conflict is mitigated by the fact that Mr. Laux has a fiduciary responsibility to place the best interest of the client first and the clients are not required to purchase any products. Clients have the option to purchase these products through another insurance agent, registered representative and investment advisor representative of their choosing.

Item 5 Additional Compensation

Joseph J. Laux III receives additional compensation in his capacity of an insurance agent, registered representative and investment advisor representative, but does not receive any performance based fees.

Item 6 Supervision

Joseph J. Laux III is supervised Paul Mershon, Chief Compliance Officer. He reviews Joseph's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions.

Mr. Mershon can be contacted at 480-296-0200 or by email at paul@silverhawkfinancial.com.

Item 7 Requirements for State-Registered Advisors

Arbitration Claims: None

Self-Regulatory Organization or Administrative Proceeding: None

Bankruptcy Petition: None