

Form ADV Part 2B – Brochure Supplement for Bill Baker
Item 1: Cover Page
April 2013



1320 Mt. Diablo Blvd. – Suite 200
Walnut Creek, CA 94596

Firm Contact:
Ryder Brose
Chief Compliance Officer

Firm Website Address:
www.investwithintegrity.com

This brochure supplement provides information about Bill Baker that supplements our brochure. You should have received a copy of that brochure. Please contact Ryder Brose if you did not receive Baker, Brose & Mitsutome Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Bill Baker is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Bill Baker

Year of Birth: 1940

Educational Background:

- 1963; San Jose State University; Business & Industrial Management

Business Background:

- 04/2013 – Present; Baker, Brose & Mitsutome Wealth Management LLC; Financial Advisor
- 01/1998 – 05/2013; LPL Financial; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 1997; Series 7, 63, 65
- 1997; Life, Health, & Annuity Insurance Licensed
- 1998; Series 24

Item 3: Disciplinary Information

If there are legal or disciplinary events material to your evaluation of Bill Baker, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

Item 4: Other Business Activities

If Bill Baker is actively engaged in any business or occupation for compensation and the other business activity or activities provide a substantial source of Bill Baker's income or involve a substantial amount of Bill Baker's time, we are required to disclose this fact and must describe the nature of that business.

Bill Baker is a registered representative of Purshe Kaplan Sterling Investments, Inc. ("PKS"), a registered broker-dealer and Member FINRA/SIPC. In order to comply with FINRA Conduct Rule 3040, PKS, as an unaffiliated broker-dealer, may periodically review the investment advisory transactions of Adviser. This information will be viewed by PKS' compliance department personnel for supervisory purposes only. No information viewed will be utilized for purposes of solicitation or shared with any affiliation outside the scope of regulatory compliance.

Item 5: Additional Compensation

If someone who is not a client provides an economic benefit to Bill Baker for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Bill Baker's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

Item 6: Supervision

Ryder Brose is the Chief Compliance Officer and a principal of Baker, Brose & Mitsutome Wealth Management LLC. As such, he supervises and monitors Bill Baker's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Brose if you have any questions about Bill Baker's brochure supplement at (925) 300-3222.

Form ADV Part 2B – Brochure Supplement for Ryder Brose
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Additional information about Ryder Brose is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Ryder Brose

Year of Birth: 1971

Educational Background:

- 1994; California Polytechnic State University; B.S. – Psychology

Business Background:

- 2013 – Present; Baker, Brose & Mitsutome Wealth Management LLC; Financial Advisor
- 2007 – 2013; LPL Financial; Financial Advisor
- 2005 – 2007; Retired
- 1996 – 2005; Contra Costa County Office of the Sheriff; Sergeant

Exams, Licenses & Other Professional Designations:

- 2008; Series 7, 66
- 2011; Accident, Health, Life, & Annuity Insurance Licensed

Item 3: Disciplinary Information

If there are legal or disciplinary events material to your evaluation of Ryder Brose, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

Item 4: Other Business Activities

If Ryder Brose is actively engaged in any business or occupation for compensation and the other business activity or activities provide a substantial source of Ryder Brose's income or involve a substantial amount of Ryder Brose's time, we are required to disclose this fact and must describe the nature of that business.

We have nothing to disclose in this regard.

Item 5: Additional Compensation

If someone who is not a client provides an economic benefit to Ryder Brose for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Ryder Brose's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

Item 6: Supervision

Art Mitsutome is a principal of Baker, Brose & Mitsutome Wealth Management LLC and as such supervises and monitors Ryder Brose's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Mitsutome if you have any questions about Ryder Brose's brochure supplement at (925) 300-3222.

Form ADV Part 2B – Brochure Supplement for Art Mitsutome
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Ryder Brose
Chief Compliance Officer

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Additional information about Art Mitsutome is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Art Mitsutome

Year of Birth: 1949

Educational Background:

- 1973; University of California, Davis; East Asian Studies
- 1976; University of California, Berkeley, Extension; Personal Financial Planning Certificate
- 1988; University of California, Berkeley; MBA – Business Administration – Finance

Business Background:

- 04/2013 – Present; Baker, Brose & Mitsutome Wealth Management LLC; Financial Advisor
- 08/2007 – 05/2013; LPL Financial; Financial Advisor/Branch Manager
- 09/2004 – 08/2007; Bank of America Investment Services; Financial Advisor
- 10/1998 – 02/2004; US Bancorp Piper Jaffray; Financial Advisor

Exams, Licenses & Other Professional Designations:

- 1986; Series 3, 7, 63
- 1995; Series 65
- 2004; Series 31
- 2007; Series 24

Item 3: Disciplinary Information

If there are legal or disciplinary events material to your evaluation of Art Mitsutome, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

Item 4: Other Business Activities

If Art Mitsutome is actively engaged in any business or occupation for compensation and the other business activity or activities provide a substantial source of Art Mitsutome's income or involve a substantial amount of Art Mitsutome's time, we are required to disclose this fact and must describe the nature of that business.

We have nothing to disclose in this regard.

Item 5: Additional Compensation

If someone who is not a client provides an economic benefit to Art Mitsutome for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Art Mitsutome's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

Item 6: Supervision

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