

Thomas J. Wolf

TCFG Investment Advisors, LLC

CRD # 1323566

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November 15, 2013

This Brochure Supplement provides information about Tom Wolf that supplements the TCFG Investment Advisors, LLC Brochure. You should have received a copy of that Brochure. Please contact TCFG Investment Advisors, LLC if you did not receive the Firm's Brochure or if you have any questions about the contents of this supplement.

Additional information about Tom Wolf is available on the SEC's Web site at www.adviserinfo.sec.gov.

ITEM 2 EDUCATIONAL BACKGROUND AND BUSINESS EXPERIENCE

Education and Business Background

Name: Tom Wolf, CFP®

Year of Birth: 1950

Education: University of Wisconsin, Madison, WI

BS Physics (1972)

San Jose State University, San Jose, CA

Physics studies

Business: TCFG Wealth Management, LLC Laguna Niguel, CA, Registered Representative

November 2013 - Present

TCFG Investment Advisors, LLC, Laguna Niguel, CA, President and Chief Compliance Officer

November 1, 2013 - Present

TPN, Mission Viejo, CA - IA Representative, Insurance Agent, Chief Compliance Officer

7/01 – 9/2013

NPC, Mission Viejo, CA - Registered Representative

8/99 – 9/2013

Associated Securities, Mission Viejo, CA - Registered Representative

1/85 – 8/99

Professional Designations Qualifications

CFP® - Certified Financial Planner is issued by the Certified Financial Planner Board of Standards, Inc.

Candidates must meet the following requirements:

- Complete CFP® education program or fulfillment by other specified credential
- Bachelor's degree (or higher) from an accredited college or university
- Pass CFP® certification exam
- 3 years of full-time personal financial planning experience
- Continuing education requirement of 30 hours every 2 years

ITEM 3 - DISCIPLINARY INFORMATION

- Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item for Mr. Wolf or TCFG Investment Advisors, LLC.

ITEM 4- OTHER BUSINESS ACTIVITIES

- In addition to his role with TCFG, Mr. Wolf is a registered representative of TCFG Wealth Management, LLC, ("TCFG Wealth"), a FINRA member broker-dealer. Certain personnel of TCFG Investment Advisors, LLC are separately licensed as registered representatives of TCFG Wealth. The roles of the two firms creates a conflict of interest in that the broker-dealer may receive additional compensation in the form of commissions and other fees generated by advisory clients. While TCFG and the individuals with the firms endeavor at all times to put the interest of the clients first as part of its fiduciary duty, clients should be aware that the receipt of additional compensation itself creates a conflict of interest, and may affect the judgment of these individuals when making recommendations.

ITEM 5 - ADDITIONAL COMPENSATION

- Mr. Wolf does not receive any additional compensation related to the provision of investment advisory services.

ITEM 6 - SUPERVISION

- Mr. Wolf is the President and Chief Compliance Officer for TCFG Investment Advisors, LLC therefore he is responsible for his own supervision and that of all other investment adviser representatives of TCFG Investment Advisors, LLC.

ITEM 7 – REQUIREMENTS FOR STATE-REGISTERED ADVISERS

- A. This item is used to report additional disciplinary items or disciplinary matters not disclosed under Item 3 of this document. No information is applicable to this Item for Mr. Wolf or TCFG Investment Advisors, LLC.
- B. Mr. Wolf has not been the subject of a bankruptcy petition.