

**Form ADV Part 2B – Brochure Supplement  
Item 1 – Cover Page  
April 2013**

**Glenn Morris**

**Morris Wealth Management  
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Anderson, CA 96007**

**Firm Contact:  
Glenn Morris, President & Chief Compliance Officer**

**Firm Website Address:  
[www.MorrisWealth.net](http://www.MorrisWealth.net)**

This brochure supplement provides information about Glenn Morris that supplements our brochure. You should have received a copy of that brochure. Please contact Glenn by phone at (530) 365-1234 or e-mail at [gmorris@morriswealth.net](mailto:gmorris@morriswealth.net) if you did not receive Morris Wealth Management's brochure or if you have any questions about the contents of this supplement.

Additional information about Glenn Morris is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov) by searching CRD# 2304786.

## Item 2: Educational Background & Business Experience

### **Glenn Morris**

**Year of Birth:** 1961

#### **Educational Background:**

- 1991; Loyola University; MBA – Finance
- 1985; Loyola University; Bachelor's Degree

#### **Business Background:**

- 04/2013 – Present; Morris Wealth Management
- 07/1997 – 04/2013; LPL Financial

#### **Exams, Licenses & Other Professional Designations:**

- 07/1987; Certified Public Accountant
- 12/1992; Series 7
- 01/1993; Series 63
- 10/1997; Series 24
- 04/1993; Insurance License
- 01/2004; Certified Financial Planner

#### **Certified Public Accountant (CPA)**

CPAs are licensed and regulated by their state boards of accountancy. Experience and testing requirements for licensure as a CPA generally include minimum college education, minimum experience levels, and successful passage of the Uniform CPA Examination. In order to maintain a CPA license, states generally require the completion of continuing professional education. Additionally, all American Institute of Certified Public Accountants (AICPA) members are required to follow a rigorous *Code of Professional Conduct* which requires that they act with integrity, objectivity, due care, competence, fully disclose any conflicts of interest (and obtain client consent if a conflict exists), maintain client confidentiality, disclose to the client any commission or referral fees, and serve the public interest when providing financial services.

#### **The CERTIFIED FINANCIAL PLANNER™, CFP®**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board"). The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

### **Item 3: Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Glenn Morris, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

### **Item 4: Other Business Activities**

A. If Glenn Morris is actively engaged in any investment-related business or occupation, including if Glenn Morris is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Glenn Morris's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Glenn Morris is an insurance agent/broker. He may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client.

Glenn Morris is also a CPA and as such, engages in income tax preparation. This business is investment related in terms of providing tax efficient investment advice. He spends 60 hours per month in this capacity from February through March. His duties entail gathering tax related client data, preparing tax returns, and advising on tax planning strategies.

2. If Glenn Morris receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Glenn Morris receives. We must explain that this practice gives Glenn Morris an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Glenn Morris is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Glenn Morris's income or involve a substantial amount of Glenn Morris's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Glenn Morris's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

#### **Item 5: Additional Compensation**

If someone who is not a client provides an economic benefit to Glenn Morris for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Glenn Morris's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

#### **Item 6: Supervision**

We are required to explain how we supervise Glenn Morris, including how we monitor the advice Glenn Morris provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Glenn Morris's advisory activities on behalf of our firm.

Glenn Morris is the sole principal and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. He can be reached by phone at (530) 365-1234 or e-mail at gmorris@morriswealth.net.