

Brochure Supplement for Sammy Sung
Item 1: Cover Page for Part 2B of Form ADV
January 2013



1001 Bayhill Drive
Suite 200
San Bruno, CA 94066

Firm Contact:
Sammy Sung
Principal and Chief Compliance Officer

Firm Website Address:
www.PGWM.com

This brochure supplement provides information about Sammy Sung that supplements our brochure. You should have received a copy of that brochure. Please contact Darrell Young at (650) 616-4165 or darrell.young@pgwm.com if you did not receive Poplar Global Wealth Management LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Sammy Sung is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Sammy Sung

Year of Birth: 1972

Educational Background:

- Vanderbilt University; Master of Business Administration
- University of California, Berkeley; Bachelor of Arts

Business Background:

- 10/2012 – Present; Poplar Global Wealth Management LLC; Chief Compliance Officer/Principal/Investment Adviser Representative (“IAR”)
- 10/2012 – Present; Mutual Securities, Inc.; Registered Representative/IAR
- 05/2009 – 09/2012; Wells Fargo Advisors, LLC; Registered Representative
- 05/2008 – 05/2009; Wachovia Securities, LLC; Senior Registered Client Associate
- 09/2003 – 05/2008; UBS Financial Services, Inc.; Registered Representative
- 05/2002 – Present; Placer Solutions, LLC; Director

Exams, Licenses, and Other Professional Designations:

- 06/1994 – Series 63
- 11/2003 – Series 7
- 12/2003 – Series 66
- Life Insurance and Annuities Agent

Item 3: Disciplinary Information

If there are legal or disciplinary events material to your evaluation of Sammy Sung, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

Item 4: Other Business Activities

A. If Sammy Sung is actively engaged in any investment-related business or occupation, including if Sammy Sung is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant (“FCM”), commodity pool operator (“CPO”), commodity trading advisor (“CTA”), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Sammy Sung’s other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Representatives of our firm are insurance agents/brokers. They may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client.

2. If Sammy Sung receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Sammy Sung receives. We must explain that this practice gives Sammy Sung an incentive to recommend investment products based on the compensation received, rather than on your needs.

Sammy Sung is a registered representative of Mutual Securities, Inc. member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Sammy Sung may earn and may not necessarily be in the best interests of the client.

- B. If Sammy Sung is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Sammy Sung's income or involve a substantial amount of Sammy Sung's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Sammy Sung's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

Item 5: Additional Compensation

If someone who is not a client provides an economic benefit to Sammy Sung for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Sammy Sung's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

Item 6: Supervision

We are required to explain how we supervise Sammy Sung, including how we monitor the advice Sammy Sung provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Sammy Sung's advisory activities on behalf of our firm.

Darrell Young, Principal of Poplar Global Wealth Management LLC, supervises and monitors Sammy Sung's activities on a regular basis. Please contact Darrell if you have any questions about Sammy's brochure supplement at (650) 616-4165 or e-mail at darrell.young@pgwm.com.

Item 7: Requirements for State-Registered Advisers

A. In addition to the events listed in Item 3 of Part 2B, if Sammy Sung has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

B. If Sammy Sung has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.