

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
February 2013**

**Mark George Visnic**

**Oak Grove Capital, LLC  
2689 Oak Hill Drive  
Allison Park, PA 15101  
412-753-1980**

**Firm Contact:  
Mark G. Visnic, Chief Compliance Officer**

**Firm Website Address:  
[www.oakgrovecapital.net](http://www.oakgrovecapital.net)**

**This brochure supplement provides information about Mark G. Visnic that supplements our brochure. You should have received a copy of that brochure. Please contact Mark G. Visnic, Chief Compliance Officer, if you did not receive Oak Grove Capital, LLC's brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mark G. Visnic is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background & Business Experience**

### **Mark George Visnic**

**Year of Birth:** 1958

#### **Educational Background:**

- 1998; Carnegie Mellon University, Tepper School; MBA (Finance/Strategy)
- 1988; American University, Washington College of Law; LL.M. (International Law)
- 1985; University of Pittsburgh Law School; Juris Doctor (J.D.)
- 1980; Wake Forest University; B.A. (Economics)

#### **Business Background:**

- 11/2012 - Present; Oak Grove Capital, LLC; Managing Member and Chief Compliance Officer
- 04/2012 - 11/2012; Mid Atlantic Capital Corporation; Registered Representative
- 04/2012 - 11/2012; Mid Atlantic Financial Management; Financial Adviser
- 08/2009 - 04/2012; Touchstone Capital; Managing Principal
- 03/2006 - 05/2009; PNC Capital Markets; Managing Director
- 09/2002 - 03/2006; Mellon Capital Markets; Director
- 10/1998 - 08/2002; Mellon/Dreyfus; Buy-Side Analyst
- 03/1993 - 02/1995; National Center for State Courts, International Programs Director
- 03/1989 - 03/1993; U.S. Agency for International Development (USAID); Project Manager

#### **Exams:**

- 05/2010 – Series 65
- 03/2006 – Series 63

## **Item 3: Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Mark G. Visnic, we are required to disclose all material facts regarding those events.

Not applicable.

## **Item 4: Other Business Activities**

- A. If Mark G. Visnic is actively engaged in any investment-related business or occupation, including if Mark G. Visnic is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Mark G. Visnic's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Not applicable.

2. If Mark G. Visnic receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mark G. Visnic receives. We must explain that this practice gives Mark G. Visnic an incentive to recommend investment products based on the compensation received, rather than on your needs.

Not applicable.

- B. If Mark G. Visnic is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mark G. Visnic's income or involve a substantial amount of Mark G. Visnic's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mark G. Visnic's time and income, we may presume that they are not substantial.

Not applicable.

### **Item 5: Additional Compensation**

If someone who is not a client provides an economic benefit to Mark G. Visnic for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Mark G. Visnic's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

Not applicable.

### **Item 6: Supervision**

We are required to explain how we supervise Mark G. Visnic, including how we monitor the advice Mark G. Visnic provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Mark G. Visnic's advisory activities on behalf of our firm.

Mark G. Visnic is a Managing Member and Chief Compliance Officer and as such has no internal supervision placed over him. He is, however, bound by our firm's Code of Ethics. If you have any questions about this brochure supplement please contact Mark G. Visnic at 412-753-1980.

### **Item 7: Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mark G. Visnic has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Not applicable.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:

- (a) an investment or an investment-related business or activity;
- (b) fraud, false statement(s), or omissions;
- (c) theft, embezzlement, or other wrongful taking of property;
- (d) bribery, forgery, counterfeiting, or extortion; or
- (e) dishonest, unfair, or unethical practices.

Not applicable.

B. If Mark G. Visnic has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

Not applicable.