

Kaye Riggs & Associates

800-832-9682

Form ADV Part 2B – Brochure Supplement

For

Kaye Riggs

Sole Proprietor and Chief Compliance Officer

Dated May 21, 2013

This brochure supplement provides information about Kaye Riggs that supplements the Kaye Riggs & Associates brochure. A copy of that brochure precedes this supplement. Please contact Mr. Riggs if the Kaye Riggs & Associates brochure is not included with this supplement or if you have any questions about the contents of this supplement.

Additional information about Kaye Riggs is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background and Business Experience

Kaye Riggs

Born: 1954

Educational Background

Kaye Riggs attended National University from 1981 to 1984 with a concentration in both Business and English. He also accumulated approximately three years of collegiate credit through the College Board's College-Level Examination Program®, police academy attendance, and classes at Pasadena City College and Mt. San Antonio College.

Business Experience

- 2013 – Present, Kaye Riggs & Associates, Sole Proprietor and Chief Compliance Officer
- 2010 – 2013, PKS Advisory Services, LLC – Investment Adviser Representative
- 1987 – 2009, Continental Airlines, Inc. – Captain & Airline Pilot

Item 3: Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

Item 4: Other Business Activities

Mr. Riggs is not involved in any other business activities.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Mr. Riggs does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Kaye Riggs & Associates.

Item 6: Supervision

Mr. Riggs is Sole Proprietor and as Chief Compliance Officer of Kaye Riggs & Associates is responsible for supervision. He may be contacted at the phone number on this brochure supplement.