

## **Supervised Person Brochure**

Part 2B of Form ADV

**Jacob Neal Carris, CFA, CFP®**

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This brochure provides information about Jacob Neal Carris and supplements the Tim Looney Investments, LLC brochure. You should have received a copy of that brochure. Please contact Jacob Neal Carris if you did not receive Tim Looney Investments, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Jacob Neal Carris (CRD #5004910) is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

**April 18, 2013**

## Brochure Supplement (Part 2B of Form ADV)

### Supervised Person Brochure

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#### Additional Investment Advisor Representative

Jacob Neal Carris, CFA, CFP®

- Year of birth: 1982
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#### Item 2 Educational Background and Business Experience

Educational Background:

- University of Florida; Bachelor of Science in Business Administration; Finance; 06/2005

Business Experience:

- Tim Looney Investments, LLC; Investment Advisor Representative; 04/2013-Present
  - Charles Schwab & Co.; Portfolio Consultant; 08/2007-03/2013
  - Jacob N. Carris, Sole Proprietor; Umpire; 06/2001-08/2010
  - Tim Looney Investments; Financial Consultant; 10/2006-08/2007
  - Morgan Stanley; Financial Advisor; 07/2005-03/2006
  - University of Florida; Student; 08/2003-06/2005
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#### Professional Certifications

Employees have earned certifications and credentials that are required to be explained in further detail.

Certified Financial Planner (CFP®): Certified Financial Planner is a designation granted by the CFP® Board. CFP® requirements:

- Bachelor's degree from an accredited college or university.
- Completion of the financial planning education requirements set by the CFP Board ([www.cfp.net](http://www.cfp.net)).
- Successful completion of the 10-hour CFP® Certification Exam.
- Three-year qualifying full-time work experience.
- Successfully pass the Candidate Fitness Standards and background check.
- When you achieve your CFP® designation, you must renew your certification every year, pay \$360 certification fee and complete 30 hours of continuing education.

Chartered Financial Analyst (CFA): Chartered Financial Analysts are licensed by the CFA Institute to use the CFA mark. CFA certification requirements:

- Hold a bachelor's degree from an accredited institution or have equivalent education or work experience.
- Successful completion of all three exam levels of the CFA Program.
- Have 48 months of acceptable professional work experience in the investment decision-making process.
- Fulfill society requirements, which vary by society. Unless you are upgrading from affiliate membership, all societies require two sponsor

statements as part of each application; these are submitted online by your sponsors.

- Agree to adhere to and sign the Member's Agreement, a Professional Conduct Statement, and any additional documentation requested by CFA Institute.

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**Item 3 Disciplinary Information**

None to report

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**Item 4 Other Business Activities**

Jacob Neal Carris has no other business activities to disclose.

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**Item 5 Additional Compensation**

Jacob Neal Carris receives no additional compensation.

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**Item 6 Supervision**

Jacob Neal Carris is supervised by Timothy Looney, Chief Compliance Officer. He reviews Jacob's work through client account reviews, quarterly personal transaction reports as well as face-to-face and phone interactions.

Timothy Looney can be contacted at 407-331-6961 or by email at

[tim@timlooneyinvestments.com](mailto:tim@timlooneyinvestments.com)

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**Item 7 Requirements for State-Registered Advisors**

Arbitration Claims: *None*

Self-Regulatory Organization or Administrative Proceeding: *None*

Bankruptcy Petition: *None*