

Item 1: *Cover Page*

**PART 2B OF FORM ADV: BROCHURE SUPPLEMENT**

**PACIFIC VIEW ASSET MANAGEMENT, LLC**

**Name(s) of Supervised Persons:**

Mike Ashton

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This brochure supplement provides information about Mike Ashton that supplements the Pacific View Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Steven Druskin, Chief Operating Officer and Chief Compliance Officer for Pacific View Asset Management, LLC if you did not receive Pacific View Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.

## **Mike Ashton, CFA**

### **Item 2: *Educational Background and Business Experience***

Mike Ashton was born in 1975 in Phoenixville, PA. He completed his Bachelor of Arts from Bucknell University in 1997, and his Master of Business Administration from the University of California, Berkeley in 2005. Mr. Ashton is a CFA charterholder.

Mr. Ashton has 14 years of investment industry experience. He joined Pacific View Asset Management in July 2013. Mike Ashton is a Portfolio Manager and the Head of the U.S. Small/Large Cap Investment Team.

Mr. Ashton's investment career began in 1999 at Deutsche Bank Alex. Brown, where he was an Associate in the Venture Capital Services Group, focusing on venture capital clients engaged in public equity market transactions. In 2006, Mr. Ashton joined Insight Capital Research and Management. While at Insight, he served as an Equity Analyst from 2006 to 2010 for the firm's Small Cap Growth, SMid Cap Growth, Mid Cap Growth, All Cap Growth and Concentrated Growth strategies, and as Portfolio Manager from 2010 to 2013 for the firm's Small Cap Growth, SMid Cap Growth, Mid Cap Growth, and Concentrated Growth strategies. From 2009 to 2013, Mr. Ashton served as Portfolio Manager for Insight's Large Cap Growth strategy. Mr. Ashton also served on Insight's Investment Committee and Management Committee.

The Chartered Financial Analyst (CFA) charter is a globally recognized, graduate-level investment credential awarded by the CFA Institute. Among other requirements, candidates must have at least four years of qualified investment work experience, pledge to adhere to the CFA Institute's Code of Ethics and Standards of Professional Conduct, and complete the CFA Program, which typically takes between two and five years and requires passing exams at three separate levels.

### **Item 3: *Disciplinary Information***

Mr. Ashton has no legal or disciplinary events to report that would be material to a client's or prospective client's evaluation of him.

### **Item 4: *Other Business Activities***

Mr. Ashton is not engaged in business activities that present a conflict of interest with any of Pacific View Asset Management's clients. Mr. Ashton does not spend a substantial amount of time on, or receive a substantial compensation for, any outside business activities.

### **Item 5: *Additional Compensation***

Mr. Ashton does not receive economic benefits from any non-client for performing advisory services.

**Item 6: *Supervision***

Mr. Ashton, as Portfolio Manager and Head of Pacific View Asset Management's U.S. Small/Large Investment Team, is responsible for all investment advice and activities of the U.S. Small/Large Cap Investment Team. He is subject to Pacific View Asset Management's Compliance Manual, Code of Ethics and other policies and procedures intended to cause Pacific View Asset Management to discharge its duties to clients consistently with its investment management agreements and applicable regulations and otherwise to comply with applicable laws and regulations. As to those matters, Mr. Ashton is supervised by Steven Druskin, the Chief Operating Officer and Chief Compliance Officer of Pacific View Asset Management. Mr. Druskin can be contacted at (415) 318-5805.