

## **Form ADV Part 2B – Brochure Supplement**

**for**

**John A. Staab  
Founder**

**Effective: February 20, 2013**

This Brochure Supplement provides information about the background and qualifications of John A. Staab (CRD# **2038015**) in addition to the information contained in the HKS Wealth Advisors, LLC (“HKS” or the “Advisor” - CRD #159910) Disclosure Brochure. If you have not received a copy of the Disclosure Brochure or if you have any questions about the contents of the HKS Disclosure Brochure or this Brochure Supplement, please contact us at (773) 230-7165 or by email at [john.staab@hksadvisors.com](mailto:john.staab@hksadvisors.com).

Additional information about Mr. Staab is available on the SEC’s Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

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Phone: (773) 230-7165  
<http://www.hksadvisors.com>**

## Item 2 – Educational Background and Business Experience

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The Founder of HKS is John A. Staab. Mr. Staab, born in 1967, is a dedicated Portfolio Manager for Client accounts of HKS.

Mr. Staab earned a Bachelor of Arts in Economics from University of Illinois in 1989.

Additional information regarding Mr. Staab's employment history is included below.

### Employment History:

Founder, HKS Wealth Advisors, LLC	2/2012 to Present
Senior Vice President, UBS Financial Services	11/2011 to 02/2012
Senior Vice President, Smith Barney	03/2008 to 11/2011
Vice President, Merrill Lynch	01/2000 to 03/2008

## Item 3 – Disciplinary Information

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*There are no legal, civil or disciplinary events to disclose regarding Mr. Staab.* Mr. Staab has never been involved in any regulatory, civil or criminal action. There have been no client complaints, lawsuits, arbitration claims or administrative proceedings against Mr. Staab.

Securities laws require an advisor to disclose any instances where the advisor or its advisory persons have been found liable in a legal, regulatory, civil or arbitration matter that alleges violation of securities and other statutes; fraud; false statements or omissions; theft, embezzlement or wrongful taking of property; bribery, forgery, counterfeiting, or extortion; and /or dishonest, unfair or unethical practices. *As previously noted, there are no legal, civil or disciplinary events to disclose regarding Mr. Staab.*

However, we do encourage you to independently view the background of Mr. Staab on the Investment Adviser Public Disclosure website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov). Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter 2038015 in the field labeled "Individual CRD Number".

## Item 4 – Other Business Activities

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Mr. Staab has additional business activities that are detailed in Item 10 - Other Financial Activities and Affiliations in Part 2A above.

## Item 5 – Additional Compensation

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Mr. Staab has additional business activities where compensation is received. These business activities are detailed in Item 10 - Other Financial Activities and Affiliations in Part 2A above.

## Item 6 – Supervision

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Mr. Staab serves as the Founder and Chief Compliance Officer of HKS. Mr. Staab can be reached at (773) 230-7165.

HKS has implemented a Code of Ethics and internal compliance that guide each employee in meeting their fiduciary obligations to Clients of HKS. Further, HKS is subject to regulatory oversight by various agencies. These agencies require registration by HKS and its employees. As a registered entity, HKS is subject to examinations by regulators, which may be announced or unannounced. HKS is required to periodically update the information provided to these agencies and to provide various reports regarding the business activities and assets of the Advisor.