

*This brochure supplement provides information about Douglas Castro that supplements the ADC Wealth Management, LLC brochure. You should have received a copy of that brochure. Please contact Douglas Castro, Managing Member if you did not receive ADC Wealth Management, LLC's brochure or if you have any questions about the contents of this supplement.*

**ADC Wealth Management, LLC**  
Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Douglas Castro**  
Personal CRD Number: 2263200  
Investment Adviser Representative

ADC Wealth Management, LLC  
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DouglasCastro@ADCWealthManagement.com

UPDATED: 5/1/2013

## **Item 2: Educational Background and Business Experience**

**Name:** Douglas Castro

**Born:** 1970

### **Education Background and Professional Designations:**

#### **Education:**

Douglas Castro has no formal education after high school.

#### **Business Background:**

09/2011 – Present

Managing Member  
ADC Wealth Management, LLC

09/1993 – 12/2011

Private Client Consultant/Registered Rep.  
Charles Schwab and Co.

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Douglas Castro is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, Douglas Castro does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through ADC Wealth Management, LLC.

## **Item 6: Supervision**

As the only owner and representative of ADC Wealth Management, LLC, Douglas Castro supervises all duties and activities of the firm. Douglas Castro's contact information is on the cover page of this disclosure document. Douglas Castro adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.