

Solaris Alternative Asset Management, LLC

## Brochure Supplement

This Brochure Supplemental is Part 2B of SEC Form ADV. It is Supplemental to Part 2A of Form ADV, the Firm Brochure

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## **THE BROCHURE SUPPLEMENT**

The attached Brochure Supplements provide clients with information about the individuals providing investment advisory services. A supplement is provided for any supervised person who formulates investment advice and has direct client contact and has discretionary authority over client assets, even without direct client contact.

Six separate disclosure items are required providing information about the supervised person's educational background, business experience, compensation and disciplinary information. They are outlined here:

- Item 1 – Cover Page
- Item 2 – Educational Background and Business Experience
- Item 3 – Disciplinary Information
- Item 4 – Other Business Activities
- Item 5 – Additional Compensation
- Item 6 – Supervision

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## **Item 1 Cover Page**

Christopher B. Mailman  
Solaris Alternative Asset Management, LLC  
598 Madison Avenue, New York, NY 10022-1632  
212-582-4500  
December 5, 2012

**This Brochure Supplement provides information about Christopher B. Mailman that supplements the Solaris Alternative Asset Management, LLC (“Solaris”) Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer, if you did not receive Solaris Alternative Asset Management, LLC’s Brochure or if you have any questions about the contents of this Supplement.**

### **Item 2 Educational Background and Business Experience**

|                      |                                                                                                 |
|----------------------|-------------------------------------------------------------------------------------------------|
| Name:                | Christopher B. Mailman                                                                          |
| Year of Birth:       | 1966                                                                                            |
| Formal Education:    | Duke University, BA 1987                                                                        |
| Business Background: | Millbrook Investment Management Company, LLC 2001-Present<br>Mariner Investment Group 1992-2000 |

### **Item 3 Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### **Item 4 Other Business Activities**

None

### **Item 5 Additional Compensation**

None

### **Item 6 Supervision**

Mr. Mailman is one of the principals of Solaris Alternative Asset Management and is its Co-Chief Investment Officer. He is a member of the Solaris Alternative Asset Management’s Investment and Management Committees. He actively participates in the management of the business. All of the members of the Management Committee have regular interaction and discussions of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

## Item 1 Cover Page

Peter C. Keogh  
Solaris Alternative Asset Management, LLC  
598 Madison Avenue, New York, NY 10022-1632  
212-582-4500  
December 5, 2012

**This Brochure Supplement provides information about Peter C. Keogh that supplements the Solaris Alternative Asset Management, LLC (“Solaris”) Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer, if you did not receive Solaris Alternative Asset Management, LLC’s Brochure or if you have any questions about the contents of this Supplement.**

### Item 2 Educational Background and Business Experience

Name: Peter C. Keogh, CFA  
Year of Birth: 1964  
Formal Education: Providence College, BA 1986  
Fordham University, MBA 1989  
Business Background: Millbrook Investment Management, LLC 2001 – Present  
Bankers Trust 2000 – 2001  
Abel Noser Corporation 1996 – 1999  
Sanwa Securities 1992 - 1995  
MBNA America 1990 - 1992  
BCA Securities 1986 - 1988

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)
- Educational Requirements: Candidates must pass 3 course exams after completing a self-study program that consists of 250 hours of study for each of the 3 levels.

### Item 3 Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

### Item 4 Other Business Activities

None

### Item 5 Additional Compensation

None

### Item 6 Supervision

Mr. Keogh is one of the principals of Solaris Alternative Asset Management and is its Co-Chief Investment Officer. He is a member of the Solaris Alternative Asset Management’s Investment and Management Committees. He actively participates in the management of the business. All of the members of the Management Committee have regular interaction and discussions of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

**Ralph D. Sinsheimer**  
**Solaris Alternative Asset Management, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
December 5, 2012

**This Brochure Supplement provides information about Ralph D. Sinsheimer that supplements the Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer if you did not receive Alternative Asset Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Ralph D. Sinsheimer  
Year of Birth: 1954  
Formal Education: Trinity College, BA 1977;  
New York University, MBA 1982  
Business Background: Founding Partner and Head of Solaris Advisors, 2003-Current  
Senior Vice President and Head of Wealth Management,  
Neuberger Berman Trust Companies, 2001-2003;  
Managing Director, OFFITBANK 1997-2001  
Partner, Eureka Capital Management 1995-1997  
Director of Funds, Loomis Sayles & Company 1985-1995

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Sinsheimer is a founding partner of Solaris Advisors and heads up the Wealth Management and Investment Consulting practice. He is one of the principals of Solaris Alternative Asset Management. He is a member of the Solaris Alternative Asset Management's Investment and Management Committees. He actively participates in the management of the business. All of the members of the Management Committee have regular interaction and discussions of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

**Albert C. Bellas**  
**Solaris Alternative Asset Management, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
December 5, 2012

**This Brochure Supplement provides information about Albert C. Bellas that supplements the Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer if you did not receive Alternative Asset Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Albert C. Bellas  
Year of Birth: 1942  
Formal Education: Yale University, BA 1964;  
University of Chicago, JD 1967;  
Columbia University, MBA 1968  
Business Background: Chairman and CEO, Neuberger Berman Trust  
Companies, 2001-2003;  
Managing Director, OFFITBANK, 1991-2000  
SEVP, Lehman Brothers, 1978-1990  
General Partner, Loeb Rhoades & Co. 1976-1978  
VP, Goldman Sachs & Co. 1972-1975  
Associate, Dillon Read & Co. 1968-1972

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

Mr. Bellas currently has an application pending to be a registered representative of Meeschaert Capital Markets, LLC. This possible arrangement is not material to our investment management business and does not present any conflict of interest to any client of Solaris Alternative Asset Management.

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Bellas is a founding partner of Solaris Advisors. He is one of the principals of Solaris Alternative Asset Management, and he is a member of the Solaris Alternative Asset Management's Management Committee. He actively participates in the management of the business. All of the members of the Management Committee have regular interaction and discussions of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

**Stephen Brent Wells**  
**Solaris Alternative Asset Management, LLC**  
598 Madison Avenue, New York, NY 10022  
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December 5, 2012

**This Brochure Supplement provides information about Stephen Brent Wells that supplements the Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Peter C. Keogh if you did not receive Alternative Asset Management's Brochure or if you have any questions about the contents of this Supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Stephen Brent Wells  
Year of Birth: 1944  
Formal Education: Princeton University, BA 1966;  
New York University School of Law, JD 1969  
Business Background: President, Neuberger Berman Trust Companies, 2001-2003;  
Managing Director, OFFITBANK, 1994-2001  
General Counsel, Gabelli Family of Companies 1993-1994  
General Counsel, Goldman Sachs Asset Management 1989-1993  
General Counsel, Morgan Stanley Asset Management 1980-1989  
VP and Fiduciary Counsel, Irving Trust Company 1977-1980  
Senior Associate, Cadwalader, Wickersham & Taft 1973-1977  
Associate, Davidson, Dawson & Clark 1969-1973

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Wells is a founding partner of Solaris Advisors. He is one of the principals of Solaris Alternative Asset Management, and he is a member of the Solaris Alternative Asset Management's Management Committee. He also serves as its Chief Compliance Officer. He actively participates in the management of the business. All of the members of the Management Committee have regular interaction and discussions of their activities, goals and objectives. Please contact Peter C. Keogh with any questions.

## Item 1 - Cover Page

**Timothy M. Ghiskey**  
**Solaris Alternative Asset Management, LLC**  
598 Madison Avenue, New York, NY 10022  
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December 5, 2012

**This Brochure Supplement provides information about Timothy M. Ghiskey that supplements the Solaris Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer if you did not receive Solaris Alternative Asset Management's Brochure or if you have any questions about the contents of this Supplement.**

## Item 2 - Educational Background and Business Experience

Name: Timothy M. Ghiskey, CFA, CIC

Year of Birth: 1955

Formal Education: Trinity College, BA 1977;  
University of Virginia, MBA 1985

Business Background: Founder, Ghiskey Capital Partners, 2001-2004;  
SVP and Senior Portfolio Manager, The Dreyfus Corporation, 1995-2001  
Associate Managing Partner, Loomis, Sayles & Company 1985-1995

Certified Financial Analyst (CFA) Candidates must meet one of the following requirements:

- Undergraduate degree and 4 years of professional experience involving investment decision-making, or
- 4 years qualified work experience (full time, but not necessarily investment related)
- Educational Requirements: Candidates must pass 3 course exams after completing a self-study program that consists of 250 hours of study for each of the 3 levels.

Chartered Investment Counselor (CIC) Candidates must meet all of the following requirements:

- Employed by a member firm of the Investment Counsel Association of America (ICAA) in an eligible occupational position for at least 1 year; (2) A minimum of 5 cumulative year's work experience in one or more eligible occupational positions; and (3) Complete the CFA exams and hold the CFA designation.

## Item 3 - Disciplinary Information

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

## Item 4 - Other Business Activities

None

## Item 5 - Additional Compensation

None

## Item 6 - Supervision

Mr. Ghiskey is a founding partner of Solaris Advisors. He is the Chief Investment Officer and Co-Portfolio Manager of Solaris Asset Management, an affiliate of Solaris Advisors. He is one of the principals of Solaris Alternative Asset Management and a member of the Solaris Alternative Asset Management's Management Committee. As part of the regular management of the business, the managing partners have regular interaction and discussion of their activities, goals and objectives. Please contact Stephen B. Wells, CCO with any questions.

**Item 1 - Cover Page**

**S. Hassan Safdar**  
**Solaris Alternative Asset Management, LLC**  
598 Madison Avenue, New York, NY 10022  
212-582-4500  
December 5, 2012

**This Brochure Supplement provides information about S. Hassan Safdar that supplements the Solaris Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer if you did not receive Alternative Solaris Asset Management's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Hassan Safdar  
Year of Birth: 1979  
Formal Education: Columbia University, BA Economics – Operations Research 2003  
Business Background: DiMaio Ahmad Capital, 2005 – 2011  
Credit Suisse Asset Management, 2003 – 2005

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Safdar is a Managing Director and Portfolio Manager at Solaris Alternative Asset Management and is supervised by Christopher B. Mailman and Peter C. Keogh, Co-Chief Investment Officers of Solaris Alternative Asset Management, LLC.

**Rajeshree Varangaonkar**  
**Solaris Alternative Asset Management, LLC**  
598 Madison Avenue, New York, NY 10022  
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December 5, 2012

**This Brochure Supplement provides information about Rajeshree Varangaonkar that supplements the Solaris Alternative Asset Management's Brochure. You should have received a copy of that Brochure. Please contact Stephen B. Wells, Chief Compliance Officer if you did not receive Alternative Solaris Asset Management's Brochure or if you have any questions about the contents of this supplement.**

**Item 2 - Educational Background and Business Experience**

Name: Rajeshree Varangaonkar  
Year of Birth: 1978  
Formal Education: University of Mumbai, India - BS Control Engineering, 1999  
FHT Esslingen, Germany, MS – Automation Systems & Information Technology, 2001  
University of Maryland, MS – Systems Engineering, 2004  
Business Background: HNC Capital, 2009 – 2011  
Access Global Partners, 2008 – 2009  
Mosaic Capital Advisors, 2006 – 2008  
Millbrook Investment Management Company, 2004 – 2006

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Ms. Varangaonkar is a Quantitative Analyst at Solaris Alternative Asset Management and is supervised by Christopher B. Mailman and Peter C. Keogh, Co-Chief Investment Officers of Solaris Alternative Asset Management, LLC.

**Item 1 - Cover Page**

**Christopher J. Schumacher**  
**Solaris Alternative Asset Management, LLC**  
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**Item 2 - Educational Background and Business Experience**

|                      |                                                                                           |
|----------------------|-------------------------------------------------------------------------------------------|
| Name:                | Christopher J. Schumacher                                                                 |
| Year of Birth:       | 1976                                                                                      |
| Formal Education:    | Gettysburg College, BA Management 1999                                                    |
| Business Background: | Papamarkou Wellner Asset Management, 2003 – 2012<br>Cambridge Associates LLC, 1999 – 2003 |

**Item 3 - Disciplinary Information**

Registered investment advisers are required to disclose all material facts regarding any legal or disciplinary events that would be material to your evaluation of each supervised person providing investment advice. No information is applicable to this Item.

**Item 4 - Other Business Activities**

None

**Item 5 - Additional Compensation**

None

**Item 6 - Supervision**

Mr. Schumacher is a Director of Business Development at Solaris Alternative Asset Management and is supervised by Christopher B. Mailman and Peter C. Keogh, Co-Chief Investment Officers of Solaris Alternative Asset Management, LLC.