

This brochure supplement provides information about Alison A. Peck that supplements the Peck Wealth Management brochure. You should have received a copy of that brochure. Please contact Alison A. Peck, Principal if you did not receive Peck Wealth Management's brochure or if you have any questions about the contents of this supplement.

Peck Wealth Management

Form ADV Part 2B – Individual Disclosure Brochure

for

Alison A. Peck

Personal CRD Number: 1058026

Investment Adviser Representative

Peck Wealth Management
424 Madison Avenue
New York, NY, 10017
212.808.9143
apec@peckwealth.com

Item 2: Educational Background and Business Experience

Name: Alison A. Peck
Born: 1955

Education Background and Professional Designations:

Education:

BBA Finance, University of Memphis – 1977
Graduate Business, University of Texas

Designations:

CFA – Chartered Financial Analyst

CFA MINIMUM QUALIFICATIONS:

- Bachelor's degree (or equivalent, as assessed by CFA institute)
- Must pass three six-hour exams
- Must have 48 months of qualified, professional work experience.
- CFA charter holders are also obligated to follow the CFA's Code of Ethics and Standards governing professional conduct.

Business Background:

2011 – Present	Principal Peck Wealth Management
2008 – 2011	Portfolio Manager/Investment Advisor Dock Street Asset Management
2005 – 2008	Portfolio Manager JPMorgan Private Bank
2005 – 2005	Portfolio Manager Goldman Sachs Trust Co.
2002 – 2005	Portfolio Manager HSBC Bank
1998 – 2002	Portfolio Manager US Trust Company

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Alison A. Peck is not engaged in any investment-related business or occupation (other than this advisory firm).

Item 5: Additional Compensation

Other than salary, annual bonuses, regular bonuses, Alison A. Peck does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Peck Wealth Management.

Item 6: Supervision

As the only owner and representative of Peck Wealth Management, Alison A. Peck supervises all duties and activities of the firm. Alison A. Peck's contact information is on the cover page of this disclosure document.

Item 7: Requirements For State Registered Advisers

This disclosure is required by New York securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Alison A. Peck has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

B. Alison A. Peck has **NOT** been the subject of a bankruptcy petition at any time.