

## Anthony William Hosfeld

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CRD #2834666

## Sage Capital Holdings, LLC

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March 20, 2013

This brochure supplement provides information about Anthony William Hosfeld that supplements the Sage Capital Holdings, LLC ("Sage") brochure. You should have received a copy of that brochure. Please contact Thomas M. Johnson, III at (404) 262-9283 if you did not receive the Sage brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Hosfeld is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 - Educational Background and Business Experience

Anthony William Hosfeld, b. 1951

### Educational Background

Wichita State University - Undergraduate credits

### Business Experience

<b>Firm</b>	<b>Position</b>	<b>Dates</b>
Advocacy Wealth Mgt Svs, LLC	Investment Advisor	6/2011-Present
Sage Capital Holdings, LLC	Investment Advisor	3/2011-Present
CertusSecurities, Inc.	Financial Advisor	3/2010-Present
First Legacy Securities, LLC	Financial Advisor	11/2007-6/2010
First Tennessee	Investment Officer	12/2005-11/2007

## Item 3 - Disciplinary Information

Investment Advisor Representatives are required to disclose all material facts regarding any legal and disciplinary events would be material to your evaluation of the representative. Mr. Hosfeld has no information applicable to this Item.

## Item 4 - Other Business Activities

Mr. Hosfeld is a Registered Representative of CertusSecurities, Inc., a registered broker-dealer and member of FINRA. In his capacity as a registered representative he may be compensated through

commissions based on the sale of securities, investment products, and trails received from the sale of mutual funds.

This may pose a conflict of interest to the extent that he has a financial incentive to recommend securities and other investments that may result in commissions, brokerage fees, 12b-1 fees or other payments. However, Mr. Hosfeld is constrained by fiduciary principles to act in your best interest.

Mr. Hosfeld is also a managing partner at Advocacy Wealth Management, a Registered Investment Adviser. His duties include advising, planning and financial services and he is compensated through revenue sharing and expenses.

### **Item 5 - Additional Compensation**

Mr. Hosfeld does not receive compensation for advisory services other than fees paid by the client.

### **Item 6 - Supervision**

Mr. Hosfeld is supervised by Thomas M. Johnson, III, Chief Compliance Officer. Mr. Johnson can be reached at (404) 262-9283.

Mr. Hosfeld is required to adhere to Sage's processes and procedures as described in our Code of Ethics. We will monitor the advice that Mr. Hosfeld gives you by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, your account is reviewed at least quarterly by your Investment Advisor to ensure that account activity is in line with your stated objectives. You are encouraged to discuss your needs, goals, and objectives with your Advisor and to keep him informed of any changes to your financial situation.

### **Item 7 - Requirements for State-Registered Advisers**

Investment Advisor Representatives are required to disclose all material facts regarding certain arbitration awards, disciplinary proceedings and bankruptcy petitions. Mr. Hosfeld has no information applicable to this Item.

Thomas M. Johnson, III, CFP®

CRD #811554

Sage Capital Holdings, LLC

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This brochure supplement provides information about Thomas M. Johnson, III that supplements the Sage Capital Holdings, LLC ("Sage") brochure. You should have received a copy of that brochure. Please contact Thomas M. Johnson, III at (404) 262-9283 if you did not receive the Sage brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Johnson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2 - Educational Background and Business Experience

Thomas M. Johnson, III, b. 1952

### Educational Background

Dartmouth College - Bachelor of Arts

Terry College of Business - CFP®

The CERTIFIED FINANCIAL PLANNER™ (CFP®) designation is issued by the Certified Financial Planner Board of Standards, Inc. Candidates are required to complete a CFP-board registered program. They must also pass the CFP Certification Examination, which is administered in 10 hours over a two-day period. The exam includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances. Certified individuals are required to complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct. As a prerequisite to sitting for the CFP Certification Examination, candidates must hold a bachelor's degree (or higher) from an accredited college or university and have at least 3 years of full time personal financial planning experience.

### Business Experience

Firm	Position	Dates
Advocacy Wealth Mgt Svs, LLC	CCO	6/2011-Present
Sage Capital Holdings, LLC	CCO	3/2011-Present
CertusSecurities, Inc.	CEO, CCO	3/2010-Present
Curtiswood Capital, LLC	Branch Manager	1/2010-8/2012
First Legacy Securities, LLC	Branch Manager	6/2009-4/2010
Reliance Securities, LLC	Registered Representative	5/2009-6/2009
Access Securities, Inc.	Registered Representative	4/1999-6/2009

### **Item 3 - Disciplinary Information**

Investment Advisor Representatives are required to disclose all material facts regarding any legal and disciplinary events would be material to your evaluation of the representative. Mr. Johnson has no information applicable to this Item.

### **Item 4 - Other Business Activities**

Mr. Johnson is the Chief Executive Officer, Chief Compliance Officer and Registered Representative of CertusSecurities, Inc. He is also licensed as a general insurance agent with CertusSecurities, Inc. In his capacity as a registered representative or insurance agent he may be compensated through commissions based on the sale of securities, investment and insurance products, and trails received from the sale of mutual funds. Approximately 75% of his time is spent on these non-advisory activities.

This may pose a conflict of interest to the extent that he has a financial incentive to recommend securities and other investments that may result in commissions, brokerage fees, 12b-1 fees or other payments. However, Mr. Johnson is constrained by fiduciary principles to act in your best interest. In addition, you are under no obligation to purchase any recommended insurance products.

Mr. Johnson is also the Managing Partner and majority owner of Southern Trading Partners & Consultants, LLC, a non-proprietary trading desk. Mr. Johnson is the Vice-Chairman and Chairs the Finance Committee on the Board of Directors at The Elaine Clark Center, Inc. He is also a Trustee of Dartmouth Club of Georgia. He does not receive any compensation for either of these positions. Mr. Johnson is a Director of Fifth Market, Inc; a Director of Sensor Tech, Inc., a development stage technology company; and General Partner of New Science, LLC and Johnson Wayne, LLC, both family investment partnerships. He spends approximately 5% of his time on the aforementioned activities.

Mr. Johnson is the sole owner of Johnson Lane™ Advisors LLC, a financial planning practice operating as a Registered Investment Adviser Associate for Certus Investment Advisors LLC, Registered Investment Adviser. He spends approximately 20% of his time in advising clients.

### **Item 5 - Additional Compensation**

Mr. Johnson does not receive compensation for advisory services other than fees paid by the client.

### **Item 6 - Supervision**

Thomas M. Johnson, III, Chief Compliance Officer, is responsible for the supervision of all advisory accounts. Mr. Johnson can be reached at (404) 262-9283. Mr. Johnson is required to adhere to Sage's processes and procedures as described in our Code of Ethics. He will review your account at least quarterly to ensure that account activity is in line with your stated objectives. You are encouraged to discuss your needs, goals, and objectives with your Advisor and to keep him informed of any changes to your financial situation.

### **Item 7 - Requirements for State-Registered Advisers**

Investment Advisor Representatives are required to disclose all material facts regarding certain arbitration awards, disciplinary proceedings and bankruptcy petitions. Mr. Johnson has no information applicable to this Item.

Victor P. Wilkerson, CFP®, ChFC®, CLU®, CMFC®  
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CRD #2854435

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**This brochure supplement provides information about Victor P. Wilkerson that supplements the Sage Capital Holdings, LLC ("Sage") brochure. You should have received a copy of that brochure. Please contact Thomas M. Johnson, III at (404) 262-9283 if you did not receive the Sage brochure or if you have any questions about the contents of this supplement.**

**Additional information about Mr. Wilkerson is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2 - Educational Background and Business Experience**

Victor P. Wilkerson, b. 1970

### Educational Background

University of Georgia - BS, Education  
CERTIFIED FINANCIAL PLANNER™ (CFP®)  
Chartered Financial Consultant® (ChFC®)  
Chartered Life Underwriter® (CLU®)  
Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC®)

The CERTIFIED FINANCIAL PLANNER™ (CFP®) designation is issued by the Certified Financial Planner Board of Standards, Inc. Candidates are required to complete a CFP-board registered program. They must also pass the CFP Certification Examination, which is administered in 10 hours over a two-day period. The exam includes case studies and client scenarios designed to test one's ability to correctly diagnose financial planning issues and apply one's knowledge of financial planning to real world circumstances. Certified individuals are required to complete 30 hours of continuing education every two years, including two hours on the Code of Ethics and other parts of the Standards of Professional Conduct. As a prerequisite to sitting for the CFP Certification Examination, candidates must hold a bachelor's degree (or higher) from an accredited college or university and have at least 3 years of full time personal financial planning experience.

The Chartered Financial Consultant® (ChFC®) designation is issued by The American College. Prerequisite experience includes 3 years of full time business experience within the 5 years preceding the award of the designation. Candidates are required to complete 7 core and 2 elective courses and pass a final proctored exam for each course. Certified individuals abide by a Code of Ethics and complete 30 hours of continuing education every 2 years.

The Chartered Life Underwriter® (CLU®) designation is issued by The American College to those who specialize in life insurance and estate planning. In order to qualify, individuals must complete 5 core courses and 3 elective courses; each course requires passing a 2-hour exam. Prerequisite experience includes 3 years of full time business experience within the 5 years preceding the award of the designation. Certified individuals abide by a Code of Ethics and complete 30 hours of continuing education every 2 years.

The Chartered Mutual Fund Counselor<sup>SM</sup> (CMFC®) designation is issued by the College for Financial Planning for students who successfully complete the program, pass the final examination and comply with the Code of Ethics. The program concentrates on mutual funds and their various uses as investment vehicles. Certified individuals are required to complete 16 hours of continuing education every two years.

#### Business Experience

<b>Firm</b>	<b>Position</b>	<b>Dates</b>
Advocacy Wealth Mgt Svs, LLC	Investment Advisor	6/2011-Present
Sage Capital Holdings, LLC	Investment Advisor	3/2011-Present
CertusSecurities, Inc.	Registered Representative	3/2010-Present
Reliance Trust Company	Senior Financial Planner	12/2009-Present
Reliance Securities, LLC	Financial Advisor	11/2007-Present
First Tennessee Brokerage	Financial Planner	12/2003-11/2007

### **Item 3 - Disciplinary Information**

Investment Advisor Representatives are required to disclose all material facts regarding any legal and disciplinary events would be material to your evaluation of the representative. Mr. Wilkerson has no information applicable to this Item.

### **Item 4 - Other Business Activities**

Mr. Wilkerson is a Registered Representative of CertusSecurities, Inc., a registered broker-dealer and member of FINRA. He is also licensed as a general insurance agent with Sage Capital Holdings, LLC. In his capacity as a registered representative or insurance agent he may be compensated through commissions based on the sale of securities, investment and insurance products, and trails received from the sale of mutual funds. Approximately 10% of his income is derived from these non-advisory activities.

This may pose a conflict of interest to the extent that he has a financial incentive to recommend securities and other investments that may result in commissions, brokerage fees, 12b-1 fees or other payments. However, Mr. Wilkerson is constrained by fiduciary principles to act in your best interest. In addition, you are under no obligation to purchase any recommended insurance products.

Mr. Wilkerson is also the Chief Financial Officer and a Managing Partner of Advocacy Wealth Management, and is involved with the management and marketing of this Registered Investment

Adviser. In this role he offers advisor services, financial planning and banking and is compensated through revenue sharing and expenses. He spends approximately 90% of his time in these capacities.

### **Item 5 - Additional Compensation**

Mr. Wilkerson does not receive compensation for advisory services other than fees paid by the client.

### **Item 6 - Supervision**

Mr. Wilkerson is supervised by Thomas M. Johnson, III, Chief Compliance Officer. Mr. Johnson can be reached at (404) 262-9283.

Mr. Wilkerson is required to adhere to Sage's processes and procedures as described in our Code of Ethics. We will monitor the advice that Mr. Wilkerson gives you by reviewing the relevant account opening documentation when the relationship is established and reviewing client correspondence periodically. In addition, your account is reviewed at least quarterly by your Investment Advisor to ensure that account activity is in line with your stated objectives. You are encouraged to discuss your needs, goals, and objectives with your Advisor and to keep him informed of any changes to your financial situation.

### **Item 7 - Requirements for State-Registered Advisers**

Investment Advisor Representatives are required to disclose all material facts regarding certain arbitration awards, disciplinary proceedings and bankruptcy petitions. Mr. Wilkerson has no information applicable to this Item.