

# **Form ADV Part 2B**

**Claire E. Soja**  
***Detwiler Fenton Investment Management, LLC***  
**100 High Street, Ste. 2800**  
**Boston MA 02110**  
**617-747-0100**

**December 31, 2012**

**This Brochure Supplement provides information about Claire E Soja and is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Educational Background and Business Experience**

Claire E. Soja (1968)  
BA Germanic Languages and Literature, Boston University

Claire E. Soja is a Portfolio Manager and Member of the Board of Directors for Detwiler Fenton Investment Management LLC, an affiliate of Detwiler Wealth Management, Inc. Ms. Soja has over 40 years of experience in the financial service industry. Prior to joining Detwiler Fenton, Ms. Soja served as Senior Portfolio Manager and Vice President at Brown Brothers Harriman & Co. Ms. Soja earned a Bachelor of Arts from Boston University in 1968. She currently maintains a Series 7, 63, 65, and 66.

## **Business Background:**

*Detwiler Fenton Investment Management, LLC*: Managing Director and Member of the Board of Directors, 07/2010 – Present.

*Detwiler Fenton Wealth Management, Inc.*: Managing Director, 12/2011 to Present.

*Detwiler Fenton & Co.*: Managing Director, 06/13/2005- 12/2011.

*Brown Brothers Harriman & Co., Boston (104487)*: Vice President, 01/14/1974 - 05/17/2005

*Kidder Peabody*: NYSE Registered Representative, 1971-1973.

## **Disciplinary Information**

Ms. Soja has one disclosure event which occurred in 2003. She took over a non-discretionary account for a terminated representative. Ms. Soja recommended portfolio changes to a client who said to call her financial advisor/accountant for his opinion and to proceed pending his approval. The accountant did not have power of attorney over her account. Sell trades were placed after a discussion with the accountant, who preferred to hold off on the buy recommendations. In the weeks that followed, the market rose and the liquidated positions went up in value. The client then said that she did not approve the trades. The amount in dispute was less than \$35,000. Ms. Soja's firm at the time, Brown Brothers Harriman & Co., believed it was a misunderstanding and chose to settle the claim. The shares that were sold were repurchased, at no cost to the client, to

make her whole. Brown Brothers Harriman & Co. did not hold Ms. Soja responsible for the incident and did not ask her to contribute to the settlement.

**Other Business Activities**

Claire E Soja is securities licensed through Detwiler Fenton Wealth Management, Inc., an affiliated broker dealer.

**Additional Compensation**

In addition to advisory fees, Claire Soja may receive concessions and commissions as described in Item 5 of Form ADV Part 2A.

**Supervision**

Supervision of Claire Soja is currently performed by senior members of the Compliance and Operations departments. These individuals are responsible for the review and approval of trades within your account and will meet with Ms. Soja at least once a year to review the performance of your account and determine whether any changes are necessary to your stated investment objectives. Should you have any questions or concerns you may contact the Compliance Officer, Steve Abbiuso, at (617) 747-0102 or via email at [sabbiuso@dmcos.com](mailto:sabbiuso@dmcos.com).

**Requirements for State-Registered Advisers**

Not applicable.