

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
June 2013**

**JAKE GREENBERG, CFP®**

Financial Advisors Network, Inc.  
d.b.a.  
Financial Advisors Network

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Firm Contact:  
Rod Hundley Kamps, Chief Compliance Officer

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This brochure supplement provides information about Jacob Greenberg that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Kamps, President and Chief Compliance Officer, if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Jake Greenberg is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## Item 2: Educational Background & Business Experience

**Jacob Aaron Greenberg**

**Date of Birth:** 07/29/1989

### **Educational Background:**

- 2011 – B.A. Business Administration – Concentration in Finance (Investments & Financial Planning); California State University, Fullerton

### **Business Background:**

- 05/2011 – Present; Financial Advisors Network, Inc.; Financial Advisor
- 05/2011 – Present; LPL Financial; Registered Representative
- 03/2007 – 05/2011; Pacific Columns, Inc.; Sales Consultant
- 02/2006 – 04/2007; Compliance Dealer Services; Alarm Technician

### **Exams, Licenses & Other Professional Designations:**

- 05/2013 – CERTIFIED FINANCIAL PLANNER™ (CFP®)
- 06/2011 – Series 7
- 07/2011 – Series 66
- Life, Accident & Health Insurance (License #0H45581)

### **CFP® - CERTIFIED FINANCIAL PLANNER™:**

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP marks are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. ("CFP Board").

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its high standard of professional education, stringent code of conduct and standards of practice and ethical requirements that govern professional engagements with clients.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements: Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board's studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor's Degree from a regionally accredited United States college or university (or its equivalent from a foreign university, pass the comprehensive CFP® Certification Examination, Complete at least three years of full-time financial planning-related experience and agree to be bound by CFP Board's *Standards of Professional Conduct*.

Individuals who become certified must complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial industry and renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

### Item 3: Disciplinary Information

There are no legal or disciplinary events material to your evaluation of Mr. Greenberg.

### Item 4: Other Business Activities

A. If Mr. Greenberg is actively engaged in any investment-related business or occupation, including if Mr. Greenberg is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Mr. Greenberg's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

Representatives of our firm are insurance agents/brokers. They may offer insurance products and receive normal and customary fees as a result of insurance sales. A conflict of interest may arise as these insurance sales may create an incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client.

2. If Mr. Greenberg receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Mr. Greenberg receives. We must explain that this practice gives Mr. Greenberg an incentive to recommend investment products based on the compensation received, rather than on your needs.

Mr. Greenberg is a registered representative of LPL Financial, member FINRA/SIPC. He may offer securities and receive normal and customary commissions as a result of securities transactions. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation Mr. Greenberg may earn and may not necessarily be in the best interests of the client.

- B. If Mr. Greenberg is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Mr. Greenberg's income or involve a substantial amount of Mr. Greenberg's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Mr. Greenberg's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

#### **Item 5: Additional Compensation**

We have nothing to disclose in this regard.

#### **Item 6: Supervision**

Rod Kamps, Chief Compliance Officer, supervises and monitors Mr. Greenberg's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Kamps if you have any questions about Mr. Greenberg's brochure supplement at (866) 526-7726.