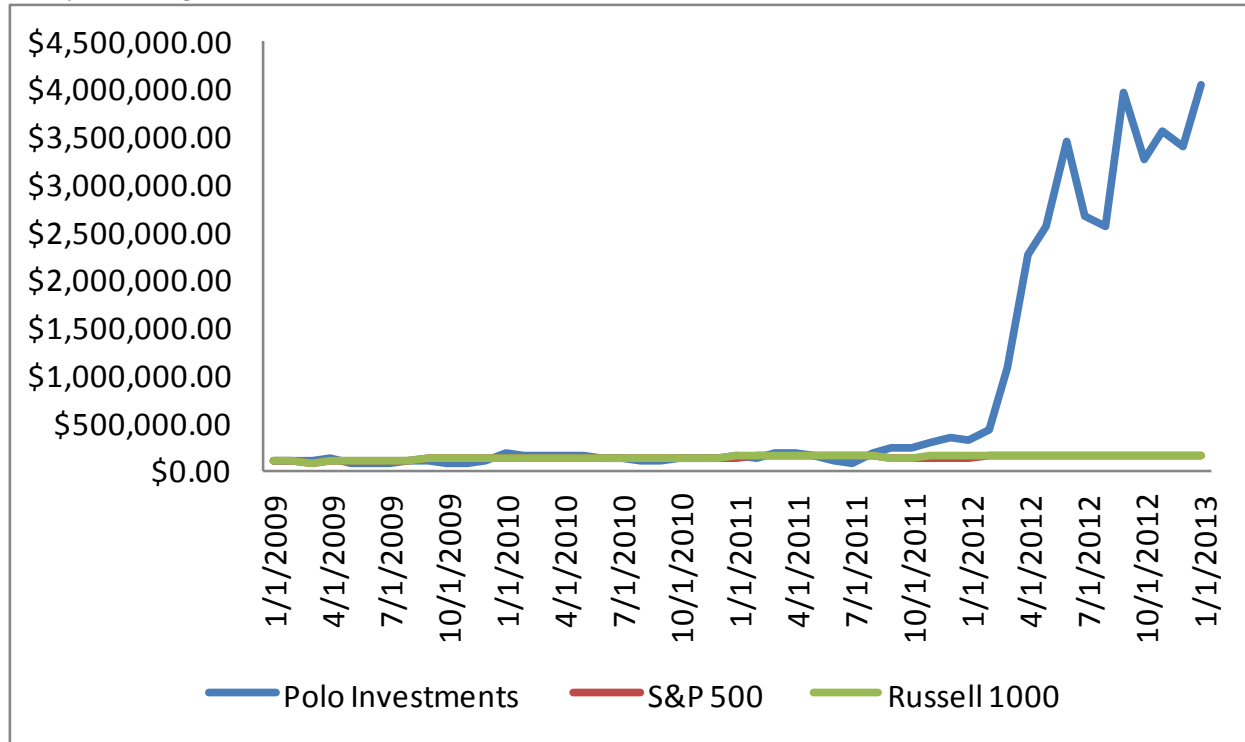


## POLO INVESTMENTS

### PERFORMANCE – GROWTH OF \$100,000

January 2009 through December 2012



Year	Jan	Feb	Mar	Apr	May	Jun	Jul	Aug	Sep	Oct	Nov	Dec	YTD	S&P 500	R1000V
2012	35.31%	156.12%	113.17%	13.64%	33.85%	-22.85%	-3.51%	54.74%	-17.44%	8.93%	-4.53%	19.08%	1223.41%	13.41%	12.03%
2011	-2.79%	40.22%	-11.65%	-11.89%	-33.77%	-15.00%	115.87%	30.23%	0.08%	21.47%	17.32%	-8.20%	119.87%	0.00%	-0.56%
2010	-17.39%	-3.42%	0.79%	-4.06%	-5.24%	-16.32%	-4.13%	2.83%	14.06%	3.33%	4.84%	2.40%	-23.69%	12.78%	13.93%
2009	6.68%	-5.86%	15.79%	-46.86%	4.42%	8.93%	47.93%	-5.74%	-15.16%	-6.10%	9.90%	114.68%	84.24%	23.45%	27.40%

### Example of 2012 Returns

Initial account balance ----- \$100,000

Plus 2011 returns ----- \$1,223,410

Minus management fee ----- (\$587,237)

**Year-end balance ----- \$736,173**

## About Us

In the wake of the perfect storm that devastated the stock market, Polo Investments responds to the urgent need of our times: to help investors better manage risk and add growth to their portfolios, even in declining markets. We are passionate about this mission. As an SEC Registered Investment Advisory firm, we deliver leading edge investing technologies with our flagship service in options trading.

Stock Options trading is a rapidly emerging segment of the markets due to efficiencies in managing downside risk while capitalizing on technically advanced opportunities in rapidly changing markets. Our unique and innovative investment management service, allows both individual investors and Registered Investment Advisory firms to protect and build stable portfolios utilizing our expertise. Our qualifications stem from the completion of an immersive PHD investor education program with Investools Education Group. Investools is a subsidiary of TD Ameritrade's Thinkorswim Group and a leading investor education company. Through this comprehensive education program a broad range of investment strategies were mastered including stocks, complex options, currencies, in-depth fundamental and technical analyses, risk management, and high probability trading. Curriculum was delivered through mentoring by the best personal investment trainers in the industry, consisting of interactive online virtual classes, one-to-one coaching sessions, and live multi-day workshops.

The past several years have provided a rare opportunity to test and develop our proprietary strategies in some of the most challenging market conditions in history. The result is a versatile, high performance options trading system. Our proprietary software tightly controls risk/reward ratios through sophisticated mathematical formulas, dramatically increasing the probability of winning trades.

Our investment account management service utilizes Thinkorswim from TD Ameritrade's award winning computerized trading platform which accommodates highly efficient execution of multiple complex option trades across multiple brokerage accounts. Trades are formulated and executed with careful consideration applied to each client's account to ensure proper asset allocation and risk management protocols. This provides minimum risk with maximum potential reward for each trade. Our clients appreciate the complete control and visibility they maintain over all assets held in their account. Total freedom to liquidate assets, or deposit and withdraw funds is at the discretion of the client without restriction, penalty, or encumbrance. Clients can monitor and access their account from any computer with an internet connection, or on the go with mobile software. Brokerage accounts eligible for this service are available exclusively through TD Ameritrade.



## **SEC Registered Investment Advisor**

### **Roland Gibson – Chief Executive Officer**

Roland Gibson is a native of Jacksonville, Florida, USA where he attended Catholic school. In 1974, he received a congressional appointment to the US Naval Academy which instilled in him strong military values of discipline, honesty, integrity, loyalty and trust. Later, Roland entered the corporate world by accepting a position with the IBM Corporation after achieving the highest score in the State of Florida on the IBM employment exam. Roland worked as a computer field technician for 10 years moving from Jacksonville, Florida to Miami, Florida to Atlanta, Georgia. He was promoted to management and devoted 20 years to managing and developing high performing technical hardware and software teams across the country, while achieving extraordinary financial results. Throughout his experience with IBM, Roland demonstrated his character through positive interactions with business clients, team members, and executives around the world. His genuine spirit of caring for people won the trust of the workforce, keeping morale high and obligations met. After 30 years in the technology industry, Roland retired from IBM in 2007.

By utilizing his vast computer technology skills and his extensive background in mathematics, Roland expanded his career by completing the PHD program with Investools Investor Education and is now an SEC Registered Investment Advisor. Roland peeled back the onion on a wide range of trading strategies to expose their advantages and disadvantages. He mastered a sophisticated strategy that provides outstanding asset growth.

Roland created Polo Investments in 2007, during the beginning of the global financial crisis. He used this extremely volatile time to fine-tune his stock options trading strategy, so that it would withstand any turbulent times without losing asset value. Roland has a strict money management system which uses only 1% of Net Liquidating Value on any single trade. Risk is also controlled by defining the maximum possible loss for any trade while allowing for infinite gain, using positions capable of 200% reward. Roland's master trading plan is executed without exceptions. This winning system works whether the market is going up, down or sideways since it is not market-correlated, or tied to any market index. Roland is an options trader with a strong sense of commitment and discipline and stands ready to provide the successful, income producing trading strategy his company now offers.

Roland and his wife have three children and one grandchild. He is an active member of the Roman Catholic Diocese of Venice, Florida and the Knights of Columbus. Roland is passionate about helping people achieve and maintain financial freedom. He enjoys relaxing with his family, traveling, fishing and gardening.

## **Polo Investments Trading Plan**

### Risk Controls

- Market sentiment is determined prior to trade entries.
- Trades are submitted in the direction of market sentiment (up or down).
- Risk is defined by a fixed investment amount per-trade, including commissions.
- Options are purchased to open a trade and sold to close the trade, thus eliminating stock assignments, which would increase risk.

### Money Management

- No more than 1% of Net Liquidating Value is used on any single trade.
- Never actively trade more than 70% of total account value.
- A software program is utilized to ensure those two rules are strictly followed.

### Asset Growth

- A web-based stock screener is used to find potential trading opportunities.
- The Thinkorswim from TD Ameritrade platform is used for all trades.
- TD Ameritrade is the custodian (broker) for all client assets.
- Trading opportunities are based on advanced technical analysis of stock charts.
- The underlying stock must be trending in the same direction as the overall market (both 6 month and 1 year trends).
- The option price must provide a possible risk vs. reward ratio of at least 1 to 2 (200%).
- Only limit orders are used when placing trades, thereby controlling price and risk.
- Closing orders are always active so that when the sell price is achieved the trade is automatically closed, locking in profits.
- Commissions are always paid to open a trade but only paid to close if profit target is achieved.

We offer a unique and innovative performance based investment management fee structure. We view each of our clients as valued business partners. We both play an important role in a profitable joint venture. We believe in treating our investing partners with fairness and integrity. Our fee structure is simple. We make your money grow. We share the profit.

- Client retains first 2% of quarterly profit
- Polo Investments shares equally with Client any quarterly profit in excess of 2%

*Quarterly profit share calculation is based on gross profits calculated from the account net liquidating asset value at each calendar quarter-end.*

For more information about opening an account please contact us by telephone (941-685-1204) or email ([poloinvestments@verizon.net](mailto:poloinvestments@verizon.net)).

Minimum Investment Account Value = \$150,000