

Brian M. Beitner

Chautauqua Capital Management, LLC

921 Walnut Street, Suite 250

Boulder, CO 80302

(303) 541-1545

This brochure provides information about Brian Beitner that supplements the Chautauqua Capital Management, LLC brochure. You should have received a copy of that brochure. Please contact John Lynch, Chief Operating Officer at the above address if you did not receive the Chautauqua Capital Management, LLC brochure or if you have any questions about the contents of this supplement.

November 7, 2013

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## Educational Background & Business Experience

### Name, Year of Birth

Brian Beitner, 1957

### Formal Education

B.S. in Public Administration and an M.B.A. from the University of Southern California, Los Angeles.

### Business Background

Chief Executive Officer and Chief Investment Officer, Chautauqua Capital Management, LLC  
2009-Present;

Senior Equity Strategist, Director of Equity Research and Lead Portfolio Manager, Trust  
Company of the West, 1998-2008;

Portfolio Manager, Scudder Kemper Investments, 1989-1998;

Sales, Donaldson, Lufkin and Jenrette, 1988-1989;

Trader and Sales, Bear Stearns & Co., 1983-1988;

Analyst, Portfolio Manager, Security Pacific Bank, 1980-1983.

### Description of Professional Designations

Holds the Chartered Financial Analyst® (CFA®) designation

## Disciplinary Information

### Legal or Disciplinary Events

None

### Criminal or Civil Action

None

### Administrative Proceeding

None

### Self-Regulatory Organization Proceeding

None

### Other Proceeding

None

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Other Business Activities

Investment-related Activities

None

Other Business or Occupation for Compensation

None

Additional Compensation

None

Supervision

Chautauqua Capital Management, LLC (CCM) has extensive policies and procedures, software systems, and other controls that seek to ensure that its portfolio managers manage client accounts in accordance with client investment guidelines, contractual obligations, and applicable laws and regulations. Every employee certifies in writing to his/her understanding of relevant compliance procedures, and CCM monitors compliance with procedures and conducts periodic review and testing of procedures. In addition, the CCM Investment Product Review Committee meets at least quarterly to ensure the investment process is in compliance with CCM's Portfolio Management Policies and Procedures.

Every employee is required to know and comply with CCM's policies and procedures. Every employee (including Brian Beitner) is subject to supervision by the Chief Compliance Officer, Richard Huber. At the same time every employee (including Richard Huber) is subject to the supervision of Managing Partner, Brian Beitner.

John F. Lynch

Chautauqua Capital Management, LLC

921 Walnut Street, Suite 250

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## Educational Background & Business Experience

### Name, Year of Birth

John Lynch, 1967

### Formal Education

B.A. in Geology from Denison University, and an M.B.A. from the University of Denver.

### Business Background

Chief Operating Officer, Chautauqua Capital Management, LLC 2009-Present;  
Senior Vice President/Institutional Portfolio Manager, Franklin Templeton Investments, 2004-2009;

Vice President/ Investment Strategist, Merrill Lynch, 1999-2004;

Principal, Investment Strategist, BGI, 1996-1999

Vice President, Scudder, Stevens & Clark, 1989-1996

### Description of Professional Designations

Holds the Chartered Financial Analyst® (CFA®) designation

## Disciplinary Information

### Legal or Disciplinary Events

None

### Criminal or Civil Action

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### Administrative Proceeding

None

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None

### Other Proceeding

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Investment-related Activities

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Richard Huber

Chautauqua Capital Management, LLC

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## Educational Background & Business Experience

### Name, Year of Birth

Rich Huber, 1969

### Formal Education

B.S. in Management Information Systems from Ambassador University and an M.B.A. from Regis University in Denver.

### Business Background

Chief Compliance Officer, Chautauqua Capital Management, LLC, 2013-Present;  
Consultant, Chautauqua Capital Management, LLC, 2009-2012  
Senior systems and operations executive, Trust Company of the West, 1997-2008.

### Description of Professional Designations

None.

## Disciplinary Information

### Legal or Disciplinary Events

None

### Criminal or Civil Action

None

### Administrative Proceeding

None

### Self-Regulatory Organization Proceeding

None

### Other Proceeding

None

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Other Business Activities

Investment-related Activities

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Other Business or Occupation for Compensation

None

Additional Compensation

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