

This brochure supplement provides information about Sean Aloysius Bergin that supplements the Bergin, Roberts & Co. LLC brochure. You should have received a copy of that brochure. Please contact Sean Aloysius Bergin if you did not receive Bergin, Roberts & Co. LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Sean Aloysius Bergin is also available on the SEC's website at www.adviserinfo.sec.gov.

Bergin, Roberts & Co. LLC

Form ADV Part 2B – Individual Disclosure Brochure

for

Sean Aloysius Bergin

Personal CRD Number: 4548929

Investment Adviser Representative

Bergin, Roberts & Co. LLC
925 Harvest Drive Suite 190
Blue Bell, PA 19422
(215) 793-0111
sbergin@berginroberts.com

UPDATED: 12/23/2013

Item 2: Educational Background and Business Experience

Name: Sean Aloysius Bergin **Born:** 1978

Educational Background and Professional Designations:

Education:

Bachelor of Science in Business Administration Finance, The Pennsylvania State University - 2006

Designation:

AIF® - Accredited Investment Fiduciary®

- The AIF designation, awarded by the Center for Fiduciary Studies, an fi360 company, demonstrates the focus on all the components of a comprehensive investment process, related fiduciary standards of care, and commitment to excellence.
- AIF designees must complete 6 steps to earn the designation: 1. submit registration and fee; 2. successfully complete a specialized program on investment fiduciary standards of care; 3. pass a comprehensive examination; 4. upon passing, submit the accreditation application and fee; 5. complete annual continuing educational requirements; 6. pledge to abide by the designation's code of ethics.

Business Background:

04/2009 - Present Managing Partner
Bergin, Roberts & Co. LLC

09/2003 - 04/2009 Managing Director
Citrin Cooperman Wealth Management, LP

Item 3: Disciplinary Information

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

Item 4: Other Business Activities

Sean Aloysius Bergin is a licensed insurance agent and an investment adviser representative of Citrin Cooperman Wealth Management, LP. From time to time, he will offer clients advice or products from this activity. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. Bergin, Roberts & Co. LLC always acts in the best interest of the client; including in the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of Bergin, Roberts & Co. LLC in their capacity as a licensed insurance agent.

Item 5: Additional Compensation

Other than salary, annual bonuses, or regular bonuses, Sean Aloysius Bergin does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Bergin, Roberts & Co. LLC.

Item 6: Supervision

Sean Aloysius Bergin is a co-owner and co-supervisor of Bergin, Roberts & Co. LLC, and works closely with co-supervisor Benjamin C Roberts. All advice provided to clients is reviewed by this co-supervisor prior to implementation. Benjamin Roberts can be reached at (305) 646-1350.

Item 7: Requirements For State Registered Advisers

This disclosure is required by state securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.

A. Sean A. Bergin has **NOT** been involved in any of the events listed below.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
 - a) an investment or an investment-related business or activity;
 - b) fraud, false statement(s), or omissions;
 - c) theft, embezzlement, or other wrongful taking of property;
 - d) bribery, forgery, counterfeiting, or extortion; or
 - e) dishonest, unfair, or unethical practices.
- B. Sean A. Bergin has **NOT** been the subject of a bankruptcy petition in the past ten years.