

**Item 1: Cover Page for Part 2B of Form ADV:
Brochure Supplement**

January 2013

Gary Keith Dorfman



www.ppasb.com

Pacific Pointe Advisors LLC

**El Andaluz Building
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Santa Barbara, CA 93101
(805) 845-2400**

Firm Contact: Timothy Morton-Smith, Chief Compliance Officer

This brochure supplement provides information about Gary Dorfman that supplements our brochure. You should have received a copy of that brochure. Please contact Gary Dorfman, Managing Member and Chief Compliance Officer, if you did not receive our firm's brochure or if you have any questions about the contents of this supplement.

Additional information about Gary Dorfman is available on the SEC's website at www.adviserinfo.sec.gov.

Item 2: Educational Background & Business Experience

Gary Keith Dorfman

Year of Birth: 1960

Educational Background:

- University of California, Santa Barbara, Santa Barbara, CA, 1983, Bachelor of Arts - Emphasis in Business Economics & Political Science

Business Background:

- Pacific Pointe Advisors, LLC, Santa Barbara, CA - 07/09 to Present, Managing Member & Chief Compliance Officer
- Manchester Capital Management, 03/08 to 04/09, Managing Director
- Ecopod Corp., 2004 to 2008, CEO & President

Item 3: Disciplinary Information

If there are legal or disciplinary events material to your evaluation of Gary Dorfman, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

Item 4: Other Business Activities

A. If Gary Dorfman is actively engaged in any investment-related business or occupation, including if Gary Dorfman is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Gary Dorfman's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Gary Dorfman receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Gary Dorfman receives. We must explain that this

practice gives Gary Dorfman an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Gary Dorfman is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Gary Dorfman's income or involve a substantial amount of Gary Dorfman's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Gary Dorfman's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

Item 5: Additional Compensation

If someone who is not a client provides an economic benefit to Gary Dorfman for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Gary Dorfman's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

Item 6: Supervision

We are required to explain how we supervise Gary Dorfman, including how we monitor the advice Gary Dorfman provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Gary Dorfman's advisory activities on behalf of our firm.

Mr. Dorfman is the Managing Member and Chief Compliance Officer and as such has no internal supervision placed over him. He is however bound by our firm's Code of Ethics. Please contact Mr. Dorfman if you have any questions about his brochure supplement at (805) 845-2400.