

*This brochure supplement provides information about Rob Eric Wedel that supplements the Foundations Asset Management, LLC brochure. You should have received a copy of that brochure. Please contact Rob Eric Wedel, Financial Advisor if you did not receive Foundations Asset Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Rob Eric Wedel is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*



## Form ADV Part 2B – Individual Disclosure Brochure

*for*

**Rob Eric Wedel**

Investment Adviser Representative

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## Item 2: Educational Background and Business Experience

**Name:** Rob Eric Wedel

**Born:** 1969

### Education Background and Professional Designations:

#### Education:

CFP, The College of Financial Planning

#### Designations:

##### CFP® - Certified Financial Planner

##### CFP® MINIMUM QUALIFICATIONS:

- Bachelor's degree or its equivalent, in any discipline, from an accredited university
- Minimum 15-hour curriculum necessary to prepare for the CFP exam (you may challenge the educational requirements if you are a licensed attorney or are hold any of the following certifications or degrees: Certified Public Accountant, Chartered Financial Analyst, Chartered Financial Consultant, Chartered Life Underwriter, Doctor of Business Administration or PhD in business or economics).
- Apply for and achieve a passing score on the Certified Financial Planner exam.
- Possess at least 3 years of work experience in the financial planning industry - teaching, assisting, supervising or delivering financial planning services to a client base for a minimum of 3 years prior to certification.
- Pass a background check and candidate fitness standards test. You must reveal any criminal history, pending litigation or ethical violations. The CFP board verifies all employment history, qualifications and disciplinary issues via FINRA's Central Registration Depository.

#### Business Background:

2009 - Present	Financial Advisor Foundations Asset Management, LLC
2009 - Present	Registered Representative Cambridge Investment Research, Inc.
2004 - 2009	Financial Advisor Capital Analysts Incorporation

### **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

### **Item 4: Other Business Activities**

Rob Eric Wedel is a registered representative and licensed insurance agent. From time to time, he will offer clients advice or products from those activities. Clients should be aware that these services pay a commission and involve a possible conflict of interest, as commissionable products can conflict with the fiduciary duties of a registered investment adviser. FAM always acts in the best interest of the client; including the sale of commissionable products to advisory clients. Clients are in no way required to implement the plan through any representative of FAM in their capacity as a registered representative.

### **Item 5: Additional Compensation**

Other than salary, annual bonuses, regular bonuses, or commissions from his role as a registered representative and insurance agent, Rob Eric Wedel does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through Foundations Asset Management, LLC.

### **Item 6: Supervision**

As co-owner and representative of Foundations Asset Management, LLC, Rob Eric Wedel works closely with co-owner Michael Shamburger to supervise all duties and activities of the firm. Rob Eric Wedel's contact information is on the cover page of this disclosure document.