

*This brochure supplement provides information about Gignesh Movalia that supplements the OM Investment Management, LLC brochure. You should have received a copy of that brochure. Please contact Gignesh Movalia, Managing Member if you did not receive OM Investment Management, LLC's brochure or if you have any questions about the contents of this supplement.*

*Additional information about Gignesh Movalia is also available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).*

# **OM Investment Management, LLC**

## **Form ADV Part 2B – Individual Disclosure Brochure**

*for*

**Gignesh Movalia**

Personal CRD Number: 4552977

Investment Adviser Representative

OM Investment Management, LLC  
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(813) 388-5476  
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UPDATED: 4/10/2013

## **Item 2: Educational Background and Business Experience**

**Name:** Gignesh Movalia **Born:** 1975

### **Education Background and Professional Designations:**

#### **Education:**

BS Biological Sciences, UC Irvine - 2000

#### **Business Background:**

01/2007 - Present	Sr. Managing Director/Portfolio Manager OM Investment Management, LLC
04/2003 - 08/2007	Advisor Morgan Stanley & Company, Incorporated

## **Item 3: Disciplinary Information**

There are no legal or disciplinary events that are material to a client's or prospective client's evaluation of this advisory business.

## **Item 4: Other Business Activities**

Gignesh Movalia is not engaged in any investment-related business or occupation (other than this advisory firm).

## **Item 5: Additional Compensation**

Other than salary, annual bonuses, or regular bonuses, Gignesh Movalia does not receive any economic benefit from any person, company, or organization, in exchange for providing clients advisory services through OM Investment Management, LLC.

## Item 6: Supervision

As the owner and representative of OM Investment Management, LLC, Gignesh Movalia supervises all duties and activities of the firm. Gignesh Movalia's contact information is on the cover page of this disclosure document. Gignesh Movalia adheres to all required regulations regarding the activities of an Investment Adviser Representative and follows all policies and procedures outlined in the firm's policies and procedures manual, including the Code of Ethics, and appropriate securities regulatory requirements.

## Item 7: Requirements For State Registered Advisers

*This disclosure is required by Florida securities authorities and is provided for your use in evaluating this investment advisor representative's suitability.*

- A. Gignesh Movalia has **NOT** been involved in any of the events listed below.
1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
  2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
    - a) an investment or an investment-related business or activity;
    - b) fraud, false statement(s), or omissions;
    - c) theft, embezzlement, or other wrongful taking of property;
    - d) bribery, forgery, counterfeiting, or extortion; or
    - e) dishonest, unfair, or unethical practices.
- B. Gignesh Movalia has **NOT** been the subject of a bankruptcy petition in the past ten years.