

**Item 1: Cover Page for Part 2B of Form ADV:  
Brochure Supplement  
November 2012**

**Robert Paterson**

**Beta Wealth Group, Inc.  
16870 West Bernardo Drive, Suite 170  
San Diego, CA 92127**

**Firm Contact:  
Jodi Vleck  
Chief Compliance Officer**

**Firm Website Address:  
[www.betawealthgroup.com](http://www.betawealthgroup.com)**

**This brochure supplement provides information about Ms. Vleck that supplements our brochure. You should have received a copy of that brochure. Please contact Ms. Vleck if you did not receive Beta Wealth Group, Inc.'s brochure or if you have any questions about the contents of this supplement.**

**Additional information about Ms. Vleck is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).**

## **Item 2: Educational Background & Business Experience**

### **Robert Paterson**

**Year of Birth:** 1969

**Educational Background:**

- 1995: San Diego State University; Bachelors in Psychology

**Business Background:**

- 01/2011 – Present Beta Wealth Group, Inc; Financial Advisor
- 01/2011 – 09/2012 Raymond James Financial Services, Inc; Registered Representative
- 12/2007 – 02/2011 HumanScale; Federal Sales Manager
- 12/2003 – 10/2007 20/20 Mortgage; Mortgage Consultant
- 09/2002 – 09/2003 San Francisco Funding; Mortgage Consultant

**Exams, Licenses & Other Professional Designations:**

- 2011: Series 7 & Series 66
- 2011: Notary Public

## **Item 3: Disciplinary Information**

If there are legal or disciplinary events material to your evaluation of Robert Paterson, we are required to disclose all material facts regarding those events.

We have nothing to disclose in this regard.

## **Item 4: Other Business Activities**

A. If Robert Paterson is actively engaged in any investment-related business or occupation, including if Robert Paterson is registered, or has an application pending to register, as a broker-dealer, registered representative of a broker-dealer, futures commission merchant ("FCM"), commodity pool operator ("CPO"), commodity trading advisor ("CTA"), or an associated person of an FCM, CPO, or CTA, we are required to disclose this fact and describe the business relationship, if any, between the advisory business and the other business.

1. If a relationship between the advisory business and Robert Paterson's other financial industry activities creates a material conflict of interest with you, the SEC requires us to describe the nature of the conflict and generally how we address it.

We have nothing to disclose in this regard.

2. If Robert Paterson receives commissions, bonuses or other compensation based on the sale of securities or other investment products, including as a broker-dealer or registered representative, and including distribution or service ("trail") fees from the sale of mutual funds, we have to disclose this fact. If this compensation is not cash, we are required to explain what type of compensation Robert Paterson receives. We must explain that this

practice gives Robert Paterson an incentive to recommend investment products based on the compensation received, rather than on your needs.

We have nothing to disclose in this regard.

- B. If Robert Paterson is actively engaged in any business or occupation for compensation not discussed in response to Item 4.A, above, and the other business activity or activities provide a substantial source of Robert Paterson's income or involve a substantial amount of Robert Paterson's time, we are required to disclose this fact and must describe the nature of that business. If the other business activities represent less than 10 percent of Robert Paterson's time and income, we may presume that they are not substantial.

We have nothing to disclose in this regard.

#### **Item 5: Additional Compensation**

If someone who is not a client provides an economic benefit to Robert Paterson for providing advisory services, we are required to generally describe the arrangement. For purposes of this Item, economic benefits include sales awards and other prizes, but do not include Robert Paterson's regular salary. Any bonus that is based, at least in part, on the number or amount of sales, client referrals, or new accounts should be considered an economic benefit, but other regular bonuses should not.

We have nothing to disclose in this regard.

#### **Item 6: Supervision**

We are required to explain how we supervise Robert Paterson, including how we monitor the advice Robert Paterson provides to you. Our firm has to provide the name, title and telephone number of the person responsible for supervising Robert Paterson's advisory activities on behalf of our firm.

Jodi Vleck, President and Chief Compliance Officer of Beta Wealth Group, Inc. supervises and monitors Robert Paterson's activities on a regular basis. Ms. Vleck reviews all outgoing correspondence for written financial advice that Robert Paterson provides to his clients. Please contact Ms. Vleck if you have any questions about Robert Paterson's brochure supplement at 858-207-3377.