

**ITEM 1: COVER PAGE FOR PART 2B OF FORM ADV:  
BROCHURE SUPPLEMENT**

**AUGUST 31, 2012**

**STEPHEN SIMMONS**

**DAVINCI FINANCIAL DESIGNS  
1001 PINNACLE POINT DRIVE, SUITE 310  
COLUMBIA, SC 29223  
(803) 741-0134**

This brochure supplement provides information about Stephen Simmons that supplements our brochure. You should have received a copy of that brochure. Please contact Mr. Agostini if you did not receive DaVinci Financial Designs' brochure or if you have any questions about the contents of this supplement.

Additional information about Mr. Simmons is available on the SEC's website at [www.adviserinfo.sec.gov](http://www.adviserinfo.sec.gov).

## **Item 2. Educational Background and Business Experience**

**Stephen Simmons**

Born in 1947

### **Educational Background:**

- 1969: University of North Carolina, Chapel Hill; Bachelor of Arts in Political Science

### **Business Background:**

- 05/2012 – Present DaVinci Financial Designs, LLC, Investment Adviser
- 05/2012 – Present LPL Financial; Registered Representative
- 04/1979 – 05/2012 First Command Financial Planning; Investment Adviser

### **Licenses, Exams & Other Professional Designations**

- 1979: Series 1
- 1989: Series 63
- 1996: Series 26
- 1999: Series 65

## **Item 3. Disciplinary Information**

There are no legal or disciplinary events material to your evaluation of Stephen Simmons; we are required to disclose all material facts regarding those events.

## **Item 4. Other Business Activities**

Stephen Simmons is a registered representative of LPL Financial (“LPL”), member FINRA/SIPC. He may accept compensation for the sale of securities or other investment products, including distribution or service (“trail”) fees from the sale of mutual funds. A conflict of interest may arise as these commissionable securities sales may create an incentive to recommend products based on the compensation adviser and/or our supervised persons may earn and may not necessarily be in the best interests of the client.

## **Item 5. Additional Compensation**

Stephen Simmons may receive from LPL or a mutual fund company, without cost and/or at a discount support services and/or products, to assist us to better monitor and service client accounts maintained at such institutions. Included within the support services, he may receive investment-related research, pricing information and market data, software and other technology that provide access to client account data, compliance and/or practice management-related publications, discounted or gratis consulting services, discounted and/or gratis attendance at conferences, meetings, and other educational and/or social events, marketing support, computer hardware and/or software and/or other products used by him to assist him in his investment advisory activities. Our clients do not pay more for investment transactions effected and/or assets

maintained at LPL as result of this arrangement. There is no commitment made by him to LPL or any other institution as a result of the above arrangement.

### **Item 6. Supervision**

Jim Agostini is a principal of DaVinci Financial Designs and as such supervises and monitors Stephen Simmons's activities on a regular basis to ensure compliance with our firm's Code of Ethics. Please contact Mr. Agostini if you have any questions about Stephen Simmons's brochure supplement at (803) 741-0134.

### **Item 7: Requirements for State-Registered Advisers**

A. In addition to the events listed in Item 3 of Part 2B, if Mr. Simmions has been involved in one of the events listed below, we disclose all material facts regarding the event.

1. An award or otherwise being found liable in an arbitration claim alleging damages in excess of \$2,500, involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

2. An award or otherwise being found liable in a civil, self-regulatory organization, or administrative proceeding involving any of the following:
  - (a) an investment or an investment-related business or activity;
  - (b) fraud, false statement(s), or omissions;
  - (c) theft, embezzlement, or other wrongful taking of property;
  - (d) bribery, forgery, counterfeiting, or extortion; or
  - (e) dishonest, unfair, or unethical practices.

We have nothing to disclose in this regard.

B. If Mr. Simmons has been the subject of a bankruptcy petition, we must disclose that fact, the date the petition was first brought, and the current status.

We have nothing to disclose in this regard.