

Form ADV Part 2A Brochure

New Legacy Group, LLC

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This brochure provides information about the qualifications and business practices of New Legacy Group, LLC (“New Legacy Group”, “we”, or “our”). If you have any questions about the contents of this brochure, please contact us at 212-616-8022. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

Additional information about New Legacy Group also is available on the SEC’s website at www.adviserinfo.sec.gov. The searchable IARD/CRD number for New Legacy Group is 160969.

New Legacy Group is a Registered Investment Adviser. Registration of an Investment Adviser does not imply any level of skill or training.

Item 2 – Material Changes

In future filings, this section of the brochure will address only those “material changes” that have been incorporated since our last delivery or posting of this document on the SEC’s public disclosure website.

Currently, our Brochure may be requested by contacting Joseph Weilgus, Chief Executive Officer, at 212-616-8022 or jweilgus@newlegacy.com.

Additional information about New Legacy Group, LLC is also available via the SEC’s web site www.adviserinfo.sec.gov. The SEC’s web site also provides information about any persons affiliated with New Legacy Group who are registered, or are required to be registered, as investment adviser

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Item 4 - Advisory Business

New Legacy Group is a Delaware limited liability company that was formed and commenced operations in 2011. The founders and principal owners of New Legacy Group are Joseph Weilgus, Chief Executive Officer and Adam Geiger, President and Chief Investment Officer.

New Legacy Group and its affiliates may engage in investment consulting services and/or advisory relationships with certain ultra-high net worth families and institutions with significant capital in hedge fund investments and/or with the potential to allocate significant capital to alternative investments. Such services may include ongoing due diligence and monitoring of managers in current portfolios, qualitative and quantitative research on potential managers and recommending portfolio changes when appropriate. New Legacy Group and its affiliates may or may not have portfolio discretion. In those cases where New Legacy Group does not have discretion, it will make recommendations, but will not make decisions regarding potential investments, underlying manager and portfolio reviews and/or possible portfolio adjustments.

Our funds generally invest in a select group of hedge funds managed by investment advisors independent of New Legacy Group.

Those investment advisors, described below, may enter into agreements with New Legacy Group, LLC to use New Legacy Group as their investment advisory firm. At the time of this filing no commitment has been made between New Legacy Group and any of the investment advisors.

New Legacy Fund, LP

New Legacy Fund, LP ("New Legacy Fund") is a Delaware limited partnership formed in April of 2007. New Legacy Management, LLC, a Delaware limited liability company formed in April of 2007, is the General Partner. New Legacy Capital, LLC, a Delaware limited liability company formed in April of 2007 is the Investment Manager.

New Legacy Fund was established in order to pursue opportunities available in alternative investment strategies. The objective is to maximize the long term total returns by obtaining attractive risk-adjusted capital appreciation. New Legacy Fund seeks to achieve this objective through the allocation of assets with a variety of money managers, geographies and sectors as well as diversified investment and trading strategies. Please refer to New Legacy Fund's Offering Memorandum for more information.

New Legacy Matrix Niche Strategies, LLC

New Legacy Matrix Niche Strategies, LLC (the "New Legacy Matrix Niche Strategies") is a Delaware limited liability company formed in January of 2010. The objective of New Legacy Matrix Niche Strategies is to be a special purpose vehicle through which to invest in underlying portfolio funds.

New Legacy Matrix, LLC, a Delaware limited liability company formed in November of 2009, is the Investment Manager and managing member. The Manager is responsible for the management of New Legacy Matrix Niche Strategies and will provide certain administrative services. The Manager will not make investment decisions on behalf of New Legacy Matrix Niche Strategies but is simply enabling New Legacy Matrix Niche Strategies to invest in the underlying portfolio funds who make their own investment decisions. Please refer to New Legacy Matrix Niche Strategies' Offering Memorandum for more information.

New Legacy Emerging Manager Fund, LP

New Legacy Emerging Manager Fund, LP (“New Legacy Emerging Manager Fund”) is a Delaware limited partnership formed in June of 2011. NLEM Management, LLC is a Delaware limited liability company formed in June of 2011, acts as the Investment Manager and General Partner.

New Legacy Emerging Manager Fund's objective is to achieve attractive, risk-managed investment returns via investments in other private investment vehicles. In addition, the General Partner may invest a portion of New Legacy Emerging Manager Fund's assets in money-market funds and other short-term investments, pending distributions to investors or contributions to underlying funds. New Legacy Emerging Manager Fund may also invest directly in other securities and private investments as well as in publicly traded securities, when such investments are consistent with the Partnership's objectives. Please refer to New Legacy Emerging Manager Fund's Offering Memorandum for more information.

New Legacy Matrix Litespeed, LLC

New Legacy Matrix Litespeed, LLC (“New Legacy Matrix Litespeed”) is a Delaware limited liability company formed in November of 2009. New Legacy Matrix Litespeed's objective is to be a special purpose vehicle through which to invest in Litespeed Partners, LP.

New Legacy Matrix, LLC, a Delaware limited liability company formed in November of 2009, is the Investment Manager and managing member. The Manager is responsible for the management of the LLC and will provide certain administrative services. The Manager will not make investment decisions on behalf of New Legacy Matrix Litespeed but is simply enabling New Legacy Matrix Litespeed to invest in Litespeed Partners, LP, which makes its own investment decisions. Please refer to New Legacy Matrix Litespeed's Offering Memorandum for more information.

New Legacy Saba, LLC

New Legacy Saba, LLC (the “New Legacy Saba”) is a Delaware limited liability company formed in July of 2009. New Legacy Saba's objective is to be a special purpose vehicle through which to invest in Saba Capital Partners, LP.

New Legacy Ventures, LLC, a Delaware limited liability company formed in September of 2008, is the Investment Manager and managing member. The Manager is responsible for the management of the LLC and will provide certain administrative services. The Manager will not make investment decisions on behalf of New Legacy Saba but is simply enabling New Legacy Saba to invest in Saba Capital Partners, LP, which makes its own investment decisions. Please refer to New Legacy Saba's Offering Memorandum for more information.

Affiliates

Either affiliates of New Legacy Group or independent third parties act as the general partner or managing member of those New Legacy funds structured as limited partnerships or limited liability companies.

Investors and prospective investors in each New Legacy fund should refer to the confidential private placement memorandum, limited partnership agreement and other governing documents for each fund for more complete information on the investment objectives and investment restrictions with respect to a particular fund. There is no assurance that any of the funds' investment objectives will be achieved.

New Legacy Ventures, LLC and New Legacy Private Holdings, LLC are also affiliates of New Legacy Group and serve as the managing members of limited liability companies.

Assets Under Management

As of December 31, 2011, New Legacy Group had no assets under management.

Termination

The terms of each agreement are negotiated on a case-by-case basis. Please refer to the confidential private placement memorandum, limited partnership agreement and/or other governing documents for details.

Third Party Manager – Relationships with third party managers may be terminated on a case-by-case basis. The terms of each relationship are defined and agreed to by both parties in a written engagement letter.

Item 5 - Fees and Compensation

The Investment Manager of each of the New Legacy funds receives a management fee based on assets under management and, for some, an additional performance fee or performance allocation determined as either a flat fee or a percentage of profits, with some performance fees or performance allocations being triggered only after a minimum return (“hurdle”) is exceeded. Such performance fees/allocation are generally subject to a “high water mark.” The amounts of such fees and allocations are described in detail in the offering documents for the New Legacy funds, and generally range from .75% to 1.5% per annum of assets under management or a negotiated fixed fee in respect of the asset-based fees and from 0% to 10% of profits in respect of performance fees or performance allocations. Fees are payable in quarterly installments of such net asset value in advance on the first day of each calendar quarter. Investors in the New Legacy funds bear their pro rata portions of such fees and performance allocations. Once paid, the Management Fee is non-refundable. Upon termination, any prepaid, unearned fees will be promptly refunded, and any earned, unpaid fees will be due and payable.

Neither New Legacy Group nor its supervised persons will receive any compensation with respect to investments in hedge funds or the purchase or sale of securities or other investment products by any New Legacy fund or managed account.

Third Party Manager Fees – New Legacy Group is paid an annual advisory fee based on assets under management and, for some, an additional performance fee or allocation determined as a percentage of profits. These fees are determined on a case-by-case basis and are subject to negotiation. The terms of each relationship are defined and agreed to by both parties in a written engagement letter.

Additional Fees and Expenses

Each New Legacy fund will bear its own expenses, including, to the extent applicable, interest expenses, brokerage commissions, custodial fees, administration fees and expenses, costs of borrowing securities to be sold short, research fees and materials (including online news and quotation services), withholding and transfer taxes, blue sky fees, initial and periodic legal, audit and accounting expenses, consulting fees and expenses and other professional fees and expenses. The General Partner of each of the New Legacy funds may allocate expenses among the New Legacy funds’ regular investments and those investments designated by their respective Investment Manager as Illiquid Investments.

Such charges, fees and commissions are exclusive of and in addition to New Legacy Group’s fee, and New Legacy Group shall not receive any portion of these commissions, fees, and costs.

Item 6 - Performance-Based Fees and Side-By-Side Management

New Legacy Group or an affiliate may receive a performance-based fee or a special allocation of profits from each of its clients. Our Private Offering Memoranda contain information on the performance-based fee arrangements of our funds.

The performance-based compensation arrangements charged will comply with Rule 205-3 under the Investment Advisers Act of 1940 (the "Advisers Act"). Different client accounts may be subject to different performance-based compensation arrangements. The funds and managed accounts will also incur performance-based fees payable to the managers of the hedge funds in which they invest.

Performance-based fee arrangements may create an incentive for us to recommend investments which may be riskier or more speculative than those which would be recommended under a different fee arrangement. Such fee arrangements also create an incentive to favor higher fee paying accounts over other accounts in the allocation of investment opportunities. We have procedures designed and implemented to ensure that all clients are treated fairly and equally, and to prevent this conflict from influencing the allocation of investment opportunities among clients.

At their sole discretion, the funds may enter into one or more "side letters" or similar agreements with investors.

Item 7 - Types of Clients

Our clients and investors in the funds may include individuals, including high net worth individuals, trusts, estates and charitable organizations and corporations or other business entities.

Minimum Account Size

We generally require minimum investments that range from \$100,000 to \$1,000,000 million depending upon the fund. This initial minimum investment amount may be waived by the New Legacy funds' respective Investment Manager at their discretion.

Limited partnership interests in the funds will be offered exclusively to institutional and individual investors who qualify as accredited investors and as qualified purchasers. The New Legacy funds qualify for the exclusion from the definition of investment company under section 3(c)(7) of the Investment Company Act of 1940.

Item 8 - Methods of Analysis, Investment Strategies and Risk of Loss

New Legacy's investment professionals perform extensive qualitative and quantitative analysis throughout the investment process, conducting a thorough initial review of the broad universe of prospective investment managers. We identify high quality candidates for further consideration based on numerous factors including, but not limited to, (i) backgrounds of fund principals; (ii) fund strategy; (iii) investment process; (iv) business goals; (v) returns; (vi) liquidity; and (vii) market outlook.

Once the New Legacy investment team formulates a shortlist of fund candidates, we conduct multiple onsite interviews with the fund manager and several of the key members of the team. Even if a manager is deemed to be unsuitable for investment at any given time, the team often continues to monitor the underlying managers and may re-consider them for potential investments in the future.

After it is determined that a manager is a fundamental fit for investment, our team performs rigorous due diligence on all aspects of the fund manager. We examine company practices, cross-reference service providers, perform background checks on the fund principals, and place reference calls. In addition to reference checks based on referrals received from the manager, New Legacy's investment team makes

extensive use of the team's proprietary database and network of industry contacts to supplement the manager-supplied reference list with other independent references. We also require and examine audited financial statements prepared by a reputable CPA firm.

If satisfied with the findings of our due diligence, the team conducts a deep review of the potential manager's operational procedures as well as an assessment of risk management prior to investment. The operational review includes an examination of cash movement procedures, trade processing, and IT disaster recovery and back-up plans, among other items. Risk assessment allows us to understand a potential manager's market outlook, as well as company, portfolio, and position risk.

In the case of both operational and risk reviews, managers are graded on a scale of 1-5, with 1 encompassing managers with perfect or near-perfect practices. Each fund is given separate ranks for operations and risk. A ranking below a 3 in either category eliminates a manager from consideration.

When a potential manager passes both the operational and risk reviews, the manager is presented to New Legacy's Investment Committee. The findings of the due diligence team's operational and risk reviews are detailed in New Legacy's Investment Recommendation Reports. An investment in any underlying manager can only be made upon unanimous approval from the Committee.

Lastly, the investment team monitors its current managers continually and carefully, conducting both phone updates and on-site meetings on a regular basis. We pay significant attention to factors that may indicate a potential "style drift," placing specific emphasis on consistency of the underlying investment manager's personal focus, investment strategy, and operations and risk management procedures.

Our Investment Strategies

The New Legacy funds were established in order to pursue opportunities available in liquid alternative investment strategies, i.e. hedge funds. The objective of each fund is to maximize the long-term total returns to its partners by earning attractive risk-adjusted capital appreciation. The funds seek to achieve this objective through the allocation of fund assets amongst a variety of money managers, geographies, and sectors, as well as diversified investment and trading strategies.

The funds operate as "funds of funds." The assets of each fund are allocated primarily to private investment funds ("underlying funds") and to managed accounts ("managed accounts" and, together with underlying funds, "underlying accounts") managed by money managers ("underlying managers") selected by New Legacy's investment team. The team identifies prospective managers (some of whom are "limited capacity" managers) through experience, networking and reputation, but will only move forward with an investment after conducting exhaustive due diligence on the underlying managers to evaluate the return-generating attributes and risks associated with investing in the underlying managers. Among the risks that are considered are (i) systematic vs. idiosyncratic risk; (ii) operational risk, including potential fraud and reputation risk; (iii) financing and liquidity risk; and (iv) business continuity risk.

The New Legacy funds provide investors several advantages over direct investments in private investment funds, including (i) the ability to invest in a professionally constructed, managed and monitored alternative investment portfolio; (ii) access to closed funds and/or underlying managers and funds with high minimum investment requirements; (iii) access to a diverse group of underlying managers that utilize varying investment styles and strategies; and (iv) reduced risk exposure that comes from investing with multiple underlying managers that have historically exhibited low correlation to one another.

The multi-manager approach involves allocation of the funds' assets to underlying managers that employ different investment styles and strategies which provides investors access to a variety of underlying

managers. Each of the strategies employed by the funds encompasses a broad range of investment programs that historically have exhibited a low correlation to the performance of equity, bond and other markets over full market cycles. They include investment programs involving the use of hedging and arbitrage techniques in the equity, fixed income, currency and commodity markets. These investment programs employ a variety of sophisticated investment techniques that include, among other things, short sales of securities, use of leverage, and transactions in derivative securities and other financial instruments such as stock options, index options, futures contracts, options on futures, and various forms of swap agreements, including, among others, credit default swaps and variance swaps.

Investment & Security Analysis

As a component of their strategies, at any given time, the New Legacy funds focus on top-tier managers in the following strategy areas:

Long/short strategies are investments that generally combine long positions in undervalued common stocks or corporate bonds and short positions in overvalued common stocks or corporate bonds in order to focus on generating positive returns through the underlying manager's ability to select securities through fundamental analysis, while hedging some portion of market risk.

Event-driven and **relative value arbitrage strategies** generally seek to exploit mispricings between related instruments or combinations of instruments. These strategies use a variety of techniques to compare the value of related securities. Event-driven strategies involve fundamental research that assesses the value of securities within a company's capital structure or the value of the securities of two companies that are expected to merge. Event-driven strategies make investments in the securities of companies involved in certain special situations, including mergers, acquisitions, asset sales, spin-offs, balance sheet restructurings, bankruptcies, and other situations. These special situations constitute an "event" which the underlying manager believes will trigger a change in the price of securities relative to their current price or close the gap between securities that are being arbitrated. Event-driven arbitrage strategies generally feature portfolios that are actively traded and may exhibit a high rate of turnover.

Credit specialist strategies generally involve long and/or short positions in fixed income securities and their derivatives. Managers will engage in directional and/or non-directional positions in order to take advantage of market inefficiencies or anomalies. Sovereign debt, corporate debt, asset-backed securities (including residential and commercial mortgages, among others) and credit derivatives such as credit default swaps and collateralized debt obligations are also commonly used by managers in this category. Credit specialists often use leverage to finance their positions. Distressed securities managers seek to take advantage of mispriced securities resulting from companies who are having or have had financial difficulties.

Relative value strategies, such as convertible arbitrage and fixed-income arbitrage, generally involve sophisticated modeling techniques that assess the value of a given security and a related derivative instrument, such as equity and a convertible bond, or a treasury bond and a related futures contract. Underlying managers may periodically utilize leverage and may enter into swaps and other similar financial contracts in an effort to increase portfolio returns. Managers who employ a "capital structure arbitrage" strategy may invest in any or all security classes within a company's capital structure, including equity. Underlying managers also generally may engage in short selling, options hedging, and other arbitrage techniques to capture price differentials.

Multi-strategy managers typically seek to profit from allocating to a number of different strategies and adjusting their allocations based upon perceived opportunities. Because each strategy is not in a

separate fund, these managers often have the ability to utilize higher leverage levels than single-strategy managers.

Macro managers seek to profit from long and short positions in any of the world's major capital markets (i.e. stocks, bonds, commodities, and currency). These managers typically consider both economic adjustment themes as well as shorter-term technical conditions when choosing trading positions that anticipate market movements. They often employ a "top-down" global approach and may invest in multiple markets in anticipation of expected market movements. These movements may result from forecasted shifts in world economies, political changes, or global supply and demand imbalances.

Short bias managers seek to profit from maintaining overall net-short portfolios of long and short equities. Detailed individual company research typically forms the core alpha generation driver of short bias managers, and a focus on companies with negative cash flow generation is common. Risk management consists of offsetting long positions and stop-loss strategies. The fact that money-losing short positions grow in size for a short bias manager makes risk management challenging.

Managed futures managers, also referred to as Commodity Trading Advisors (CTA), generally seek to profit from investments in listed bond, currency, stock, and commodity futures markets globally. These managers tend to follow model-based systematic trading programs that largely rely upon historical price and trading volume data. The most common trading programs are long-term trend following and tend to invest with directional trends while using stop-loss points to control risk. Other common programs include short-term counter trend and hybrid systematic/discretionary programs.

Defensive or portfolio hedging refers to direct investments in securities, options, futures and other derivative products that the New Legacy funds may make in order to hedge certain estimated exposures the funds may have resulting from the aggregated exposures of the underlying managers. The New Legacy funds will only utilize this category to offset such exposures. Underlying managers may also be utilized to fulfill the portfolio-hedging activity.

Our security analysis methods include: charting, fundamental analysis, technical analysis, quantitative analysis and qualitative analysis methods including cyclical analysis.

Sources of Information

In conducting security analysis, New Legacy utilizes a broad spectrum of information, including financial publications, third-party research materials, annual reports, prospectuses, regulatory filings, company press releases, corporate rating services, and meetings with management of various companies.

Risk of Loss

All investments in securities include a risk of loss of our clients' principal (invested amount) and any profits that have not been realized (the securities were not sold to "lock in" the profit). Stock markets, bond markets and commodity markets fluctuate substantially over time. In addition, as recent global and domestic economic events have indicated, performance of any investment is not guaranteed. As a result, there is a risk of loss of the assets we manage that may be out of our control. We will do our very best in the management of our clients' assets; however, we cannot guarantee any level of performance or that our clients will not experience a loss of their account assets. Investing in securities involves risk of loss that clients should be prepared to bear.

Item 9 - Disciplinary Information

We do not have any legal, financial or other “disciplinary” item(s) to report. We are obligated to disclose any disciplinary event that would be material when evaluating a relationship, or to continue a relationship with us. This statement applies to our firm, and every employee.

Item 10 - Other Financial Industry Activities and Affiliations

As disclosed under Item 4 (Advisory Services) and Item 5 (Fees and Compensation), the principal executive officers are also affiliated with the following companies:

- New Legacy Fund, LP
- New Legacy Matrix Niche Strategies, LLC
- New Legacy Emerging Manager Fund, LP
- New Legacy Matrix Litespeed, LLC
- New Legacy Saba, LLC
- New Legacy Management, LLC
- New Legacy Matrix, LLC
- NLEM Management, LLC
- New Legacy Capital, LLC
- New Legacy Private Holdings, LLC
- New Legacy Ventures, LLC

Item 11 - Code of Ethics

We have adopted a Code of Ethics for all supervised persons of the firm describing its high standard of business conduct, and fiduciary duty to its clients. The Code of Ethics includes provisions relating to the confidentiality of client information, a prohibition on insider trading, restrictions on the acceptance of significant gifts and the reporting of certain gifts and business entertainment items, and personal securities trading procedures, among other things. All supervised persons at New Legacy Group, LLC must acknowledge the terms of the Code of Ethics annually, or as amended.

We anticipate that, in appropriate circumstances, consistent with clients’ investment objectives, New Legacy Group may recommend to investment advisory clients or prospective clients, allocations to underlying funds in which New Legacy Group, its affiliates and/or clients, directly or indirectly, have a position of interest.

We have also adopted policies and procedures to prevent the misuse of “insider” information (material, non-public information). A copy of such policies and procedures is available to any person upon request.

You may request a complete copy of our Code by contacting us at the address, telephone or email on the cover page of this Part 2.

Item 12 - Brokerage Practices

The projects, assets and investment strategy employed by New Legacy Group do not generally result in the opportunity for trade execution. In situations where it is necessary, obtaining the best execution is important. Brokers used to execute trades are selected based on the reasonableness of their compensation based on the range and quality of a services including execution capability, trading expertise, accuracy of execution, commission rates, research, reputation and integrity, fairness in resolving disputes, financial responsibility, and responsiveness.

New Legacy Group does not have any commitments or understandings with specific brokers, generally known as soft dollar arrangements. Certain brokers may from time to time provide unsolicited proprietary

research. Receipt of research, even on an unsolicited basis, involves conflicts of interest considerations. To mitigate any conflict, we adopted a policy that prohibits us from considering any factor other than best execution when placing a client trade with a broker-dealer. New Legacy Group does not consider referrals when we select or recommend broker-dealers to clients.

Item 13 - Review of Accounts

Each review is conducted periodically by members of the New Legacy Investment Committee. Each review is supervised by Joseph Weilgus, CEO or Adam Geiger, CIO.

With the exception of those New Legacy funds which are special purpose vehicles with only one underlying investment, each fund makes available audited financial statements to its investors on an annual basis. The General Partner or managing member of each New Legacy fund with only one underlying investment will decide whether to have its fund's financials audited on a case-by-case basis. In addition, the administrator of each fund will distribute performance reports to investors on either a quarterly or monthly basis, as defined in each fund's respective Offering Memorandum.

Item 14 - Client Referrals and Other Compensation

New Legacy Group and its affiliates may enter into compensation arrangements with unaffiliated placement agents or third parties for introducing investors to the New Legacy funds.

Item 15 - Custody

We do not have physical custody of client assets. With the exception of those New Legacy Group funds which are special purpose vehicles with only one underlying investment, each fund makes available audited financial statements to its investors on an annual basis. The General Partner of each New Legacy Group Fund with only one underlying investment will decide whether to have its fund's financials audited on a case-by-case basis.

Item 16 - Investment Discretion

New Legacy Group may have investment discretion related to the activities of the New Legacy funds, while adhering to the investment strategy set forth in each fund's respective Offering Memorandum.

Wrap Account Management

We do not participate in the management of wrap account programs.

Item 17 - Voting Client Securities

As a matter of firm policy and practice, New Legacy Group does not have any authority to and does not vote proxies on behalf of advisory clients. Clients retain the responsibility for receiving and voting proxies for any and all securities maintained in client portfolios. New Legacy Group may provide advice to clients regarding the clients' voting of proxies.

Item 18 - Financial Information

Registered investment advisers are required in this Item to provide their clients with certain financial information or disclosures about New Legacy Group's financial condition. New Legacy Group has no financial commitment that impairs its ability to meet contractual and fiduciary commitments to clients, and has not been the subject of a bankruptcy proceeding.

We do not require or solicit prepayment of more than \$1,200 in fees per client, six months or more in advance, therefore we have no material additional financial disclosures to make.

Business Continuity Plan

Our Business Continuity Plan addresses how the Firm will respond to events that may disrupt its business. If the main telephone line is inactive, the emergency number is 646-734-5234. We will resume operations as quickly as possible (preferably within twenty-four hours) depending on the severity of the business disruption. Our Business Continuity Plan covers data backup and recovery, mission critical systems financial and operational assessments, alternative communications, alternate business locations, regulatory reporting and the assurance of prompt access to funds and securities for our customers. Additional details regarding the firm's Business Continuity Plan are available upon request.

Backup and Disaster Recovery Plan

The firm utilizes Primary Support's managed backup service, which is an image-based backup solution. At the end of each day, the hourly backups are rolled into one daily backup and sent electronically via internet to offsite secure datacenters. The offsite facility keeps 14 sets of daily backups, five sets of weekly backups (the five dailies are rolled into one) and also monthly backups. The monthly backups are kept until the device is running out of space. New Legacy Group also utilizes a portable hard drive to store short-term data to be used in case of a short-term outage of file corruption that requires immediate restoration.

This backup solution is extremely robust and also provides a level of disaster recovery for New Legacy Group. In the event of a server failure, New Legacy Group can use the imaging software and bring up the server on another set of hardware in a short period of time. In the case of a fire, flood, or other building issue that ruins the data room, New Legacy Group can have a new device shipped to a location with 24-48 hours with all New Legacy Group data on it.

Privacy Notice to Customers

We do not disclose nonpublic personal information about our individual clients or former clients except as permitted by law. We restrict access to nonpublic personal information about our clients (that we may obtain from their accounts and transactions) to those employees who need to know that information to provide products or services to our clients or to alert them of new, enhanced or improved products or services we provide. We maintain physical, electronic and procedural safeguards that comply with federal standards to safeguard our clients' nonpublic personal information.