

Harvest Investment Services, LLC

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Date: January 9, 2012

Telephone: (630) 613-9230

Facsimile: (630) 613-9126

Form ADV Brochure Supplement for**Tim J. Newell, CFP[®], CEP[®]**

CRD No. 1339021

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Telephone: (630) 613-9230

This brochure supplement provides information about Tim J. Newell that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact us at (630) 613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Tim J. Newell is available on the SEC's website at www.adviserinfo.sec.gov.

Please retain this Form ADV Brochure Supplement for future reference, as it contains important information if you decide to add services or establish additional advisory accounts at Harvest Investment Services, LLC. We will provide you with an updated copy of this Brochure only if there are material changes to the information in Item 3 (Disciplinary Information).

Form ADV Brochure Supplement for Timothy John Newell

Item 2 Educational Background and Business Experience

Your Financial Adviser: **Timothy Newell, CFP[®], CEP[®]**

Year of Birth: 1963

Education: No formal education after high school

Business Background: Harvest Investment Services, LLC, President, CEO, 10/2011 to Present
Harvest Planning, LLC, Owner, 10/2011 to Present
ProEquities, Inc., Investment Advisor Representative and General Securities
Principal, 07/1997 to Present
Integrity Financial Associates, Owner, 02/1990 to 01/2009

Certifications

The CERTIFIED FINANCIAL PLANNER[™], CFP[®] and federally registered CFP (with flame design) marks (collectively, the “CFP[®] marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP[®] certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP[®] certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 63,000 individuals have obtained CFP[®] certification in the United States.

To attain the right to use the CFP[®] marks, an individual must satisfactorily fulfill the following requirements:

Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;

Examination – Pass the comprehensive CFP[®] Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;

Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and

Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP[®] professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP[®] marks:

Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and

Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP[®] professionals provide financial planning services at a fiduciary standard of

care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board's enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

The CERTIFIED ESTATE PLANNER™, CEP® is granted by the National Institute of Certified Estate Planners ("NICEP") and is awarded after:

- the application is completed
- the corresponding educational component has been satisfied, and
- the qualifying examination has been successfully passed.

The educational component is completed through an interactive discussion of the course highlights done either live or online, in combination with reading and understanding significant self study materials. Candidates spend an average of 5 - 6 months of combined study and preparation between the live online sessions and self-study materials, before sitting for the proctored exam.

Successful completion of the CEP® Certification program requires that each candidate score a minimum of 70% on at least 100 multiple choice questions taken from a bank of 280 qualifying exam questions. To assure that each examination takes place without the aid of study notes, materials, or assistance, the exams must be administered by an independent proctor who must be another licensed professional who is neither related to or under the employ or influence of the candidate.

To use the CEP® Certification ongoing, certificants must complete the NICEP continuing education requirement of a minimum of eight (8) hours every two (2) years in the area of estate planning, and adhere to the NICEP professional code of ethics which requires model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

Item 3 Disciplinary Information

Mr. Newell does not have any reportable disciplinary history.

Item 4 Other Business Activities

Timothy Newell is an investment advisor representative and general securities principal with ProEquities, Inc. ("ProEquities"). ProEquities is a diversified financial services company engaged in the sale of specialized investment products. In these capacities, Mr. Newell may receive investment advisory fees and also recommend securities offered by ProEquities as part of your investment portfolio, respectively. If clients purchase these securities through Mr. Newell, he will receive the customary commissions in his separate capacity as a registered representative of ProEquities. Additionally, Mr. Newell could be eligible to receive incentive awards such as ProEquities may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Newell an incentive to recommend investment products based on the compensation received, rather than on your investment needs. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Harvest Investment Services LLC's firm brochure for additional disclosures on this topic.

Timothy Newell is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you

pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Newell for insurance related activities. This presents a conflict of interest because Mr. Newell may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Harvest Investment Services, LLC is owned by Harvest Financial Planning, LLC (Harvest Financial Planning"). Harvest Financial Planning is organized as a limited liability company under the State of Illinois. Mr. Newell is the CEO/owner of Harvest Financial Planning which provides office of supervisory jurisdiction supervision to registered representatives and investment advisor representatives of ProEquities, Inc. Other services provided are insurance sales and tax preparation. Harvest Financial Planning also owns TA Office Properties, LLC. Any fees or commissions charged by Harvest Financial Planning Services are separate and apart from the advisory fees charged by our firm. Mr. Newell as owner of Harvest Financial Planning, LLC do not create a conflict of interest to his provision of advisory services through Harvest Investment Services, LLC.

Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Newell's receipt of additional compensation as a result of his activities as a registered representative of ProEquities and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Timothy Newell is the owner, President, and CEO and is supervised on an ongoing basis by Frederick Alfred Newell, CCO of Harvest Investment Services, LLC. Frederick Newell can be reached at (630) 613-9230. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines. Timothy Newell is supervised by Jeffrey Miller at ProEquities. Jeffrey Miller can be reached at (205) 268-5596.]]

Item 7 Requirements for State Registered Advisers

Timothy Newell does not have, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

Harvest Investment Services, LLC

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Date: January 9, 2012

Telephone: (630) 613-9230
Facsimile: (630) 613-9126

Form ADV Brochure Supplement for**Frederick Alfred Newell**

CRD No. 4889812

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Telephone: (630) 613-9230

This brochure supplement provides information about Frederick Alfred Newell that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact us at (630) 613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Frederick Alfred Newell is available on the SEC's website at www.adviserinfo.sec.gov.

Please retain this Form ADV Brochure Supplement for future reference, as it contains important information if you decide to add services or establish additional advisory accounts at Harvest Investment Services, LLC. We will provide you with an updated copy of this Brochure only if there are material changes to the information in Item 3 (Disciplinary Information).

Form ADV Brochure Supplement for Frederick Alfred Newell

Item 2 Educational Background and Business Experience

The business background information provided below is for the last five years.

Financial Adviser: **Frederick Newell**

Year of Birth: 1956

Education:

- Judson College, B.A., Management & Leadership, 2005

Business Background:

- Harvest Investment Services, LLC, CCO, 12/2011 to Present
- ProEquities, Inc., Investment Advisor Representative, 06/2007 to Present, General Securities Principal, 02/2005 to Present
- Harvest Financial Planning, LLC (formerly Integrity Financial Associates), Director of Operations, 12/2004 to Present

Item 3 Disciplinary Information

Mr. Newell does not have any disciplinary history.

Item 4 Other Business Activities

Frederick Newell is an investment advisor representative and general securities principal with ProEquities, Inc. ("ProEquities"). ProEquities is a diversified financial services company engaged in the sale of specialized investment products. In these capacities, Mr. Newell may receive investment advisory fees and also recommend securities offered by ProEquities as part of your investment portfolio, respectively. If clients purchase these products through Mr. Newell, he will receive the customary commissions in his separate capacity as a registered representative of ProEquities. Additionally, Mr. Newell could be eligible to receive incentive awards such as ProEquities may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Newell an incentive to recommend investment products based on the compensation received, rather than on your investment needs. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Harvest Investment Services LLC's firm brochure for additional disclosures on this topic.

Frederick Newell is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Newell for insurance related activities. This presents a conflict of interest because Mr. Newell may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5 Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Newell's receipt of additional compensation as a result of his activities as a registered representative of ProEquities and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6 Supervision

Frederick Newell is the CCO and responsible for compliance and supervision at Harvest Investment Services, LLC. In the supervision of our associated persons, advice provided is limited based on the restrictions set by ProEquities, LLC, and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

Item 7 Requirements for State Registered Advisers

Frederick Newell has been the subject of a bankruptcy petition that was entered on September 22, 2009 which was discharged on December 29, 2009.

Harvest Investment Services, LLC

Date: January 9, 2012

1 Trans Am Plaza Drive, Suite 230
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Telephone: (630) 613-9230
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Form ADV Brochure Supplement for**John K. Alyo**

CRD No. 3228696

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Telephone: (630) 613-9230

This brochure supplement provides information about John K. Alyo that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact us at (630) 613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about John K. Alyo is available on the SEC's website at www.adviserinfo.sec.gov.

Please retain this Form ADV Brochure Supplement for future reference, as it contains important information if you decide to add services or establish additional advisory accounts at Harvest Investment Services, LLC. We will provide you with an updated copy of this Brochure only if there are material changes to the information in Item 3 (Disciplinary Information).

Form ADV Brochure Supplement for John K. Alyo

Item 2 Educational Background and Business Experience

The business background information provided below is for the last five years.

Your Financial Adviser: **John Alyo**

Year of Birth: 1975

Education:

Aurora University, Business Administration, 09/2010 to Present

Waubensee Community College Associates of Arts, Economics, 2008 to 2011

College of DuPage, Business, 1994 to 1998

Business Background: Harvest Investment Services, LLC, CIO / Director of Investments & Trading, 10/2011 to Present

ProEquities, Inc., General Securities Principal, Registered Representative and Investment Advisor Representative 01/2000 to Present

Harvest Financial Planning, LLC (formerly, Integrity Financial Associates), General Securities Principal and Director of Investments & Trading

Item 3. Disciplinary Information

Mr. Alyo does not have any reportable disciplinary history.

Item 4. Other Business Activities

John Alyo is an investment advisor representative and general securities principal with ProEquities, Inc. ("ProEquities"). ProEquities is a diversified financial services company engaged in the sale of specialized investment products. In these capacities, Mr. Alyo may receive investment advisory fees and also recommend securities offered by ProEquities as part of your investment portfolio, respectively. If clients purchase these securities through Mr. Alyo, he will receive the customary commissions in his separate capacity as a registered representative of ProEquities. Additionally, Mr. Alyo could be eligible to receive incentive awards such as ProEquities may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Alyo an incentive to recommend investment products based on the compensation received, rather than on your investment needs. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Harvest Investment Services LLC's firm brochure for additional disclosures on this topic.

John Alyo is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Newell for insurance related activities. This presents a conflict of interest because Mr. Newell may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

John Alyo is Treasurer of the Orchard Valley Baptist Church, and devotes less than 10% of his professional time as such. Mr. Alyo's duties as Treasurer of the Orchard Valley Baptist Church do not create a conflict of interest to his provision of advisory services through Harvest Investment Services, LLC.

Item 5. Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Aloy's receipt of additional compensation as a result of his activities as a registered representative, investment advisor representative of ProEquities, and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6. Supervision

John Aloy is supervised on an ongoing basis by Frederick Newell, CCO of Harvest Investment Services, LLC. Mr. Newell can be reached at (630) 613-9230. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

Item 7. Requirements for State Registered Advisers

John Aloy does not have, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of BRIAN A PEARDON. If you have any questions about the contents of this brochure, please contact us at (916) 725-0613 and/or brian@invest4u.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

BRIAN A PEARDON

2237 DOUGLAS BLVD, SUITE 120
ROSEVILLE, CA 95661
Phone: (916) 725-0613
Fax: (916) 725-0602
[E-Mail: brian@invest4u.com](mailto:brian@invest4u.com)

Additional information about BRIAN A PEARDON also is available on the SEC's website at www.adviserinfo.sec.gov.

06/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1972.

FORMAL EDUCATION

I have the following educational background:

Institution Name: University of Phoenix

Date Attended: 10/1999 to 07/2003

Degree Obtained: None

Major: Business Administration

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 06/2004 to 06/2008

Business Name: NEW YORK LIFE INSURANCE COMPANY

Investment Related: Y

City: ROSEVILLE

State: CA

Position Held: AGENT

Employment Dates: 02/2005 to 06/2008

Business Name: NYLIFE SECURITIES INC

Investment Related: Y

City: ROSEVILLE

State: CA

Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 06/2007 to 06/2008

Business Name: EAGLE STRATEGIES LLC Investment Related: Y

City: ROSEVILLE

State: CA

Position Held: FINANCIAL ADVISOR

Employment Dates: 06/2008 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: Roseville
State: CA
Position Held: REGISTERED REP

Employment Dates: 06/2008 to Present
Business Name: HARRISON FINANCIAL GROUP
Investment Related: Y
City: Roseville
State: CA
Position Held: Wealth Advisor

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 7; 24; 63; 65.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 24 License which allows me to supervise and manage branch activities. Before I received by Series 24, I had to pass the Series 7 Exam.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Arizona; California; Idaho; Illinois;

PROFESSIONAL DESIGNATIONS

I do not hold any professional designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative and an Investment Advisor Representative of ProEquities, Inc., which is duly registered as a Registered Investment Advisor, is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Raymond Harrison,
ProEquities, Office of Supervisory Jurisdiction
(916) 725-0613

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

Brian Peardon does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.

Harvest Investment Services, LLC

Date: February 1, 2012

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Telephone: (630) 613-9230
Facsimile: (630) 613-9126

Form ADV Brochure Supplement for**Christopher C. Jahntz**

CRD No. 2225680

1 Trans Am Plaza Drive, Suite 230
Oakbrook Terrace, IL 60181

Telephone: (630) 654-3210

This brochure supplement provides information about Christopher C. Jahntz that supplements the Harvest Investment Services, LLC brochure. You should have received a copy of that brochure. Please contact us at (630) 613-9230 if you did not receive Harvest Investment Services, LLC's brochure or if you have any questions about the contents of this supplement.

Additional information about Christopher C. Jahntz is available on the SEC's website at www.adviserinfo.sec.gov.

Please retain this Form ADV Brochure Supplement for future reference, as it contains important information if you decide to add services or establish additional advisory accounts at Harvest Investment Services, LLC. We will provide you with an updated copy of this Brochure only if there are material changes to the information in Item 3 (Disciplinary Information).

Form ADV Brochure Supplement for Christopher C. Jahntz

Item 2 Educational Background and Business Experience

The business background information provided below is for the last five years.

Your Financial Adviser: **Christopher C. Jahntz**

Year of Birth: 1955

Education:

- Elmhurst College, Business/Music, 09/1975 to 06/1978

Business Background:

- ProEquities, Inc., Registered Representative and Investment Advisor Representative
10/1997 to Present
- Mid-America Financial, CEO/President
Insurance and Annuity sales and Financial Services
09/1992 to Present

Item 3. Disciplinary Information

Mr. Jahntz does not have any reportable disciplinary history.

Item 4. Other Business Activities

Christopher Jahntz is an investment advisor representative and registered representative with ProEquities, Inc. ("ProEquities"). ProEquities is a diversified financial services company engaged in the sale of specialized investment products. In these capacities, Mr. Jahntz may receive investment advisory fees and also recommend securities offered by ProEquities as part of your investment portfolio, respectively. If clients purchase these securities through Mr. Jahntz, he will receive the customary commissions in his separate capacity as a registered representative of ProEquities. Additionally, Mr. Jahntz could be eligible to receive incentive awards such as ProEquities may offer. He may also receive 12b-1 fees from mutual funds that pay such fees. The receipt of additional compensation may give Mr. Jahntz an incentive to recommend investment products based on the compensation received, rather than on your investment needs. Please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Harvest Investment Services LLC's firm brochure for additional disclosures on this topic.

Christopher Jahntz is separately licensed as an independent insurance agent. In this capacity, he can effect transactions in insurance products for his clients and earn commissions for these activities. The fees you pay our firm for advisory services are separate and distinct from the commissions earned by Mr. Jahntz for insurance related activities. This presents a conflict of interest because Mr. Jahntz may have an incentive to recommend insurance products to you for the purpose of generating commissions rather than solely based on your needs. However, you are under no obligation, contractually or otherwise, to purchase insurance products through any person affiliated with our firm.

Item 5. Additional Compensation

Please refer to the *Other Business Activities* section above for disclosures on Mr. Jahntz' receipt of additional compensation as a result of his activities as a registered representative, investment advisor representative of ProEquities, and a licensed insurance agent.

Also, please refer to the *Fees and Compensation* section and the *Client Referrals and Other Compensation* section of Harvest Investment Services, LLC's firm brochure for additional disclosures on this topic.

Item 6. Supervision

Christopher Jahntz is supervised on an ongoing basis by Frederick Newell, CCO of Harvest Investment Services, LLC. Mr. Newell can be reached at (630-613-9230). In the supervision of our associated persons, advice provided is limited based on the restrictions set by ProEquities, Inc. and by internal decisions as to the types of investments that may be included in client portfolios. We conduct periodic reviews of client holdings and documented suitability information to provide reasonable assurance that the advice provided remains aligned with each client's stated investment objectives and with our internal guidelines.

Item 7. Requirements for State Registered Advisers

Christopher Jahntz does not have, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of DANIEL E WOODHULL, CPA, MBA, MS, Taxation. If you have any questions about the contents of this brochure, please contact us at (916) 966-8846 and/or daniel.woodhull@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

DANIEL E WOODHULL, CPA, MBA, MS, Taxation

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Roseville, CA 95661
Phone: (916) 966-8846
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[E-Mail: daniel.woodhull@pesmail.com](mailto:daniel.woodhull@pesmail.com)

Additional information about DANIEL E WOODHULL, CPA, MBA, MS, Taxation also is available on the SEC's website at www.adviserinfo.sec.gov.

06/14/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1949.

FORMAL EDUCATION

I have the following educational background:

Institution Name: University of Vermont
Date Attended: 09/1967 - 05/1971
Degree Obtained: Bachelor of Science
Major: Accounting and Finance

Institution Name: Golden Gate University
Date Attended: 09/1976 to 06/1978
Degree Obtained: Master of Arts
Major: Business

Institution Name: Golden Gate University
Date Attended: 09/1979 to 06/1981
Degree Obtained: Master of Science
Major: Taxation

Institution Name: Golden Gate Theology Seminary
Date Attended: 06/1999 thru Present
Degree Obtained: Other: Masters of Divinity
Major: Theological Studies and Counseling

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 1981 to Present
Business Name: DANIEL E WOODHULL CPA
Investment Related: N
City: CITRUS HEIGHTS
State: CA
Position Held: OTHER – OWNER

Employment Dates: 01/1997 to 01/2000
Business Name: H.D. VEST INVESTMENT SECURITIES, INC.
Investment Related: Y
City: IRVING
State: CA
Position Held: Registered Representative

Employment Dates: 01/1997 to 02/1999
Business Name: CARR WOODHULL & BROOKS LLP
Investment Related: N
City: ROSEVILLE
State: CA
Position Held: OTHER - CPA PARTNER

Employment Dates: 02/2000 to 01/2002
Business Name: MACKEN SECURITIES, INC.
Investment Related: Y
City: ROSEVILLE
State: CA
Position Held: Registered Representative

Employment Dates: 02/2002 to 04/2004
Business Name: AMERICAN UNITED LIFE
Investment Related: Y
City: INDIANAPOLIS
State: CA
Position Held: Registered Representative

Employment Dates: 02/2004 to 02/2005
Business Name: PROEQUITIES, INC.
Investment Related: Y
City: CITRUS HEIGHTS
State: CA
Position Held: Registered Representative

Employment Dates: 02/2005 to 04/2006
Business Name: ONE AMERICA SECURITIES
Investment Related: Y
City: INDIANAPOLIS
State: CA
Position Held: Financial Advisor

Employment Dates: 01/1999 to Present
Business Name: WOODHULL & BROCKMAN, CPA's
Investment Related: N
City: CITRUS HEIGHTS
State: CA
Position Held: PARTNER

Employment Dates: 01/2007 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: CITRUS HEIGHTS
State: CA
Position Held: Registered Representative

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 7; 63; 65; 66.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold a Series 66 License which indicates that I am familiar with state regulations as well as qualifying me as a Registered Investment Advisor ("RIA"). I can engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity. They are held in the following states: California.

PROFESSIONAL DESIGNATIONS

Designation Name: CPA - Certified Public Accountant
Accredited Sponsor: California Board of Accountancy
Date Earned: 6/1/1981

CPA - Certified Public Accountant

Issued by the American Institute of CPAs. Must complete the Uniform CPA Examination to become licensed as a CPA.

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels. Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Raymond Harrison
as ProEquities, Office of Supervisory Jurisdiction
(916) 725-0613

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of DAVID W CONARD. If you have any questions about the contents of this brochure, please contact us at (630) 613-9230 and/or dconard@harvestfpgroup.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

DAVID W CONARD

1 TRANS AM PLAZA DR STE 230
OAKBROOK TERRACE, IL 60181
Phone: (630) 613-9230
Fax: (630) 613-9126
E-Mail: dconard@harvestfpgroup.com

Additional information about DAVID W CONARD also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1956.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Ball State University
Date Attended: 07/1974 to 08/1978
Degree Obtained: Bachelor of Arts
Major: Speech Communication

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 05/2006 to 11/2009
Business Name: WORLD EQUITY GROUP, INC
Investment Related: Y
City: Arlington Heights
State: IL
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 11/2009 to 11/2010
Business Name: CHASE INVESTMENT SERVICES CORP
Investment Related: Y
City: Merrillville
State: IN
Position Held: FINANCIAL ADVISOR

Employment Dates: 12/2010 to 11/2011
Business Name: TEACHERS CREDIT UNION
Investment Related: Y
City: St John
State: IN
Position Held: FINANCIAL ADVISOR

Employment Dates: 12/2010 to 11/2011
Business Name: CUNA BROKERAGE SERVICES, INC
Investment Related: Y
City: Waverly
State: IA
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 10/2009 to Present
Business Name: EMERALD WEDDING DJs
Investment Related: N
City: Channahon
State: IL
Position Held: OWNER

Employment Dates: 04/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES, LLC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: FINANCIAL ADVISOR

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 7; 63; 65.

I am currently not registered with a broker/dealer. My Series 6 license would, upon active registration with a broker/dealer, allow me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I am currently not registered with a broker/dealer. My Series 7 license would, upon active registration with a broker/dealer, allow me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Indiana.

PROFESSIONAL DESIGNATIONS

I do not hold any designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised Harvest Investment Services, LLC.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags.

My direct supervisor is:

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

David Conard does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of DONALD G FLENNIKEN. If you have any questions about the contents of this brochure, please contact us at (810) 732-5752 and/or don.f@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

DONALD G FLENNIKEN

2029 S. ELMS ROAD - BLDG C, SUITE V
SWARTZ CREEK, MI 48473
Phone: (810) 732-5752
Fax: 810-244-0373
[E-Mail: don.f@pesmail.com](mailto:don.f@pesmail.com)

Additional information about DONALD G FLENNIKEN also is available on the SEC's website at www.adviserinfo.sec.gov.

8/10/2011

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1950.

FORMAL EDUCATION

I have the following educational background:

Institution Name: New Mexico State University
Date Attended: 06/1969 to 06/1970
Degree Obtained: None
Major: General

Institution Name: College for Financial Planning
Date Attended: 01/1990 to 07/1994
Degree Obtained: Other: Certificate of Course Completion
Major: Financial Planning

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 03/1986 to Present
Business Name: COLUMBUS LIFE INSURANCE CO.
Investment Related: N
City: CINCINNATI
State: OH
Position Held: OTHER - GENERAL AGENT

Employment Dates: 07/1977 to Present
Business Name: SELF EMPLOYED/INSURANCE AGENT
Investment Related: N
City: FLINT
State: MI
Position Held: SELF EMPLOYED AGENT

Employment Dates: 12/1986 to 11/1992
Business Name: MARINER FINANCIAL SERVICES,
INC. Investment Related: Y

City: LIVONIA
State: MI
Position Held: NOT PROVIDED

Employment Dates: 11/1992 to 05/1997
Business Name: CAPITAL ANALYSTS, INCORPORATED
Investment Related: Y
City: FLINT
State: MI
Position Held: NOT PROVIDED

Employment Dates: 06/1997 to 12/2001
Business Name: VESTAX SECURITIES CORPORATION
Investment Related: Y
City: FLINT
State: MI
Position Held: NOT PROVIDED

Employment Dates: 01/2002 to Present
Business Name: PROEQUITIES, INC.
Investment Related: Y
City: FLINT
State: MI
Position Held: REG REP

Employment Dates: 04/1986 to 12/1986
Business Name: American Capital Financial Services
Investment Related: Y
City: Houston
State: TX
Position Held: Registered Representative

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 62; 63.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I hold a Series 62 License which allows me to trade Corporate Securities only. Corporate Securities include Corporate Stocks and Bonds, warrants, rights, Collateralized Mortgage Obligations and Exchange Traded Funds.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: California; Florida; Michigan; New Mexico.

PROFESSIONAL DESIGNATIONS

I hold the following professional designations:

Designation Name: CFP - Certified Financial Planner
Accredited Sponsor: Certified Financial Planner Board of Standards
Date Earned: 3/27/1995

Designation Name: CEPP - Chartered Estate Planning Professional
Accredited Sponsor: Abts Institute for Estate Preservation Date
Earned: 2/27/2003

CEPP - Chartered Estate Planning Professional

Issued by the Estate Planning Institute representatives holding this designation have been exposed to all aspects of estate and generational planning.

CFP - Certified Financial Planner

Issued by the Certified Financial Planner Board of Standards, Inc. CFPs use a six step process in a flexible manner to review, discover and solve financial problems and achieve life goals by serving as both financial counselor and coach.

Item 3 Disciplinary Information

I have been involved in a proceeding of a regulator. The details are as follows: In 1981 I received a \$1000 fine by the State of Michigan Insurance Bureau for replacing life insurance policies without the proper replacement forms. I did not do this intentionally but after paying the fine I am now well aware of the law and I live within its bounds. The charges were from a Life Insurance company and never from a client. My General Agent at the time negotiated the fine and advised me to settle. I had no direct contact with the State of Michigan Insurance Bureau at that time. My license was never revoked or suspended.

Item 4 Other Business Activities

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:

- ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
- ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
- ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

OBA Information:

1. THE ESTATE PLAN AND ATS; REPRESENTATIVE; STARTED 1/2001; 5 HRS/MO. 2. DBA: FLENNIKEN FINANCIAL GROUP 3. DONALD FLENNIKEN FIXED INSURANCE SALES. 4. FLENNIKEN FINANCIAL GROUP; OWNER; START 2001; 2HRS MONTH. 5. INDEPENDENT MONAVIE DISTRIBUTOR; DISTRIBUTE NUTRITIONAL HEALTH PRODUCT; START 9/2006; 10 HRS PER MONTH

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. at several levels. Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisor is:
HARRISON RAYMOND
(916) 725-0613



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of FLOYD E. DAVIS. If you have any questions about the contents of this brochure, please contact us at (925) 743-1678 and/or floyd.davis@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

FLOYD E. DAVIS, CFP®

811 SAN RAMON VALLEY BLVD, SUITE 100
DANVILLE, CA 94526
Phone: (925) 743-1678
Fax: (925) 743-1601
[E-Mail: floyd.davis@pesmail.com](mailto:floyd.davis@pesmail.com)

Additional information about FLOYD E. DAVIS also is available on the SEC's website at www.adviserinfo.sec.gov.

06/14/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1957.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Chabot Jr. College
Date Attended: 09/1975 to 12/1979
Degree Obtained: None
Major: Accounting

Institution Name: California State University of Hayward
Date Attended: 01/1980 to 12/1981
Degree Obtained: None
Major: Business Administration with Option in Accounting

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 04/1998 - 08/2001
Business Name: Bayside Financial, LLC
Investment Related: Y
City: Walnut Creek
State: CA
Position Held: Registered Rep

Employment Dates: 08/2001 to 10/2003
Business Name: Waddell & Reed Inc.
Investment Related: Y
City: Dublin
State: CA
Position Held: Financial Advisor

Employment Dates: 10/2003-03/2005
Business Name: ProEquities, Inc.
Investment Related: Y
City: DANVILLE
State: CA
Position Held: Financial Advisor

Employment Dates: 03/2005 to 08/2005
Business Name: Kearney-Burch Financial Services, Inc. (ProEquities, Inc.)
Investment Related: Y
City: San Ramon
State: CA
Position Held: Financial Advisor

Employment Dates: 09/2005 to Present
Business Name: ProEquities, Inc.
Investment Related: Y
City: Danville
State: CA
Position Held: Financial Advisor

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 7; 63; 66.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 66 License which indicates that I am familiar with state regulations as well as qualifying me as a Registered Investment Advisor ("RIA"). I can engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following state(s): California.

PROFESSIONAL DESIGNATIONS

Designation Name: CFP® - Certified Financial Planner
Accredited Sponsor: CFP Board of Standards
Date Earned: April, 2006

CFP® - Certified Financial Planner

Issued by the Certified Financial Planner Board of Standards, Inc. CFPs use a six step process in a flexible manner to review, discover and solve financial problems and achieve life goals by serving as both financial counselor and coach.

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not a registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Raymond Harrison
as ProEquities, Office of Supervisory Jurisdiction
(916) 725-0613

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of HARRY T JOHNSON. If you have any questions about the contents of this brochure, please contact us at (309) 689-1985 and/or harry@johnsonfg.net. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

HARRY T JOHNSON

6808 N KIMBERLY DR
PEORIA, IL 61614
Phone: (309) 689-1985
Fax: (309) 689-1991
E-Mail: harry@johnsonfg.net

Additional information about HARRY T JOHNSON also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1957.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Illinois Central College

Date Attended: 01/1977 to 05/1983

Degree Obtained: None

Major: GENERAL BUSINESS

Institution Name: Midstate College

Date Attended: 02/1980 to 12/1980

Degree Obtained: None

Major: AIRLINE ./ TRAVEL

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 07/1993 to Present

Business Name: JOHNSON FINANCIAL GROUP

Investment Related: Y

City: Peoria

State: IL

Position Held: FINANCIAL ADVISOR

Employment Dates: 02/2007 to Present

Business Name: PROEQUITIES, INC

Investment Related: Y

City: Peoria

State: IL

Position Held: REGISTERED REPRESENTATIVE

Founded Johnson Financial Group and predecessors in 1993 to Provide Lifetime Wealth Management planning to our clients including: Investment, Asset Management, Portfolio Design and Allocation, Insurance and Estate Planning, and Overall Wealth Planning services.

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 26; 63; 65.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I hold a Series 26 License which allows me to manage or supervise representatives whose activities are limited to the offer, sale, or purchase of mutual funds, closed end funds, or the sale of variable annuity contracts.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Florida.

PROFESSIONAL DESIGNATIONS

I do not hold any designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

Harry Johnson does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



This brochure provides information about the qualifications and business practices of EVERETT WAYNE COPELIN. If you have any questions about the contents of this brochure, please contact us at (281) 240-2902 and/or wayne@copelinfincial.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

EVERETT WAYNE COPELIN

CRD# 1570889

514 BROOKS STREET
SUGAR LAND, TX 77478

Phone: (281) 240-2902

Fax: (281) 240-2856

E-Mail: wayne@copelinfincial.com

Additional information about EVERETT WAYNE COPELIN also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1946.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Houston Baptist University
Date Attended: 09/1964 to 06/1968
Degree Obtained: Bachelor of Arts
Major: Psychology

Institution Name: Southwestern Theological Seminary
Date Attended: 09/1971 to 06/1976
Degree Obtained: Master of Arts
Major: Theology

Institution Name: Houston Baptist University
Date Attended: 09/1964 to 06/1968
Degree Obtained: Bachelor of Arts
Major: Psychology

Institution Name: Southwestern Theological Seminary
Date Attended: 09/1971 to 07/1976
Degree Obtained: Master of Arts
Major: Theology

Institution Name: Southwestern Theological Seminary
Date Attended: 07/1976 to 05/1981
Degree Obtained: Doctoral Degree
Major: History

Institution Name: University of Houston
Date Attended: 01/1994 to 06/1995
Other: 48 semester hrs completed toward 54 semester hr MBA Major: Finance

Institution Name: College For Financial Planning
Date Attended: 01/2002 to 12/2007
Other: 18 semester hrs completed toward 36 hr Master of Financial Planning
Major: Family Financial Planning

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 06/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES
d/b/a Copelin Financial Advisors
Investment Related: Y
City: SUGAR LAND
State: TX
Position Held: Financial Advisor

Employment Dates: 01/1998 to Present
Business Name: Copelin Financial Services, Inc.
Investment Related: Y
City: SUGAR LAND
State: TX
Position Held: President/Owner

Employment Dates: 07/2004 to Present
Business Name: Copelin Financial Advisors, Inc.
Investment Related: Y
City: SUGAR LAND
State: TX
Position Held: President/Owner

Employment Dates: 09/1997 to Present
Business Name: PROEQUITIES, INC.
Investment Related: Y
City: HOUSTON
State: TX
Position Held: Financial Advisor

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities exam(s): 7; 63.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 exam allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 63 exam which is required by some states before I can sell securities in that state. A Series 63 exam indicates I am familiar with state regulations.

PROFESSIONAL DESIGNATIONS

I hold the following professional designations:

Designation Name: CFP - Certified Financial Planner

The CERTIFIED FINANCIAL PLANNER™, CFP® and federally registered CFP (with flame design) marks (collectively, the “CFP® marks”) are professional certification marks granted in the United States by Certified Financial Planner Board of Standards, Inc. (“CFP Board”).

The CFP® certification is a voluntary certification; no federal or state law or regulation requires financial planners to hold CFP® certification. It is recognized in the United States and a number of other countries for its (1) high standard of professional education; (2) stringent code of conduct and standards of practice; and (3) ethical requirements that govern professional engagements with clients. Currently, more than 62,000 individuals have obtained CFP® certification in the United States.

To attain the right to use the CFP® marks, an individual must satisfactorily fulfill the following requirements:

- Education – Complete an advanced college-level course of study addressing the financial planning subject areas that CFP Board’s studies have determined as necessary for the competent and professional delivery of financial planning services, and attain a Bachelor’s Degree from a regionally accredited United States college or university (or its equivalent from a foreign university). CFP Board’s financial planning subject areas include insurance planning and risk management, employee benefits planning, investment planning, income tax planning, retirement planning, and estate planning;
- Examination – Pass the comprehensive CFP® Certification Examination. The examination, administered in 10 hours over a two-day period, includes case studies and client scenarios designed to test one’s ability to correctly diagnose financial planning issues and apply one’s knowledge of financial planning to real world circumstances;
- Experience – Complete at least three years of full-time financial planning-related experience (or the equivalent, measured as 2,000 hours per year); and
- Ethics – Agree to be bound by CFP Board’s *Standards of Professional Conduct*, a set of documents outlining the ethical and practice standards for CFP® professionals.

Individuals who become certified must complete the following ongoing education and ethics requirements in order to maintain the right to continue to use the CFP® marks:

- Continuing Education – Complete 30 hours of continuing education hours every two years, including two hours on the *Code of Ethics* and other parts of the *Standards of Professional Conduct*, to maintain competence and keep up with developments in the financial planning field; and
- Ethics – Renew an agreement to be bound by the *Standards of Professional Conduct*. The Standards prominently require that CFP® professionals provide financial planning services at a fiduciary standard of care. This means CFP® professionals must provide financial planning services in the best interests of their clients.

CFP® professionals who fail to comply with the above standards and requirements may be subject to CFP Board’s enforcement process, which could result in suspension or permanent revocation of their CFP® certification.

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative and an Investment Advisor Representative of ProEquities, Inc., which is duly registered as a Registered Investment Advisor, is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

OBA Information:

1.DBA: COPELIN FINANCIAL ADVISORS, INC,INVESTMENT RELATED, 514 BROOKS ST, SUGAR LAND TX 77478, FINANCIAL/FIXED INSURANCE SALES,PRESIDENT/OWNER,STARTED 07/1998, 200 HRS/MO. 2. REAL ESTATE; NOT INVESTMENT RELATED, 2 PROPERTIES LOCATED IN SUGAR LAND TX, RESIDENTIAL RENTALS, LANDLORD, STARTED 02/01/2005 AND 08/01/2007, 0 HR/MO., 3. RETIREMENT PLANNING TODAY; NOT INVESTMENT RELATED, KATY ISD AND SPRING BRANCH ISD (VARIOUS LOCAL HIGH SCHOOLS), INSTRUCTOR/TEACH GENERAL INFORMATION ABOUT RETIREMENT PLANNING; 01/1999, 2 HRS/MO. 4. CHRIST'S HOPE AND RECONCILIATION MINISTRY, INC (CHARM, INC), NOT INVESTMENT RELATED, 1901 NORCREST DR., HOUSTON, TX 77055, MEMBER BOARD OF DIRECTORS, STARTED10/01/2010, 2 HRS/MO.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory

services. **Item 6 Supervision**

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. at several levels. Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Suzette M. Foster,
Senior Compliance Director
ProEquities, Inc.
(205) 268-3369

Frederick A. Newell, CCO
Harvest Investment Services,
LLC (630) 613-9230

Item 7 Requirements for State Registered Advisers

E Wayne Copelin does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of JACK W TEBODA. If you have any questions about the contents of this brochure, please contact us at (847) 741-2284 and/or jack@teboda.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

JACK W TEBODA

81 Market Street Suite 5
Elgin, IL 60123
Phone: (847) 741-2284
Fax: (847) 741-2398
E-Mail: jack@teboda.com

Additional information about JACK W TEBODA also is available on the SEC's website at www.adviserinfo.sec.gov.

07/13/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1949.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Iowa State University
Date Attended: 08/1968 thru 06/1973
Degree Obtained: Bachelor of Science
Majors: Education

Institution Name: Northern Illinois University
Date Attended: 08/1973 thru 06/1975
Degree Obtained: Master of Science
Major: Education

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 02/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES, LLC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: FINANCIAL ADVISOR

Employment Dates: 10/1998 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: Elgin
State: IL
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 07/1978 to Present
Business Name: TEBODA & ASSOCIATES
Investment Related: Y
City: Elgin
State: IL
Position Held: FINANCIAL ADVISOR

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 22; 62; 63; 65.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I hold a Series 22 License which allows me to deal with various forms of Direct Participation Programs (DPPs), such as real estate, oil and gas and limited partnerships.

I hold a Series 62 License which allows me to trade Corporate Securities only. Corporate Securities include Corporate Stocks and Bonds, warrants, rights, Collateralized Mortgage Obligations and Exchange Traded Funds.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Florida; Wisconsin.

PROFESSIONAL DESIGNATIONS

I hold the following professional designations: CEP®

The **CERTIFIED ESTATE PLANNER™**, **CEP®** is granted by the National Institute of Certified Estate Planners ("NICEP") and is awarded after:

- the application is completed
- the corresponding educational component has been satisfied, and
- the qualifying examination has been successfully passed.

The educational component is completed through an interactive discussion of the course highlights done either live or online, in combination with reading and understanding significant self study materials. Candidates spend an average of 5 - 6 months of combined study and preparation between the live online sessions and self-study materials, before sitting for the proctored exam.

Successful completion of the CEP® Certification program requires that each candidate score a minimum of 70% on at least 100 multiple choice questions taken from a bank of 280 qualifying exam questions. To assure that each examination takes place without the aid of study notes, materials, or assistance, the exams must be administered by an independent proctor who must be another licensed professional who is neither related to or under the employ or influence of the candidate.

To use the CEP® Certification ongoing, certificants must by complete the NICEP continuing education requirement of a minimum of eight (8) hours every two (2) years in the area of estate planning, and adhere to the NICEP professional code of ethics which requires model business behavior, compliance with State and Federal licensing authorities, compliance with represented professional companies and organizations, proper notification procedures, and submission to the findings and rulings of the NICEP with regard to the continued use of any certification which is conferred by the NICEP.

Item 3 Disciplinary Information

I have been involved in a proceeding with a regulator. The details are as follows: In April of 2005 a customer alleged suitability concerns with regards to the sale of an investment. Without admitting any wrongdoing and to avoid further expense and uncertainty the matter was settled with the customer. I have re-doubled my efforts to communicate clearly all aspects of a recommendation and seek to maintain the appropriate focus on all aspects of a customer's suitability concerns.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

Jack Teboda does have one reportable claim. Please see response to Item 3 above. Jack Teboda has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of KENNETH J. WILKINSON. If you have any questions about the contents of this brochure, please contact us at (925) 743-1678 and/or kenneth.wilkinson@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

KENNETH J. WILKINSON

811 SAN RAMON VALLEY BLVD, SUITE 100
DANVILLE, CA 94526
Phone: (925) 743-1678
Fax: (925) 743-1601
[E-Mail: kenneth.wilkinson@pesmail.com](mailto:kenneth.wilkinson@pesmail.com)

Additional information about KENNETH J. WILKINSON also is available on the SEC's website at www.adviserinfo.sec.gov.

06/14/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1941.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Cal State University East Bay
Date Attended: 09/1963 to 06/1967
Degree Obtained: Bachelor of Science
Major: Finance

Institution Name: Stafford University
Date Attended: 09/2000 to 06/2001
Degree Obtained: Master of Science
Major: Business & Financial Planning

President of the business school for two years (Cal State) President Delta Sigma Pi Fraternity Chapter(Cal State) (Business & Finance Organization)

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 3/1990 - 12/2000
Business Name: WILKINSON INSURANCE AGENCY
Investment Related: N
City: PLEASANTON
State: CA
Position Held: OWNER/GENERAL AGENT

Employment Dates: 12/2000 - present
Business Name: PROEQUITIES
Investment Related: Y
City: BIRMINGHAM
State: AL
Position Held: REGISTERED REP

Employment Dates: 12/2000-Present
Business Name: WILKINSON INSURANCE AGENCY
Investment Related: N
City: DANVILLE
State: CA
Position Held: CEO/GENERAL AGENT

Employment Dates: 3/2002
Business Name: Spectrum Synergy Group LLC
Investment Related: Y
City: DANVILLE
State: CA
Position Held: CEO/CONSULTANT

Spectrum Synergy Group, LLC is a consulting and wealth management firm. Its focus is on business, estate planning and retirement planning solutions. The firm works with various wealth managers, accounting (CPA), legal (Attorney & Para Legal) and actuarial / third party administration strategic relationships. Wilkinson Insurance Agency has been a national leading organization for various major insurance carriers. The firm assists its' clients and other advisor's in estate and business planning strategies.

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 7; 63; 65.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: California; Michigan; South Dakota; Washington.

PROFESSIONAL DESIGNATIONS

I hold the following professional designations:

Designation Name: CEP - Certified Estate Planner
Accredited Sponsor: National Institute Certified Estate Planners
Date Earned: 1/8/1999

Designation Name: CEPP - Chartered Estate Planning Professional
Accredited Sponsor: The Estate Plan
Date Earned: 5/17/2001

Designation Name: RFC - Registered Financial Consultant
Accredited Sponsor: International Association Of Registered Consultants
Date Earned: 2/7/2005

Designation Name: CSA - Certified Senior Advisor
Accredited Sponsor: The Society Of Certified Senior Advisors
Date Earned: 1/8/1999

CEP - Certified Estate Planner

Issued by National Institute of Estate Planners, this Certification indicates that the Representative has been exposed to all levels of Estate Planning from Estate Planning Concepts to the details around Wills, Trusts and more.

CSA - Certified Senior Advisor

Issued by the Society of Certified Senior Advisors. Reps with this designation focus on the senior individual from aging trends to health issues to estate planning etc.

RFC - Registered Financial Consultant

Issued by the International Association of Registered Financial Consultants. Candidates must meet all of the following requirements: Undergraduate or graduate financial planning degree, or have earned one of the following designations: AAMS, AEP, CEP, CFA, CFP, ChFC, CLU, CPA, EA, LUTC, MS, MBA, JD, Ph.D, or completed a CFP equivalent, IARFC-approved college curriculum. Licensing requirements: if operating on a commission basis, must meet licensing requirements for securities and life and health insurance; if operating strictly as fee-only and not licensed, then must be registered as an investment adviser. Four years full-time experience as a financial planning practitioner.

Item 3 Disciplinary Information

I have been involved in a bankruptcy. The details are as follows: Bankruptcy # 11-47727 filed 7/21/2011. In a long term dispute with the IRS over taxes dating back to 1996, the following occurred: I have signed agreements and made payments. In 2010 it was clear that the continual penalties and interest on what were small amounts of taxes disputed for 1996-2007 had reached six figures. In 2011, I filed an offer-in-compromise to resolve the issue. It was rejected. After getting tax and legal counsel I filed the noted bankruptcy to resolve the issue and get the tax bill down to a resolvable amount.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not a registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Raymond Harrison
as ProEquities, Office of Supervisory Jurisdiction
(916) 725-0613

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of RAYMOND HARRISON. If you have any questions about the contents of this brochure, please contact us at (916) 725-0613 17 and/or rayh@invest4u.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

RAYMOND HARRISON

2237 DOUGLAS BLVD, SUITE 120
ROSEVILLE, CA 95661
Phone: (916) 725-0613 17
Fax: (916) 725-0602
[E-Mail: rayh@invest4u.com](mailto:rayh@invest4u.com)

Additional information about RAYMOND HARRISON also is available on the SEC's website at www.adviserinfo.sec.gov.

06/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1950.

FORMAL EDUCATION

I have the following educational background:

Institution Name: American College, Byrn Mawr, PA

Date Attended: 08/1979 - 03/1984

Degree Obtained: Other: Chartered Life Underwriter, CLU and Chartered Financial Consultant, ChFC

Major: Economics, Business, Taxation and Financial, Insurance and Estate Planning

Institution Name: Purdue University, West Lafayette,

In Date Attended: 01/1976 to 09/1977

Degree Obtained: Other: Graduated, Top of class, Life Insurance Marketing Institute, LIM

Major: Insurance and Estate Planning career courses

Institution Name: Midwestern Baptist College, Pontiac Michigan

Date Attended: 1970 - 1972

Degree Obtained: Other: In 1972 a career change into the financial services environment was instituted.

Major: History, Literature and Communications

Institution Name: Mott Community College, Flint, Michigan

Date Attended: 1968 - 1970

Degree Obtained: Other: 2 years of skilled trades apprenticeship for Machine - Tool & Die Maker career course

Major: Skilled Trades

Graduated Top of Class 1977 Purdue University: Life Insurance Marketing Institute, West Lafayette, Indiana

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 10/1980 to Present

Business Name: HARRISON FINANCIAL GROUP

Investment Related: Y
City: ROSEVILLE
State: CA
Position Held: Founder, CEO

Employment Dates: 11/1992 to 05/1995
Business Name: CAPITAL ANALYSTS, INCORPORATED
Investment Related: Y
City: CITRUS HEIGHTS
State: CA
Position Held: Registered Prinipal and Registered Representative

Employment Dates: 04/1995 to 12/1998
Business Name: MAIN STREET MANAGEMENT COMPANY
Investment Related: Y
City: CITRUS HEIGHTS
State: CA
Position Held: Registered Representative and Registered Principal

Employment Dates: 11/1998 to 06/2001
Business Name: SELECT CAPITAL CORPORATION
Investment Related: Y
City: CITRUS HEIGHTS
State: CA
Position Held: Registered Representative and Registered Principal

Employment Dates: 01/1999 to 12/2001
Business Name: AMERICAN UNITED LIFE INSURANCE COMPANY
Investment Related: Y
City: FRESNO
State: CA
Position Held: Registered Representative and Registered Principal

Employment Dates: 06/2001 to 12/2001
Business Name: AUL EQUITY SALES CORP
Investment Related: Y
City: FRESNO
State: CA
Position Held: REgistered Representative and Registered Principal

Employment Dates: 01/2002 to Present
Business Name: PROEQUITIES, INC.
Investment Related: Y
City: CITRUS HEIGHTS

State: CA

Position Held: Registered Representative and Registered Principal

Founded Harrison Financial Group and its predecessors to Provide Lifetime Wealth Management planning to our clients. Investment, Asset Management, Portfolio Design and Allocation, Insurance and Estate Planning, and Overall Wealth Planning services.

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 7; 24; 63; 65.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 24 License which allows me to supervise and manage branch activities. Before I received by Series 24, I had to pass the Series 7 Exam.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Arizona; Alaska; Arkansas; California; Illinois; Indiana; Michigan; Minnesota.

PROFESSIONAL DESIGNATIONS

I hold the following professional designations:

Designation Name: CLU - Chartered Life Underwriter
Accredited Sponsor: The American College, Bryn Mawr, PA
Date Earned: 10/12/1985

Designation Name: ChFC - Chartered Financial Consultant
Accredited Sponsor: The American College, Bryn Mawr, Pennsylvania
Date Earned: 10/12/1985

Designation Name: RFC - Registered Financial Consultant
Accredited Sponsor: International Association of Registered Financial Consultants

Date Earned: 2/1/2001

Designation Name: CEPP - Chartered Estate Planning Professional
Accredited Sponsor: ABTS Institute for Estate Planning - The Estate Plan
Date Earned: 3/1/2000

CEP - Certified Estate Planner

Issued by National Institute of Estate Planners, this Certification indicates that the Representative has been exposed to all levels of Estate Planning from Estate Planning Concepts to the details around Wills, Trusts and more.

CEPP - Chartered Estate Planning Professional

Issued by the Estate Planning Institute representatives holding this designation have been exposed to all aspects of estate and generational planning.

ChFC - Chartered Financial Consultant

Issued by the American College. Representatives holding this designation have been exposed to key financial planning disciplines including insurance, income taxation, retirement planning, investments and estate planning.

CLU - Chartered Life Underwriter

Issued by the American College, representatives holding a CLU have received a comprehensive education in financial services, life insurance & estate planning, retirement planning, group benefits and health insurance.

RFC - Registered Financial Consultant

Issued by the International Association of Registered Financial Consultants. Candidates must meet all of the following requirements: Undergraduate or graduate financial planning degree, or have earned one of the following designations: AAMS, AEP, CEP, CFA, CFP, ChFC, CLU, CPA, EA, LUTC, MS, MBA, JD, Ph.D, or completed a CFP equivalent, IARFC-approved college curriculum. Licensing requirements: if operating on a commission basis, must meet licensing requirements for securities and life and health insurance; if operating strictly as fee-only and not licensed, then must be registered as an investment adviser.

Four years full-time experience as a financial planning practitioner.

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative and an Investment Advisor Representative of ProEquities, Inc., which is duly registered as a Registered Investment Advisor, is a registered broker/dealer and a member of FINRA and SIPC.

- ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
- ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

OBA Information:

DBA AND INSURANCE SALES: HARRISON FINANCIAL GROUP, INC; INVESTMENT RELATED; 2237 DOUGLAS BLVD., SUITE 120, ROSEVILLE, CA 95661; SECURITIES; FIXED INSURANCE PRODUCTS; LIFE INSURANCE AGENT; STARTED 01/2004; 160 HRS/MO. 2. EQUITY INDEXED ANNUITIES WITH ALLIANZ LIFE; NOT INVESTMENT RELATED; 1 HR/MO. 3. LIFE SETTLEMENTS WITH COVENTRY FIRST; NOT INVESTMENT RELATED; 0 HR/MO. 4. INDEPENDENT MONAVIE BEVERAGE, NOT INVESTMENT RELATED; 2237 DOUGLAS BLVD, SUITE 120, ROSEVILLE, CA 95661; NETWORK DISTRIBUTION OF PRODUCT, DISTRIBUTOR, STARTED 02/01/2007; 2 HRS/MO. 5. SACRAMENTO YOUTH FOR CHRIST MINISTRY, NOT INVESTMENT RELATED; 9823 OLD WINERY PLACE SUITE 12 SACRAMENTO, CA 95827; MONTHLY BOARD MEETING OF 60 YEAR OLD MINISTRY; MEMBER OF BOARD OF DIRECTORS; STARTED 02/01/2009; 4 HRS/MO.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels. Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:
Suzette M. Foster,
Senior Compliance
Director ProEquities, Inc.
(205) 268-3369

Frederick A. Newell, CCO
Harvest Investment Services,
LLC (630) 613-9230

Item 7 Requirements for State Registered Advisers

Raymond Harrison does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of ROBERT S BROWN. If you have any questions about the contents of this brochure, please contact us at (847) 674-7658 and/or rbrown@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

ROBERT S BROWN

9511 RIDGEWAY AVENUE
EVANSTON, IL 60203
Phone: (847) 674-7658
Fax: (847) 674-7685
E-Mail: rbrown@pesmail.com

Additional information about ROBERT S BROWN also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1945.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Purdue University

Date Attended: 09/1963 to 01/1968

Degree Obtained: Bachelor of Science

Major: Industrial Management

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 05/2012 to Present

Business Name: HARVEST INVESTMENT SERVICES, LLC

Investment Related: Y

City: Oakbrook Terrace

State: IL

Position Held: FINANCIAL ADVISOR

Employment Dates: 09/1999 to Present

Business Name: PROEQUITIES, INC

Investment Related: Y

City: Evanston

State: IL

Position Held: REGISTERED REPRESENTATIVE

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 7; 63; 65.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Indiana; Wisconsin.

PROFESSIONAL DESIGNATIONS

I hold the following professional designations:

Designation Name: CEP - Certified Estate Planner
Accredited Sponsor: NICEP
Date Earned:

CEP - Certified Estate Planner

Issued by National Institute of Estate Planners, this Certification indicates that the Representative has been exposed to all levels of Estate Planning from Estate Planning Concepts to the details around Wills, Trusts and more.

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Description: Chicago Swedish Glee Club ,Treasurer and Board Member; American Union of Swedish Singers (AUSS) Central Division, Secretary; 6133 NW Highway Real Estate, LLC, Board Member.

Potential Conflict of Interest: Chicago Swedish Glee Club has two accounts with ProEquities. The relationship was disclosed when the accounts were established. Account reports are sent to another board member.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

Robert Brown does not have, any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of STEVEN S WAGNER. If you have any questions about the contents of this brochure, please contact us at (630) 613-9230 and/or steve.wagner@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

STEVEN S WAGNER

1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace, IL 60181
Phone: (630) 613-9230
Fax: (630) 613-9126
E-Mail: steve.wagner@pesmail.com

Additional information about STEVEN S WAGNER also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1959.

FORMAL EDUCATION

I have the following educational background:

Institution Name: University of Cincinnati
Date Attended: 08/1977 thru 06/1981
Degree Obtained: Bachelor of Business Administration
Majors: Marketing, Finance, German

Institution Name: University of Toronto
Date Attended: 06/1984 thru 06/1987
Degree Obtained: Masters of Business Administration
Major: Business Administration

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 02/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES, LLC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: FINANCIAL ADVISOR

Employment Dates: 07/2010 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 01/2010 to Present
Business Name: REALVEST FINANCIAL CORP
Investment Related: N
City: Hinsdale
State: IL
Position Held: PRESIDENT

Employment Dates: 11/2010 to Present
Business Name: PROSPECT EQUITIES
Investment Related: N
City: Oakbrook Terrace
State: IL
Position Held: REAL ESTATE AGENT / BROKER

Employment Dates: 06/2007 to 07/2010
Business Name: SIGMA FINANCIAL CORP
Investment Related: Y
City: Ann Arbor
State: MI
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 03/2007 to 06/2007
Business Name: WADDELL & REED INC
Investment Related: Y
City: Oakbrook
State: IL
Position Held: REGISTERED REPRESENTATIVE

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 7; 66.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 66 License which indicates that I am familiar with state regulations as well as qualifying me as a Registered Investment Advisor ("RIA"). I can engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Florida; North Carolina; Wisconsin.

PROFESSIONAL DESIGNATIONS

I do not hold any designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am involved in the following non-investment-related OBAs that involve significant commitments of my time:

- Through my firm Realvest Financial Corporation I provide property tax and real estate investment consulting services.
- Through my relationship with Prospect Equities, as a Real Estate Agent and Broker, I provide real estate sales and leasing services.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

Steven Wagner does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of WENDY J WEST-KRAUCH. If you have any questions about the contents of this brochure, please contact us at (815) 787-1999 and/or wendy.west@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

WENDY J WEST-KRAUCH

1811 SOUTH 7TH STREET
DEKALB, IL 60115
Phone: (815) 787-1999
Fax: (815) 758-3620
E-Mail: wendy.west@pesmail.com

Additional information about WENDY J WEST-KRAUCH also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1973.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Northern Illinois University
Date Attended: 08/1991 to 05/1996
Degree Obtained: Bachelor of Arts
Major: Psychology

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 04/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES, LLC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: FINANCIAL ADVISOR

Employment Dates: 08/2000 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: Sycamore
State: IL
Position Held: REGISTERED REPRESENTATIVE

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 7; 66.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 66 License which indicates that I am familiar with state regulations as well as qualifying me as a Registered Investment Advisor ("RIA"). I can engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Florida; Illinois; New Mexico; Tennessee; Washington DC.

PROFESSIONAL DESIGNATIONS

I do not hold any designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

Wendy West-Krauch does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of WILLIAM T BARNES. If you have any questions about the contents of this brochure, please contact us at (847) 608-1252 and/or william.barnes@pesmail.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

WILLIAM T BARNES

8 RIVER BLUFF ROAD
ELGIN, IL 60120
Phone: (847) 607-1252
Fax: (847) 931-1853
E-Mail: william.barnes@pesmail.com

Additional information about WILLIAM T BARNES also is available on the SEC's website at www.adviserinfo.sec.gov.

07/12/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1931.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Morgan State University
Date Attended: 09/1951 to 05/1954 and 09/1956 to 05/1957
Degree Obtained: Bachelor of Science
Major: Chemistry

Institution Name: Brown University
Date Attended: 09/1961 to 06/1963
Degree Obtained: Master of Science
Major: Biology

Institution Name: Tulane University
Date Attended: 09/1969 to 12/1972
Degree Obtained: Doctoral Degree
Major: Biochemistry

Institution Name: Harper College
Date Attended: 09/1989 to 05/1990
Degree Obtained: None
Major: Fundamentals of Investing

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 05/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES, LLC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: FINANCIAL ADVISOR

Employment Dates: 06/1999 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: Birmingham
State: AL
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 05/1998 to 06/1999
Business Name: THE CONCORD EQUITY GROUP, LLC
Investment Related: Y
City: ISELIN
State: NJ
Position Held: Registered Representative

Employment Dates: 04/1998 to 08/1998
Business Name: EMISSARY FINANCIAL GROUP, INC.
Investment Related: Y
City: MENTOR
State: OH
Position Held: Registered Representative

Employment Dates: 01/1997 to 03/1998
Business Name: MONEY CONCEPTS CAPITAL CORP
Investment Related: Y
City: NORTH PALM BEACH
State: FL
Position Held: Registered Representative

Employment Dates: 09/1977 to 09/1997
Business Name: NORTHEASTERN ILLINOIS UNIV
Investment Related: N
City: CHICAGO
State: IL
Position Held: OTHER - PROF. BIO CHEM

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 6; 22; 63; 65.

I am registered with ProEquities, Inc, a broker/dealer. My Series 6 license allows me to assist you with investing in mutual funds, variable annuities and variable life insurance, 529 plans and other similar investments.

I hold a Series 22 License which allows me to deal with various forms of Direct Participation Programs (DPPs), such as real estate, oil and gas and limited partnerships.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 65 License which qualifies me as a Registered Investment Advisor ("RIA"), to engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Wisconsin.

PROFESSIONAL DESIGNATIONS

I do not hold any designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.
 - ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
 - ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

William Barnes does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.



Harvest

Advisor Brochure Supplement

(Part 2B of Form ADV)

This brochure provides information about the qualifications and business practices of WILLIAM P SCHWARZKOPF. If you have any questions about the contents of this brochure, please contact us at (630) 613-9230 and/or wschwarzkopf@harvestfpgroup.com. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission or by any state securities authority.

WILLIAM P SCHWARZKOPF

1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace, IL 60181
Phone: (630) 613-9230
Fax: (630) 613-9126
E-Mail: wschwarzkopf@harvestfpgroup.com

Additional information about WILLIAM P SCHWARZKOPF also is available on the SEC's website at www.adviserinfo.sec.gov.

02/17/12

Harvest Investment Services, LLC
1 Trans Am Plaza Dr Ste 230
Oakbrook Terrace IL 60181

Brochure Supplement (Part 2B of Form ADV)

Item 2 Educational Background and Business Experience

I was born in 1949.

FORMAL EDUCATION

I have the following educational background:

Institution Name: Cornell University
Date Attended: 09/1966 thru 06/1970
Degree Obtained: Bachelor of Arts
Major: Economics

Institution Name: Cornell University Graduate School of Business Administration
Date Attended: 09/1970 thru 06/1972
Degree Obtained: Masters in Business Administration
Major: Finance and Marketing

RECENT WORK EXPERIENCE

I have the following business background:

Employment Dates: 02/2012 to Present
Business Name: HARVEST INVESTMENT SERVICES, LLC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: FINANCIAL ADVISOR

Employment Dates: 01/2012 to Present
Business Name: PROEQUITIES, INC
Investment Related: Y
City: Oakbrook Terrace
State: IL
Position Held: REGISTERED REPRESENTATIVE

Employment Dates: 05/2005 to 01/2012
Business Name: LPL FINANCIAL, LLC
Investment Related: Y
City: Chicago
State: IL
Position Held: REGISTERED REPRESENTATIVE

SECURITIES AND INSURANCE LICENSES

I currently hold the following securities license(s): 7; 24; 51; 63; 66.

I am registered with ProEquities, Inc., a broker/dealer. My Series 7 license allows me to assist you with any general securities investment (stocks, bonds, mutual funds, variable annuities, 529 plans and other investment of this kind).

I hold a Series 24 License which allows me to supervise and manage branch activities. Before I received by Series 24, I had to pass the Series 7 Exam.

I hold a Series 51 License which allows me to supervise and manage registered representatives who market municipal fund securities to clients, specifically Section 529 plans for college education.

I hold a Series 63 License which is required by some states before I can sell securities in that state. A Series 63 License indicates I am familiar with state regulations.

I hold a Series 66 License which indicates that I am familiar with state regulations as well as qualifying me as a Registered Investment Advisor ("RIA"). I can engage in the business of advising others regarding the advisability of investing in securities. I can receive management fees in lieu of commissions. RIAs receive fees, stockbrokers receive commissions.

I hold the following insurance licenses: Life; Variable Annuity; Health. They are held in the following states: Illinois; Florida; North Carolina; Wisconsin.

PROFESSIONAL DESIGNATIONS

I do not hold any designations:

Item 3 Disciplinary Information

I have not been involved in any legal or disciplinary proceeding material to a client's determination of my integrity or my financial advice.

Item 4 Other Business Activities

INVESTMENT-RELATED OBAs

- I am engaged in the following investment-related OBA in addition to serving acting as an investment adviser representative. I recognize that these activities may raise conflicts of interests. I have described how I address them and any compensation I receive from these activities:
 - ◆ I am a Registered Representative of ProEquities, Inc., which is a registered broker/dealer and a member of FINRA and SIPC.

- ◆ My other affiliation creates limited potential material conflicts of interest for advisory clients because my commissions are customary and competitive for the marketplace. However, brokerage services may be available elsewhere at a lower cost. At no time is any client obligated to purchase securities through ProEquities, Inc.
- ◆ I receive no additional economic benefits that could create a material conflict of interest that I have not included below.

I am not registered or have an application pending to register as a broker/dealer and I am not a registered or associated person of a futures commission merchant, commodity pool operator, or commodity trading adviser.

NON-INVESTMENT-RELATED OBAs

I am not involved in non-investment-related OBAs that provide a substantial source of my income or involve a substantial amount of my time.

Item 5 Additional Compensation

No one provides me any additional economic benefit for providing advisory services.

Item 6 Supervision

I supervise the quality of the advice given to my clients. I have access to your custodial account statements and your quarterly performance reports, which I review. I also meet with you at least annually to confirm your accounts' investments and that your asset allocation remains appropriate for your investment objectives.

I am supervised by ProEquities, Inc. and Harvest Investment Services, LLC at several levels.

Annually, I attest that I understand the policies and procedures related to my offering of advisory services and must complete various Continuing Education requirements. To monitor the advice I give, a Supervising Principal reviews all account opening paperwork before an account is opened, and a surveillance program monitors the investment management of my existing accounts for any red flags. Finally, my branch office is subject to a periodic audit which includes a review of client files to ensure that I am servicing clients in accordance with firm policies.

My supervisors are:

Tim J. Newell,
ProEquities, Inc. Office of Supervisory Jurisdiction
(630) 613-9230

Frederick A. Newell, CCO
Harvest Investment Services, LLC
(630) 613-9230

Item 7 Requirements for State Registered Advisers

William Schwarzkopf does not have any reportable arbitration claims, has not been found liable in a reportable civil, self-regulatory organization or administrative proceeding, and has not been the subject of a bankruptcy petition.