

Hawk Financial Group

www.stockhawk.net

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This brochure provides information about the qualifications and business practices of Hawk Financial Group. If you have any questions about the contents of this brochure, please contact us at support@stockhawk.net. The information in this brochure has not been approved or verified by the United States Securities and Exchange Commission (“SEC”) or by any state securities authority.

Additional information about Hawk Financial Group is available on the SEC’s website at www.adviserinfo.sec.gov.

Summary Of Material Changes

This brochure is being updated to reflect an addition of a fee-only separate account program being offered by Hawk Financial Group.

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ITEM 4 - ADVISORY BUSINESS

Hawk Financial Group, LLC. ("Stockhawk.net", "Hawk Financial Group") provides an equity advisory service for the retail investor. The strategy offered by Stockhawk.net cater to types of clients ranging from those who have a basic understanding of equities to experienced traders. Stockhawk.net recommends that clients have a working knowledge of technical analysis and equities before they subscribe to Stockhawk.net.

The service is designed to provide clients with specific trade ideas via email based on signals automatically generated from software. Stockhawk.net uses many different models to generate buy and sell signals, these models use different strategies that work in different environments. Email alerts are sent to the subscribed clients each night with any new signals, or adjustments to existing positions.

Stockhawk.net offers clients the opportunity to have the strategy recommendations automatically executed through the "Autotrade" program which involves an agreement between various brokers and Hawk Financial Group. The autotrading program requires clients to have one of the supported brokers. If the client elects to participate in the Autotrade program, their broker will automatically execute all new Stockhawk.net recommendations for the signals generated from all of the models.

Hawk Financial Group offers clients the opportunity to invest in Stockhawk.net signals in a fee-only separately managed account wherein Hawk Financial Group invests client funds according to newsletter recommendations.

Once the client has completed all the necessary steps to set up Autotrade, they will need to set allocations on a per trade basis. A trade encompasses all the transactions for one signal generated from the models.

ITEM 5 - FEES AND COMPENSATION

Subscription Based

Clients can subscribe for \$79.95 per month and receive all signals generated by Stockhawk.net. The client's credit card is charged \$79.95 on their registration day each month. Hawk Financial Group reserves the right to negotiate pricing in individual circumstances and provide offers to new and existing clients. If a client wishes to cancel their subscription they may do so online by logging into their account or by emailing support@Stockhawk.net.

Upon registration clients are granted a period of seven days to test the service. On the seventh day, the clients credit card is charged, and their registration day is recorded at that time. In order for the user to not be charged they must cancel their account before the seventh day.

Hawk Financial Group, LLC will not grant a refund, partial or otherwise. All sales are final. Users who cancel their account will have full membership until the next billing cycle would have begun.

Hawk Financial Group charges no additional fees if the client chooses to use the Autotrade program. However, the client still must pay transaction commissions in their brokerage account.

Fee Based

Client can participate in our newsletters via our fee-based program. Our fees are based on the aggregate size of your account(s) under our management, and are expressed as an annual percentage of your total assets under our management. Therefore, for example, a 4.00% annual management fee will be assessed monthly as a .33% (33 bps) debit against your account(s).

Hawk Financial Group Fee Schedule

Value of Your Account	Maximum Annual Fee
\$10,000 - \$250,000	4%
\$250,001 - \$500,000	3%
\$500,001+	2.5%

ITEM 6 - PERFORMANCE-BASED FEES AND SIDE-BY-SIDE MANAGEMENT

Hawk Financial Group does not accept performance-based fees.

ITEM 7 - TYPES OF CLIENTS

We provide advisory services for retail investors (individuals, corporations, and other business entities). The minimum account size is USD \$10,000 (as required by our broker).

ITEM 8 - METHODS OF ANALYSIS, INVESTMENT STRATEGIES AND RISK OF LOSS

Hawk Financial Group uses sophisticated trading software to determine which trades to make. In the past five years, the software has attempted more than one billion strategies to develop its models. All trades are algorithmically generated using this approach.

Stockhawk.net uses a proprietary money management system to filter and manage each of the signals generated from our models. The Stockhawk.net portfolio will add a maximum of 2 signals per day, and 6 signals total for the portfolio.

All models Stockhawk.net use have been tested on a ten year back test, using in-sample and out-of-sample machine learning techniques.

All stocks purchased are highly liquid, as part of the S&P 500. Each trade is exactly 17% of the allocated funds in the Stockhawk.net portfolio. Users can set their own allocation percentage.

Once a position is entered, a stop loss of 3% is added. The software also uses many different criteria to determine when to exit a trade; trades can be exited anywhere from 1 day to 10 days after entry.

All trades are kept at least one day, so pattern day trading rules do not apply.

Potential Benefits to Client: Defined risk, in that the client can't lose more than their allocation (not including commissions). Potential gain when the equity moves in the direction that the model forecasted.

Potential Risks to Client: Potential loss of up to 100% of the capital the client invests in each trade (which can happen if the price of the underlying stock drops to zero); this strategy is capital intensive since the client is buying or selling the actual equity. Potential gains will be reduced or possibly eliminated by the commissions the client pays for the recommended transactions.

ITEM 9 - DISCIPLINARY INFORMATION

Not applicable

ITEM 10 - OTHER FINANCIAL INDUSTRY ACTIVITIES AND AFFILIATIONS

Not applicable

ITEM 11 - CODE OF ETHICS, PARTICIPATION OR INTEREST IN CLIENT TRANSACTIONS AND PERSONAL TRADING

Related persons are restricted from purchasing or selling any security (or derivative thereof) which they know will be recommended in a Stockhawk.net signal (a) in their personal account and (b) in accounts as to which they have beneficial ownership (which includes (1) the accounts of a spouse, minor children, other persons living in their household and non-emancipated adult children(collectively, "family members"), (2) trusts established for the related person's benefit, family members or any other relative as to which trusts the related person has sole or investment power and (3) entities in which the related person or his/her family members have sole voting or investment power or as to which the related person or his /her family members have shared voting or investment power and pecuniary interests.) However, these restrictions do not apply to securities listed on the S&P 500, ETFs based on major indices or other large cap issues with a market capitalization of \$5 billion or more and reasonable trading volume where a transaction in the security will not likely move the market.

ITEM 12 – BROKERAGE PRACTICES

Hawk Financial Group chooses brokers based on them meeting technical requirements for the Hawk Financial Group business model (such as support for advisory accounts), as well as commission prices. Hawk Financial Group does not receive commissions or other soft-dollar benefits from brokers. Hawk Financial Group may not aggregate (pool) orders together when submitting them to the broker. This does not usually affect commission prices with Interactive Brokers, as any order for more than

one option contract has the same cost per contract whether it is large or small. Similarly for stocks, for any order involving more than 200 shares, the commission will be \$0.005 per share. However this is subject to change without notice.

ITEM 13 - REVIEW OF ACCOUNTS

Hawk Financial Group will periodically review client accounts, in ensure proper execution of newsletter orders. If a client notifies Hawk Financial Group that their financial state or their goals have changed, Hawk Financial Group will examine the client's current newsletter participation for suitability.

Hawk Financial Group sends weekly and monthly portfolio reports to clients. The reports show individual transactions and positions specifying which newsletter the item is associated with. These reports do not include commission and other fees, and thus clients are urged to look at the broker's activity statements for a more accurate state of their account (albeit without grouping by newsletter).

ITEM 14 - CLIENT REFERRALS AND OTHER COMPENSATION

Hawk Financial Group does not have a client referral program at this time.

ITEM 15 – CUSTODY

Hawk Financial Group does not have custody of client assets.

ITEM 16 - INVESTMENT DISCRETION

Discretionary authority to determine the securities and total amount of securities to be bought or sold may be exercised only after a client executes a limited power of attorney granting such authority. Hawk Financial Group may place orders to buy/sell equities or options in clients account, but may not transfer money in or out of the account (other than the billing of Hawk Financial Group's monthly fee).

ITEM 17 - VOTING CLIENT SECURITIES

Hawk Financial Group does not have authority to vote client securities. Clients will receive their proxies or other solicitations directly from the broker.

ITEM 18 - FINANCIAL INFORMATION

Not applicable

Evan Duffield

Hawk Financial Group
Brochure Supplement

www.stockhawk.net

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Evan Duffield, b. 1982, became the Chief Compliance Officer of Hawk Financial Group, LLC. in October, 2011. With a decade of experience trading in the stock market, and more than two decades of advanced programming experience. Evan brings knowledge of machine learning, artificial intelligence, software development, and the financial markets to the company.

Mr. Duffield is involved in business affairs with various companies by providing software development, management, and maintenance services.